BOARD of REGENTS

THE UNIVERSITY of NEW MEXICO

AUDIT AND COMPLIANCE COMMITTEE MEETING

Thursday, October 16, 2014 at 9:00 am
Scholes Hall, Roberts Room

The University of New Mexico
Board of Regents' Audit and Compliance Committee
October 16, 2014 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda

2. Approval of Meeting Minutes from August 21, 2014

3. Amendments to Regents' Policy Manual (RPM) Policy 1.8 - Regent Code of Conduct and Conflict of Interest *(Paul Roth, Chancellor for Health Sciences; Scot Sauder, Deputy University Counsel for Health Sciences).*

INFORMATION ITEMS

4. Advisors' Comments

5. Annual Security & Fire Safety Report – 2014 (*Christine Chester, University Clery Coordinator*)
   a. Follow-up Items from August 21, 2014 Meeting

6. Faculty Senate SAC/STC Policy and Data Analysis Report (*Chaouki Abdallah, Provost & Executive Vice President for Academic Affairs)*

7. Code of Ethics Update *(Melissa Bokovoy, Academic Leadership Fellow, President's Office; Pamina Deutsch, Director, Policy Office)*

8. Health Science Center Chief Compliance Officer Status Report *(Stuart Freedman, HSC Chief Compliance Officer)*

9. Main Campus Chief Compliance Officer Status Report *(Helen Gonzales, UNM Main Campus Chief Compliance Officer)*

10. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager)*
    Implemented
    Open
    Pending

11. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director)* and Working Lunch

EXECUTIVE SESSION

12. Vote to close the meeting and to proceed in Executive Session as follows:

   a. Presentation of FY14 External Financial Audit pursuant to exceptions at Section 10-15-1H NMSA (1978) and Section 12-6-5 NMSA (1978) (*KPMG, Moss Adams, and Liz Metzger, University Controller*)

   b. Discussion of Final Internal Audit Reports, pursuant to limited personnel matters exception at Section 10-15-1.H(2) NMSA (1978), exception for matters subject to attorney-client privilege pertaining to threatened or pending litigation at Section 10-15-1.H(7), NMSA (1978).

   c. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);

   d. Schedule of Audits in Process, pursuant to exceptions at Sections 10-15-1H(2 and 7), NMSA (1978);

   e. Proposed FY15 Audit Workplan exceptions at Sections 10-15-H(2 and 7), NMSA (1978); and

   f. Vote to re-open the meeting.

13. Certification that only those matters described in Agenda item # 12 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session

14. Adjournment

THE UNIVERSITY OF NEW MEXICO
Board of Regents Audit and Compliance Committee Meeting
August 21, 2014 – Draft Meeting Minutes

Members Present: Chairman J.E. "Gene" Gallegos, Vice Chair Lt. Gen. Bradley Hosmer, Regent James Koch (Quorum).

Other Attendees: Robert Frank, Chaouki Abdallah, Helen Gonzales, Amy Wolhert, Liz Metzger, Chris Vallejos, Elsa Cole, Pamina Deutsch, Ella Watt, Purvi Mody, Jewel Washington, Gil Gonzales, Terry Turner, John Reindorp, Mark Peceny, Terry Babbitt, Bonnie Stepleton, Renee Delgado-Riley, Melissa Bokovoy, Cynthia Reinhart (KPMG), Manu Patel, Chien-chih Yeh, Lola Neudecker, Lisa Wauneka, Avedona Lucero, Victor Griego, Ashley Manley, Amy O'Donnell.

Chairman Gallegos called the meeting to order at 9:21 AM in ROBERTS ROOM, Scholes Hall, UNM.

ACTION ITEMS:

- The Committee unanimously approved the minutes from the meeting of June 11, 2014 (Motion: Regent Koch, Second: Regent Hosmer).

- The next Committee meeting will be October 16, 2014 at 9:00 AM.

  Pamina Deutsch, Director, Policy Office, presented the Committee with revised versions of Regents' Policies 1.2, 7.2, and 7.3. The Chairman stated that a paragraph should be changed regarding the following language. "They conduct independent, objective assurance services (audits) and consultations to determine whether the University's systems of controls, risk management, and organizational governance, as designed and represented by management, are adequate and functioning properly." It should have stronger language to include properly and lawfully or properly and ethically. He also noted that the word "to" was omitted in the final sentence of that section. Regent Hosmer suggested that it could say "…functioning properly, ethically, and in full compliance with all existing regulations." The Chairman discussed the language in this policy regarding the independence of the Audit Committee, Compliance Office and the Internal Audit Department. He has an issue with one of the numbered items under "Authority" for Internal Audit (#4): "Cooperate with any legitimate inquiry or investigation from an outside audit, law enforcement or investigative agency, if advised to do so by University Counsel." The same thing exists for Compliance Office (also #4). He suggests striking that information from the policy because it should not say if advised to do so by University Counsel. All Committee members agreed. Under Audit Reports in Policy 7.2, the policy states that audit draft reports and related audit materials will be made public. In actuality, when the Committee approves an audit, the final report becomes public. Other audit materials may be subject to the *Inspection of Public Records Act*, NMSA 1978 14-2-1 (IPRA) requests, but the University would not release the information unless requested under IPRA. Supporting documents such as work papers and drafts are kept in the Internal Audit Department for five years, plus the current fiscal year. After that time, they are sent for destruction. Now, however, the Department has audit software and most of the audit work is currently performed electronically.

INFORMATION ITEMS:

- There were no Advisors' Comments.

- Follow up items:

Terry Babbitt, Associate Vice President, Enrollment Management, spoke to the Committee regarding student financial aid, debt repayment, and ability to pay. Regent Hosmer previously asked Dr. Babbitt and Cynthia Reinhart of KPMG if they look at student loan repayment. Dr. Babbitt replied that it is not their focus (of the audit), but they do pay close attention. He has a lot of data available. If students graduate, they are very able to pay back their loans. For the 3,500 graduates last year, the average debt is $23,000. Only 55% of the 3,500 graduating with their bachelor's degree borrowed. The "rule of thumb" is generally not to borrow more than your expected first year's salary after graduation. UNM has a 13.3% default rate. (Branch numbers are included in that amount, and 40% of the defaults are from branch campus students.) There are penalties if an institution reaches over 30%. In comparison, the default rate is approaching 20% for New Mexico State University and approaching 25% for Central New Mexico Community College. Non-payment after 270 days is considered default. The worst part is the people who do not graduate. The data also shows that non-graduates make up a majority (Dr. Babbitt estimates 65%) of defaults. Less than 5% of the debt is over $50,000, and 60% is under $25,000. For-profit universities have the highest rates. Dr. Babbitt's office is working with New Mexico Student Loans to do default management. They are not a collection agency, but they stay connected with the student borrowers to avoid default as they go through the process. Regent Hosmer appreciates the information and stated perhaps the next phase of the discussion is not this committee's issue, so further discussion will not be at Audit Committee. In conclusion, Chairman Gallegos asked about enrollment numbers. Dr. Babbitt noted that the enrollment trend is down about 2%. It is down about 5% for Albuquerque student seniors. This trend ties to the economic conditions locally. However, the Santa Fe numbers are up. The good news is that grade point averages and ACT scores are up across all ethnic groups.

Purvi Mody, UNMH Executive Director of Compliance and Audit, updated the Committee regarding the status of the Recovery Audit Contractor (RAC) audits. For the sample selection of $24.6 million, they initially denied approximately $8.6 million. The amount recouped on all claims denied is down to $5.895 million. As a benchmark comparison, for UNMH, 1% was underpayment, 36% was overpayment, and 63% were no overpayment determination. They are faring better than their peers. Medical necessity (second guessing physicians' decision-making) was the primary reason for complex denials. The recoupments are about $2.7 million less than what was originally denied. For denials, the largest changes are in diagnosis-related groups (DRGs). Inpatient reimbursement is good; outpatient is not as good – about 30%. UNMH appealed 49% of RACS for medical necessity. There are three levels of appeal. Some UNMH appeals are at level two and level three. It is a time consuming and expensive process. They can also resubmit some of the claims and bill under Medicare Part B (outpatient). Regent Hosmer asked if there are lessons to be learned. Ella Watt, UNMH Chief Financial Officer stated there were many. She said for one thing, when an order to admit occurs for a Medicare patient, the Hospital performs increased chart review within the initial 24 hour period. That may result in admission status change for these Medicare patients. Regent Hosmer wanted to know if they are trending success rates. Ms. Watt replied that yes they are trending the process. On the RAC requests themselves, at level one the success rate of appeal is not very good. At level two, they have been able to overturn $288,000.

- Amy Wohlert, Chief of Staff, President's Office, and Melissa Bokovoy, Chair, History Department, and Ms. Deutsch presented the Committee with an update on the Main Campus Code of Ethics. They informed the Committee that the policy office created a Main Campus version to follow the successful Health Sciences Center (HSC) Code of Ethics, but some information lost validity in the translation. The missions are so different. Dr. Bokovoy addressed the Committee, stating that they are working closely with Dr. Linda Ferrell of the Faculty Senate Ethics Committee who is a professor of business ethics and a leading expert in the field. Working with the Daniels Fund, Dr. Ferrell visited six or eight universities in the west on a project developing ethics principles. They created a committee that includes the chair of the committee that is developing the Civil Campus Code, Ms. Gonzales as Chief Compliance Officer, the Faculty Senate President, President-elect of the Staff Council, as well as student presidents for ASUNM and GPSA. They feel that it is representative of groups across campus. The idea is to create a set of guiding principles. Dr. Bokovoy stated you can have a code of conduct that is regulatory but a code of ethics is supposed to embody the values and principles of the organization. They stated that their timeline for completion is December or January. It will take time to get buy-in from ASUNM, GPSA, Faculty Senate, Regents, and the Administration. There is renewed effort to make people aware of existing ethics policies and the whistleblower program. The Chairman noted he is impressed with the concept and style of the HSC version. He wants the Main Campus Code to be readable and not full of legalistic language. Faculty Senate President Pyle added that Main Campus Code will have more nuances than the one at HSC. This Code of Ethics committee understands the importance and is led very aptly by its members. Regent Hosmer stated that there is evidence that the compelling force of a document like this is inversely proportional to its length. He feels it would benefit from and would get more attention if it were shorter.

- The Chief Compliance Officer for the Health Sciences Center has no report.

- Helen Gonzales, Chief Compliance Officer for Main Campus provided an update for her area. She supplied the Committee with materials, and in the interest of time, informed them she would answer any questions they may have rather than provide a presentation. Things are going well. She does have her new goals assigned for this year and she has been working toward those goals and with the compliance partners. She has been working directly with the compliance partners to identify risks and actions, and on educational training. They meet quarterly to assure that the compliance obligations they have identified are accurate, correct and are fulfilled. It is a proactive process. They are close to having an accurate and comprehensive matrix. Ms. Gonzales and her assistant have been doing a lot of research on other universities, regulations, and information from expert consultants. They are documenting what is required, and are applying that to University policies and training.

- Chien-chih Yeh, Internal Audit Manager, reviewed audit recommendation status reports with the Committee. The first three pages are older recommendations that are cleared. There are eight previous recommendations with remaining items, not including the recommendations for College of Education from the last meeting. Chairman Gallegos asked about tracking graduation and retention rates. The implementation date is here. Where do we stand on that? Mr. Yeh replied that it is cleared. They are able to do that now. The Chairman inquired about Gallup's scholarship fund issue. Mr. Yeh informed the Committee they are awarding many scholarships now. The Chairman also asked about the amendment on the Research Park Act. What is the amendment that is needed and why? Director Patel stated that the Act requires an

annual audit report be submitted to the Corporation Commission as well as the State Auditor. UNMMG attempted to submit the report to the Commission, but the Commission said they didn't want it or require it. The amendment would be to strike that requirement from the Act. That should be completed after the next legislative session. The Chairman inquired about faculty workload and a Banner tracking system. Mr. Yeh informed the Committee there are two parts to this item. One is public service, which has a tracking mechanism and is going to be incorporated into the annual review process. The other part is research activity. This is awaiting software purchase and implementation that is estimated to be complete in January 2015, so there is an extension of the implementation date. Terry Turner, Director of the Office of Institutional Analytics can provide further information. The Chairman asked if faculty is involved as it will also track workload. Faculty Senate President Pyle stated that faculty is not involved in this. Provost Abdallah informed the Committee that the workload is already tracked in various ways at department and college levels. This is a method to track teaching assignments through Banner. The research software is something they started looking at a couple of years ago to track the research productivity. There is no policy change. President Frank stated in a previous Arts and Sciences audit it became clear there was a lack of apples to apples comparisons and clear data metrics. This is part of the ROM implementations. Most universities have this type of system; UNM is a little slow getting started with it. Everyone works hard and we are going to show that. Mr. Yeh added that they have a new dean at the College of Education; therefore, Internal Audit is giving that office a chance to implement recommendations.

- Internal Audit Director Manu Patel provided his Director's report to the Committee. He informed them that the Department completed 80% of the audits on the work plan. Four are delayed to the next fiscal year. Mr. Patel stated he attached charts with complaint data for FYs 2011-2014. The complaint data shows that most complaints are human resource-related. This mirrors the national trend. The Department has a new compliance poster. It will be distributed to building coordinators at the next meeting. With the additional communication, expect complaint numbers to increase. Regent Hosmer asked if the FY 15 numbers on the trending graph they were presented is annualized or absolute numbers to date. Director Patel replied that that number is just for one month of the fiscal year we are in, it is not annualized. Regent Hosmer stated that trending data for complaints is very useful. In conclusion, Director Patel noted the Department closed out FY14 with $36,000 and the Department's FY15 budget is fully funded.

The meeting went into Executive Session for the reasons stated in the agenda. (Motion: Regent Koch, Second: Regent Hosmer).

a. Discussion of Final Internal Audit Reports, pursuant to limited personnel matters exception at Section 10-15-1.H(2) NMSA (1978), exception for matters subject to attorney-client privilege pertaining to threatened or pending litigation at Section 10-15-1.H(7), NMSA (1978).

b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);

c. Schedule of Audits in Process, pursuant to exceptions at Sections 10-15-1H(2 and 7), NMSA (1978); and

     d. Vote to re-open the meeting.

The meeting returned to open session at 12:36 PM, with certification that only those matters described above were discussed in Executive Session.

- The Committee approved Regents' Policy Section 1.2 – Structure of the Board of Regents, and 7.3 – Audit and Compliance Committee (Motion: Regent Koch, Second: Regent Hosmer).

- The Committee approved Regents' Policy Section 7.2 – Internal Auditing and Compliance as modified in accordance with discussions earlier in this open meeting (Motion: Regent Koch, Second: Regent Hosmer).

- The Committee approved a title change in Regents' Policy Section 3.7 – Institutional Compliance Program to read: "Health Sciences Center Institutional Compliance Program" (Motion: Regent Koch, Second: Regent Hosmer).

- The Committee approved the following UNM audits:

  Student Bar Association Off-Campus Bank Account Audit, #2014-03 (Motion: Regent Hosmer, Second: Regent Koch).

  Review of Ticketing Services Operations (as modified for IT security), #2014-08 (Motion: Regent Hosmer, Second: Regent Koch).

  University President's Travel, Entertainment, and Other Expenses, #2014-02 (Motion: Regent Koch, Second: Regent Hosmer).

  Review of Facilities and Administrative Receipts and Disbursements, #2014-12 (Motion: Regent Koch, Second: Regent Hosmer).

  Preliminary Assessment of Clery Act Compliance, #2014-04 (Motion: Regent Koch, Second: Regent Hosmer).

Motion to adjourn 12:41 p.m. (Motion: Regent Koch, Second: Regent Hosmer).

Approved:

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Audit Committee Chairman

Regents' Policy Manual - Section 1.8: Regent Code of Conduct and Conflicts of Interest Policy

Adopted Date: 09-12-1996
Amended: 07-15-2003
Amended: 09-09-2003

Renumbered from 6.4: June 9, 2004

Applicability

This policy applies to members of the University Board of Regents.

Policy

1. Members of the Board of Regents ("Regents") are expected to perform their duties faithfully and efficiently and never to give rise to suspicion of improper conflict with interests of the University. Regents shall not accept favors or gratuities of significant economic value from any firm, person, or corporation that is engaged in, or attempting to engage in, business transactions with the University. They must avoid any conflict of interest that may affect their independent judgment in the impartial performance of their duties. They may not use their positions to enhance their direct or indirect financial interest or use confidential information learned as a Regent for anyone's private gain. Regents shall comply with state conflict of interest laws as well as University policies. The members of the Regents acknowledge and recognize that one of the key aspects of avoiding conflicts of interest and effectively managing conflicts of interest is timely, full and complete public disclosure of a financial interest that may present a conflict of interest.

2. Except as provided in Section 5 of this Policy and unless the Regent has complied fully with the requirements of Section 5 of this Policy, nNo Regent shall maintain a financial interest in a firm or corporation with which the University is engaged in business. In

addition, a Regent shall not participate directly or indirectly in any decisions relating to any transaction between the University and a business entity in of which the Regent or any member of the Regent's immediate family is a director or trustee.

3. For the purposes of this policy, "financial interest in a transaction" includes any direct or indirect financial interest. This includes any transaction between the University and the Regent or a member of the Regent's immediate family. An immediate family member is a family member who shares a home with a Regent; or is a person who receives financial support of more than twenty-five percent (25%) of his or her annual income from a Regent; or is a person who is claimed as a dependent for federal income tax purposes by a Regent. "Financial interest" also includes any transaction between the University and a Regent or a member of the Regent's immediate family or a business entity (corporation, sole proprietorship, partnership, LLC, or similar entity), including parents or subsidiaries of the business entity, in which the Regent or a member of the Regent's immediate family:

    a. has an ownership interest (other than as owner of less than one percent (1%) of the stock of a publicly traded corporation); or

    b. is a partner or officer of such business entity or an employee of such business entity whose compensation is related to business transacted with the University.

    For purposes of this policy, an immediate family member is a family member who shares a home with a Regent; or is a person who receives financial support of more than twenty-five percent (25%) of his or her annual income from a Regent; or is a person who is claimed as a dependent for federal income tax purposes by a Regent.

4. A member of the Board of Regents will have 120 days from the date the Board of Regents approves the "Regent Code of Conduct and Conflicts of Interest Policy" ("Code") to fully comply with the Code. Each Regent will annually certify compliance with the Code on a form approved by the Regents, which form shall provide, at a minimum, for disclosure of known financial interests as defined in this Policy. Each annual certification shall be filed by each the Regents with the University Counsel who shall furnish a copy to the Executive Vice President for Administration.

5. In the annual certification, the Regents shall make a full and complete disclosure of all known financial interests as defined in Section 3 of this Policy and shall make a full and complete disclosure of all business entities as to which each Regent serves as a director or trustee. Additionally, as to transactions that arise from time to time, as a Regent becomes aware of a potential transaction involving business entity in which a Regent holds a financial interest as defined in Section 3 of this Policy or as to which a Regent serves as a director or trustee, such Regent shall disclose the existence of the financial interest or the service as a director or trustee and be given the opportunity to disclose all material facts to the Regents as a whole and members of committees with board-delegated powers considering the proposed transaction or arrangement. After disclosure of the financial interest or the service as a director or trustee and all material facts with

respect to the same, and after any discussion with the disclosing Regent, the disclosing Regent shall leave the board or committee meeting while the determination of whether a financial interest as described in Section 3 exists is discussed and voted upon as provided in Regents Policy 1.2 and the New Mexico Open Meetings Act. The remaining board members shall decide if a financial interest as described in Section 3 exists. If the disclosed relationship is determined to not be a financial interest as described in Section 3 of this Policy, the disclosing Regent may continue to participate in the deliberations and determinations with respect to that transaction. If the remaining Board of Regents members decide that a disclosed relationship constitutes a financial interest as described in Section 3 of this Policy exists, then the Regent with the conflict of interest shall publicly recuse himself or herself, and shall leave the meeting during the discussion of and the vote, whether in open session or in closed session, on the transaction or arrangement that may result or results in the conflict of interest and the remaining board members shall ensure that the transaction or arrangement complies with the requirements of Section 21-1-17, NMSA 1978, including applicable provisions of the New Mexico Governmental Conduct Act and the New Mexico Procurement Code and that the proposed transaction or arrangement is at least as advantageous to the University as a transaction or arrangement with a person or entity that would not give rise to a conflict of interest.

4.6.If a Regent fails to file an annual certification or fails to comply fully with the Code, the Board of Regents shall give written notice to the Governor of the State of New Mexico. The Regents shall make any such notice public. Violation of the Code by a Regent will be grounds for removal of that Regent pursuant to Article XII, Section 13 of the Constitution of New Mexico.

5.7.Members of the Board of Regents are required by the Financial Disclosure Act, Section 10-16A-1, *et seq.*, NMSA 1978, to file a report with the New Mexico Secretary of State concerning the Regent's financial interests. A copy of such report shall also be filed by the Regents with the University Counsel who shall furnish a copy to the Executive Vice President for Administration and distributed to each member of the Board of Regents. The report filed with the Vice President shall be supplemented to the extent necessary to set forth the Regent's financial interests as defined in Section 3 of this policy.

There is no handout required for this item

UNM

October 1, 2014

Dear Students, Faculty and Staff:

Your safety and security are of prime concern at the University of New Mexico. Every year, the UNM Police Department publishes a report that includes crime statistics and information about the University's policies and programs related to safety and law enforcement.

The UNM Annual Security and Fire Safety Report for 2014, which details information for the 2013 calendar year is now available. The Clery Act requires that crime statistics for three years (2011, 2012 and 2013) be reported. There are two areas where I observed fluctuations for 2013: Burglary and Sexual Assault. Burglaries decreased in 2013 to 24 from 35 reported in 2012. Burglaries tend to range from 30 to 40 per year, so this is positive sign for the UNM campus. The addition of the four officers hired the previous year along with crime prevention initiatives may have contributed to the decrease in burglary. Sexual assaults reported increased in 2013 to 11 from 4 in 2012. The SMART (Sexual Misconduct & Assault Response Team) team was started in 2013 coinciding with a University-wide awareness campaign regarding sexual assault on campus. The University's awareness campaign and inauguration of the SMART team are believed to have impacted the reporting of sexual assault in 2013. We have also included statistics for three new Clery crime categories: Dating Violence, Domestic Violence and Stalking.

In addition to crimes on campus (including UNM Student Residential Facilities), the table shows crime statistics for Non-Campus Buildings or Property and Public Property, as defined by federal regulations specified in the accompanying table. The UNM Police Department requests crime statistics information from the Albuquerque, Rio Rancho, Bernalillo, Santa Ana Pueblo, and Kirtland Air Force Base Police Departments for those areas and includes the numbers provided by these other agencies in our report. Finally, the table also shows statistics for violations of alcohol, drug and weapons laws that resulted in referrals for disciplinary action by UNM Residence Life and Student Housing and the Dean of Students Office.

Along with crime stats, the complete report also includes information about crime prevention programs, ways to report criminal activity and campus policies on sexual assault, drugs and alcohol, and weapons. Also, in accordance with the Higher Education Opportunity Act of 2008, the annual report details fire statistics and fire safety information for UNM Student Residential Facilities as well as the University's policy and procedures for resident students to provide a contact person in case a student is determined to be missing. The Report can be accessed online at the following address https://police.unm.edu/html/unm-campus-safety-2014.pdf. You may also request a copy at the UNM Police Department (Hokona Hall, 2500 Campus Blvd. NE), Human Resources Service Center (1700

Lomas Blvd. NE), Admissions Office (Student Services Center), Student Support and Services Center (1155 University Blvd. SE) and the HSC Administrative Services Office (Health Sciences and Services Building, Room 302).

The UNM Police Department is working hard to make this campus a safe place to live, learn, work and play. We believe that the information in the UNM Annual Security and Fire Safety Report for 2014 is informative and helpful. We hope you will take the time to review it.

Very truly yours,

Kevin McCabe, Chief
UNM Police Department
Chief of Police

2013 Reported Crimes – **Table 1a				
	On Campus Property	Non-Campus Property	Public Property	* Residential Facilities
Offense				
Murder/Non-Negligent Manslaughter	0	0	0	0
Negligent Manslaughter	0	0	0	0
Robbery	0	0	0	0
Aggravated Assault	6	1	0	0
Motor Vehicle Theft	41	3	0	0
Arson	1	0	0	0
Hate Crimes	1	0	0	1
Burglary	24	2	0	8
Dating Violence	4	0	0	3
Domestic Violence	13	2	0	2
Stalking	4	0	0	2
Sexual Assault	11	0	0	7
Liquor Law Arrests	6	0	0	5
Drug Arrests	16	1	0	10
Illegal Weapons Possession	0	0	0	0
*All crimes noted in residential facilities are also represented in On-Campus Property				
**Table 1a includes crime statistics for UNM West Campus in Rio Rancho New Mexico				
** 2013 Referrals for Disciplinary Action – Table 1b				
Liquor Law Violations Refered for Disciplinary Action	281	0	0	280
Drug Law Violations Referred for Disciplinary Action	73	0	0	69
Illegal Weapons Possession Violations Referred for Disciplinary Action	2	0	0	2
** These statistics are persons referred for disciplinary proceedings conducted by the Dean of Students Office or Residence Life & Student Housing.				

Definitions under Federal Regulations

On Campus

Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor)

A Non-campus Building or Property

Any building or property owned or controlled by a student organization that is officially recognized by the institution; or any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's edcuational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution

On Public Property

All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus

ANNUAL SECURITY & FIRE SAFETY REPORT 2014

SAFETY: YOU MAKE THE DIFFERENCE

Your safety and security are of prime concern at The University of New Mexico and we at the UNM Police Department are working hard to ensure the campus is a safe place to live, learn, work and play. UNM is not, however, exempt from crime. We are doing our part to prevent crimes, but to be safe and secure, YOU must take common sense precautions, be alert and look out for others. Maintaining an effective police department is a community effort, and together we can ensure UNM remains safe.

UNM: PART OF A LARGER COMMUNITY

The University of New Mexico Main Campus located in Albuquerque, New Mexico is a community of approximately 29,000 students and approximately 15,000 faculty and staff. Visitors come to our campus to attend lectures, symposia, concerts, plays, sporting activities and other events. Our 769-acre campus is surrounded by the City of Albuquerque, a metropolitan area with approximately 640,000 residents. Even with our large and constantly changing population, we have maintained an extremely low crime rate.

MISSION

The mission of the University of New Mexico Police Department is to provide the University with exceptional police services for the preservation of human rights and the protection of people and property. These services will be provided with an emphasis on proactive measures, minimizing the need for reactive responses. We will strive for positive interaction with outside agencies and maintain a strong, cooperative relationship with the community by respecting differences and fostering a better understanding through communication.

HOW UNM PROTECTS YOU

The UNM Police Department is here to protect you and offers numerous services to ensure your safety.

The UNM Police Department is open 24 hours a day, 365 days a year to respond to your needs. All UNM police officers are required to meet state certification standards mandated by the New Mexico Department of Public Safety. UNM Police officers are commissioned by The University of New Mexico Board of Regents (Section 29-5-2 NMSA 1978). They have full power of peace officers on campus, including the authority to enforce all applicable laws, ordinances and campus traffic regulations, and the authority to arrest. Officers are also cross-commissioned county-wide by the Bernalillo County Sheriff. The UNM Police Department has interoperable radio communications and can talk to police, fire and UNM Hospital during emergency situations.

The UNM Police Department investigates complaints of on-campus criminal activity, working closely with the District Attorney's Office to ensure effective prosecution. Through an agreement with the Albuquerque Police Department, UNM Police enforce Federal and State laws and regulations in the fraternity/sorority and student housing areas. Also, the UNM Police Department has written agreements with the Albuquerque Police Department, Bernalillo County Sheriff's Department and the Rio Rancho Police Department concerning law enforcement and criminal investigations.

UNM Police encourage students, faculty, staff and visitors to promptly report all crimes. Crimes occurring on campus should be reported to UNM Police at 277-2241. Crimes committed off the UNM Campus should be reported to the appropriate police agency (Albuquerque Police Department, Bernalillo County Sheriff's Office, etc.).

In an emergency you may dial 911. The 911 system automatically traces the location of all calls, which enables officers to respond even when a caller cannot provide the location. When you dial 911 from a campus telephone, your call will be received by the UNM Police Department. If you use a cell phone to dial 911, the call will be received by APD, but will be transferred back to UNM PD if you have an on campus emergency. Outside of the Albuquerque Metro area, you will reach the appropriate law enforcement agency where the 911 System is utilized.

Special telephones providing emergency services for the deaf are placed at various locations on the UNM campus. The University Police Department is equipped with a Telephone Device for the Deaf (TDD), 277-0888 for 24-hour service.

Emergency telephones which are direct lines to the Police Communications Office are located throughout the UNM campus. You may use the emergency telephone by opening the telephone box and pressing the button. The Police Dispatcher will answer the telephone promptly, and you will be able to relate the nature of your emergency. Also, a blue light over the telephone will flash for the duration of the call to assist emergency personnel in locating the caller. We ask that you call 277-2241 to report non-emergencies. Emergency telephones will not enable you to make calls other than directly to the Police Dispatcher as an EMERGENCY.

EMERGENCY RESPONSE & EVACUATION PROCEDURES

In the event of a significant emergency or dangerous situation involving an immediate threat on campus, UNM has a multi-faceted, emergency communications system designed to notify students, faculty, staff and visitors through text and email alerts, web

UNM

Police Department
MSC 02 1540
Albuquerque NM 87131

page notifications and a campus-wide warning siren system. Plans and policies are in place such that the University will, without delay, and taking into account the safety of the campus community, determine the content of the notification and initiate the notification system, unless issuing a notification will, in the professional judgment of responsible authorities, compromise efforts to assist a victim or to contain, respond to or otherwise mitigate the emergency.   Students, faculty and staff are automatically enrolled in LoboAlerts which is an emergency text messaging system and the greater community can also receive the alerts through social networking sites like Twitter and Facebook, or can sign up for the UNM Community Text Messaging site.

The UNM Emergency Control Policy (UNM Policy 6130) outlines the process that the UNM Police Department uses to confirm that a significant emergency exists and the process used to target messages to the appropriate segments of the Albuquerque campus. The decision to send out notification of a significant emergency lies with the UNM Executive Vice President for Administration, the UNM Police Chief, and/or the UNM Emergency Operations Center Director, or any of their respective designees. Ideally these officials will collaborate when deciding whether to initiate the notification system; however, these officials are authorized to initiate the system on their own, without first obtaining the concurrence of the others, if circumstances do not allow for collaboration.   The University Communication and Marketing Department assists in crafting the content of the notification message which is then disseminated by the appropriate communications department to the campus community through one or more of the systems described above.

UNM conducts exercises of the campus warning system at the beginning of each semester. These exercises are announced through the local media, campus newspaper and webpage one-week in advance. All facets of the system are exercised including text and email alerts, web page updates and warning siren system. In addition, the Emergency Operations Center conducts exercises at least twice annually, and the UNM Hospitals participate in joint exercises within the city at least twice annually. Also, other campus subunits, including the Residence Halls, conduct evacuation exercises at least annually. In addition to announced exercises, the Emergency Operations Center may be subject to, or authorize, other unannounced exercises.

UNM provides orientation to new students, faculty, staff and campus groups and departments regarding basic safety and emergency preparedness efforts. Materials are available on-line allowing the campus community to refresh their understanding of emergency response and evacuation procedures at any time. Lobo Red-E, UNM's Emergency Preparedness webpage is available at http://loborede.unm.edu.   UNM has an Emergency Management Committee which reviews emergency response and evacuation plans and procedures on an on-going basis. The UNM Office of Emergency Management maintains documentation of each test, a description of the exercise, the date, time and whether it was announced or unannounced.

TIMELY WARNING NOTICES

If the UNM Police Department receives information that a crime has occurred   that represents a serious or continuing threat to the UNM community, a timely warning notice will be sent to students, staff and faculty in an effort to promote safety and aid in the prevention of similar crimes.   The UNM Chief of Police (or designee) authorizes the issuance of a timely warning notice. The notice will include information about the circumstance that triggered the timely warning and what action members of the campus community are advised to take to protect themselves. The UNM Chief of Police (or designee) may decide to issue updates as needed as the situation evolves.

The University of New Mexico maintains multiple systems that are utilized to warn the campus community of crimes, including text alerts, email alerts, and web alerts. Students, faculty and staff are automatically enrolled in LoboAlerts, which is an emergency text messaging system.

The UNM Police Department works with the University's communications departments to determine the content of the alert, which is then disseminated by the appropriate communications department to the campus community through one or more of the systems described above. The UNM Police Department controls access to campus sirens and, depending upon the nature of the situation, the UNM Chief of Police (or designee) may authorize the sounding of sirens as part of a timely warning.

CRIME REPORTING & COMPILING CRIME STATISTICS

 The UNM Police Department encourages anyone who is the victim of, or witness to, any crime on the UNM campus to promptly report the incident to the UNM Police Department. Under New Mexico law, the original entry police report must be made available for review upon request by a member of the public. UNM Police Department does not identify crime victims by name in the original entry police report. Supplemental police reports detailing the investigation, and including the names of the victim, accused, and witnesses are not available for public inspection during the course of the police investigation. Following the closure of the police investigation, UNM Police Department maintains confidentiality of the information in the supplemental police reports to the extent allowed by law. A crime victim or witness to a crime who does not want to report the incident to the police, but wants it included as a statistic in the Annual Security & Fire Safety Report may make a confidential report to a UNM campus security authority office or by using the UNM Police Department Anonymous Tip or Incident Online Form https://police.unm.edu/default.aspx/MenuItemID/155/MenuGroup/Public+Home.htm

Reporting Crimes to Other Campus
Security Authorities

Who Are Campus Security Authorities?

The Clery Act mandates that institutions must disclose statistics both for crimes reported to local police agencies and crimes reported to campus security authorities.

Campus security authorities include the following:

• A member of a campus police department or a campus security department of an institution. A member of a private "event staffing" company working on campus under contract.

• Any individual or individuals who have responsibility for campus security, but who do not constitute a campus police department or a campus security department (e.g., an individual who is responsible for monitoring the entrance into institutional property).

• Any individual or organization specified in an institution's statement of campus security policy as an individual or organization to which students and employees should report criminal offenses.

An official of an institution who has significant responsibility for student and campus activities, such as:

• Dean of Students

• Director of athletics, a team coach or a faculty advisor to a student group

• A student resident advisor or assistant or a student who monitors access to dormitories

• A coordinator of Greek affairs

The intent of including non-law enforcement personnel as campus security authorities is to acknowledge that many people, students in particular, are hesitant about reporting crimes to the police, but may be more inclined to report incidents to other campus-affiliated individuals.

Examples of individuals who DO NOT meet the criteria for being campus security authorities include a faculty member who does not have any responsibility for student and campus activity beyond the classroom, clerical staff, custodians and maintenance personnel and cafeteria staff.

Responsibilities of Campus Security Authorities

Because of the law's complex reporting requirements, the most reasonable and effective way to manage the reporting is as follows: If Campus Security Authorities observe any crime listed below, or if any person reveals to a Campus Security Authority, in good faith, that he/she learned of or were the victim of, perpetrator of, or witness to any crime listed below, the Campus Security Authority must immediately notify the UNM Police Department.

Crimes that should be reported are:

• Murder and non-negligent manslaughter
• Negligent manslaughter
• Sexual Assault:
• Rape
• Sodomy
• Sexual Assault with an Object
• Fondling
• Incest
• Statutory Rape
• Robbery
• Aggravated assault
• Burglary
• Motor vehicle theft
• Arson
• All hate crimes that fall under the listed Clery reportable offenses listed above
• Dating Violence
• Domestic Violence
• Stalking
• All liquor, drug, or weapons law violations

The UNM Police Department compiles and reports annual crime statistics to inform the University community about crime and related problems. Statistics are gathered from UNM Police Department reports and through consultation with the Albuquerque Police Department, Bosque Farms Police Department, Kirtland Air Force Base Military Police, Santa Ana Pueblo Police Department, the Bernalillo Police Department and Rio Rancho Police Department, as appropriate, for areas of public property and non-campus property associated with UNM that are within the jurisdiction of those law enforcement agencies. "Public property" and "non-campus property" are geographic areas defined by the federal Clery Act for which crime statistics must be reported. The annual crime statistic tables at the end of this report include the definitions of those terms.

In addition to reporting crime statistics from reports made to the UNM Police Department and other local law enforcement agencies, UNM Police Department contacts other campus security authority offices on the UNM campus to find out if any crimes were reported to those offices, including confidential crime reports, which were not reported to law enforcement. UNM's campus security authority offices are found in: the Albuquerque Main Campus Dean of Students Office, Residence Life Office, Greek Life Office, Student Activities Center, University Hospital Security Office, School of Medicine Office of Medical Student Affairs, College of Pharmacy Dean's Office, College of Nursing Student Services Office, School of Law Operations Manager, UNM-West Building Manager, Sandoval Regional Medical Center Security Manager, Office of Equity and Inclusion, Women's Resource Center, El Centro de la Raza, African American Student Services Office, and American Indian Student Services Office. LGBTQ Resource Center and Accessibility Resources, and American Indian Student Services. Additionally, UNM athletics team coaches and advisors to UNM chartered student organizations are also campus security authorities. Reports of crimes made to all these offices and University personnel, including reports made confidentially, are included in the annual crime statistics.

Professional counselors with UNM's Student Health and Counseling (SHAC) are not campus security authorities, so that crime reports made to counselors are not disclosed by the counselor to the UNM Police Department for inclusion in the annual disclosure of crime statistics. As a matter of policy, professional counselors with SHAC, are encouraged, if and when they deem it appropriate, to inform students being counseled of the procedures for reporting crimes to law enforcement or for reporting crimes voluntarily and confidentially to a campus security authority office.

Each year, an email notification is sent to all enrolled students and UNM faculty and staff that briefly describes the annual security report and includes the most recent calendar year's crime statistics. The email also includes the exact website address to access the full report. Copies of the annual security report may also be obtained at the UNM Police Department, Human Resources Service Center, Admissions Office, Student Support and Services Center and the Health Sciences Center Administrative Services Office. Prospective students and employees may request a copy of the report or access it on the UNM Police Department website.

Table 1a at the end of this report shows statistics for reported crimes in 2013 on campus as well as non-campus property and public property surrounding the campus. Table 1b contains 2013 statistics of referrals for disciplinary action to the Dean of Students Office and Residence Life and Student Housing for alcohol, drug and weapons law violations.

Tables 2a and 2b contain 2012 crime statistics and disciplinary referrals and Tables 3a and 3b contain 2011 crime statistics and disciplinary referrals.

Table 4 shows 2013 fire statistics for UNM Student Residential Facilities and Table 5 shows similar information for the privately-owned fraternity and sorority houses at UNM.

SEX OFFENDER REGISTRATION

In accordance with the Campus Sex Crimes Prevention Act of 2000 (CSPA), the UNM Police Department is providing a link to the New Mexico Department of Public Safety for law enforcement agency information concerning registered sex offenders. The CSPA requires institutions of higher education to inform the campus community where law enforcement information about registered sex offenders may be obtained. It also mandates that sex offenders who are required to register in a State must also give notice of each institution of higher education in that State at which the person is employed, carries on a vocation, or is a student. Additionally, the New Mexico Sex Offender Registration and Notification Act requires a convicted sex offender who is employed by, enrolled at, volunteering with or carrying on a vocation at an institution of higher education to register with the university's law enforcement department, the university registrar, the county sheriff for the county in which the higher education institution is located as well as the county sheriff for the county in which the sex offender resides.

Registration at UNM is administered by the UNM Police Department (Hokona Hall, 2500 Campus Blvd NE) or the Registrar's Office (Student Support & Services Center, 1155 University Blvd. SE). The registration form is available online at police.unm.edu/offenders.html.

A list of registered sex offenders is available online from the New Mexico Department of Public Safety at http://www.nmsexoffender.dps. state.nm.us/ or by calling the Bernalillo County Sheriff's Department at (505) 468-7100.

Sexual Misconduct & Assault Response Team and LoboRESPECT

During new student and new transfer student orientations that take place during the summer prior to the Fall Semester matriculation, students are informed of services offered by the University of New Mexico Police Department. During these sessions, there are presentations and skits concerning substance abuse, campus safety and sexual assault. There are approximately twenty sessions each summer.

During new and transfer student orientations, parents also attend sessions that explain campus safety and provide mechanisms for parents to inform their student about how to stay safe in the new campus environment. There are approximately twenty sessions each summer.

During new employee orientation, information is provided that explains how to report emergen-

cies or crime. Information about how to contact UNM Police is also provided. Enhancements to campus security, such as blue light phones, are also conveyed. These orientations are held approximately fifty times per year.

As requested by campus departments, offices and various student, staff and faculty groups, the UNM Police Department conducts presentations that center on personal safety and work-place security, RA training, International student training, and sexual assault programs. Most of the presentations also include specific examples for the requesting group. The Police Department encourages everyone to promptly report crime or suspicious activity to police. The Department also makes people aware of their shared responsibility to prevent crime on campus.

The UNM Police Department is an active member of UNM's Sexual Misconduct & Assault Response Team (SMART), which is a coordinated, victim-oriented and victim-controlled response to primary and secondary victims of sexual assault or abuse. The SMART Team has four basic components that include medical, counseling, law enforcement and the student conduct officer. There are a number of both on and off-campus professional partners who provide SMART services.

The University has a robust emergency alert system that has four parts: text alerts, email alerts, web page alerts, and sirens. These components are utilized when emergencies occur that may impact the University community. The alerts also include information that tells people the actions they should immediately take to remain safe.

LoboRESPECT is the name of UNM's comprehensive approach to preventing and responding to sexual violence in our campus community. The website includes information on prevention education efforts and programs, services and support for victims of sexual violence, policies and sanctions, and much more. All aspects of LoboRESPECT will elevate and strengthen our conversations and efforts to build and sustain a culture of respect in our campus community. For more info, please visit: loborespect.unm.edu

OFF CAMPUS STUDENTS AND STUDENT ORGANIZATIONS

There are a number of recognized student groups at the University of New Mexico. At some point during the year, virtually all of the recognized student groups hold some type of meeting or event at an off-campus venue. Off-campus law enforcement, should it be needed, would fall to the police agency that has primary jurisdiction. In most cases, this would be the Albuquerque Police Department.

When a UNM student is involved in an off-campus offense, the law enforcement agency that has primary jurisdiction handles the case. UNM Police Officers may assist with an off-campus investigation if asked to do so by the local, county, state or federal agency that has primary jurisdiction. The Albuquerque Police Department does not routinely notify UNM Police of incidents that involve students who live within APD's jurisdiction.

UNM Police routinely work and communicate with the Albuquerque Police Department, the Bernalillo County Sheriff's Office, the Rio Rancho Police Department, the Albuquerque Aviation Police Department, the New Mexico State Police, and a variety of federal agencies, both on-campus and in areas within close proximity to the campus. In fact, multiple police agencies routinely work large-scale special events, such as football games, on campus.

The University of New Mexico does not operate off-campus housing or off-campus student organization facilities. Many UNM students live in off-campus apartments that are within close proximity to the campus. The Albuquerque Police Department has primary jurisdiction in these areas. UNM Police responds to incidents that are close to the campus when requested to do so by APD.

CRIME PREVENTION SERVICES & PROGRAMS

We also offer several crime prevention programs designed to keep you safe. Services are available free of charge.

- ALARM SYSTEM: We operate a computerized intrusion and fire alarm system to monitor facilities throughout campus to enhance personal and building security.

- BICYCLE REGISTRATION: The Police Department strongly recommends that everyone operating a bicycle at UNM have it registered. Registration is free and valid for four years. Bicycle registration is available at the Police Department.

- CRIME PREVENTION MATERIALS: Free brochures and other documents regarding crime prevention are available at the Police Department and other campus locations.

- ESCORT SERVICE: The UNM Police department offers escort services to anyone needing an escort from an on-campus location to another on-campus location 24 hours, 7 days a week. We do not give off campus escorts. For escort service call 277-2241.

- LOST AND FOUND: The Police Department operates the main lost and found service for the University.

- OPERATION IDENTIFICATION: We participate in the Operation Identification Program. For assistance, phone 277-2241.

- VICTIM/WITNESS ASSISTANCE PROGRAM: Contact 841-7020.

- PARKING AND TRANSPORTATION SERVICES: Parking and Transportation Services provides lighted shelters at bus stops and offers a reliable shuttle bus system throughout the campus from remote parking areas. For additional information, call 277-1930.

- ACCESS TO CAMPUS FACILITIES: We strive to make our campus safe by limiting access to buildings after hours. Buildings on campus are generally open during regular business hours, when class is in session and for special events. Persons authorized to remain in a building after closing time are required to have proper identification at all times. Police and security personnel conduct frequent internal and external checks of buildings on campus.

Entry to Student Family Housing is controlled by code access through an electronic gate. Security guards are on duty during the evening hours to protect the safety and security of the complex and residents.

UNM maintains eight residence hall complexes on campus. Residence Hall perimeter doors are secured each evening and entry is controlled by key access. Each complex has evening on-duty staff to respond to resident needs and the Student Residence Center Desk is open 24 hours, seven days a week and serves as a service and emergency contact point for residents.

Resident Advisors are available in each unit to assist students. New residents are briefed on UNM and residence hall policies and on crime prevention techniques at orientation/floor meetings held for residents at the start of the semester. Further information may be obtained from the Residence Hall Handbook.

SAFETY TIPS

We have instituted many programs at UNM to ensure your safety while on campus, but safety really begins with you. The following are some tips to help protect yourself from crime:

- If you go out for a late night snack or study break, go with a friend, not alone.

- Walk purposefully. Look confident. Watch where you are going. Be alert to your surroundings.

- Use well-lighted, well-traveled routes. Avoid short-cuts through isolated areas.

- Lock all doors and close all windows when leaving your car, whether it is for a few minutes or for several hours.

- Have keys ready when you approach your car. Check the car for intruders before entering and lock

your door immediately after getting into your car.

- Always lock your bike or motorcycle when leaving it unattended, even if it is only for a few minutes. Use the U-shaped high security lock whenever possible.

- If you witness a crime, call UNM Police immediately.

- List only first initials and last name in telephone directories.

- Hang up immediately on obscene telephone calls.

- Don't keep large sums of money in your office, dorm room, study area or apartment.

- Lock doors and windows, even if you are just going out for a minute.

If you are a victim of a crime or become aware of any suspicious circumstances, call UNM Police immediately.

SEXUAL ASSAULT POLICY

The University of New Mexico recognizes the harm caused by sexual assault and the need to educate the University community regarding this issue. The University of New Mexico sexual violence policy can be found at the end of this Safety and Security report.

Sexual violence refers to physical sexual acts perpetrated with force or coercion against a person's will; or where a person has not given consent or is unable to consent due to his or her use of alcohol or drugs, or disability or age. Sexual violence is a crime. Prohibited actions include, but are not limited to, rape/sexual assault, non-consensual oral sex, sexual contact/battery, sexual exploitation, domestic violence, domestic abuse, dating violence, and stalking.

The University of New Mexico recognizes the harm caused by sexual violence and the need to educate the University community regarding this issue. The University of New Mexico's sexual assault policy in effect at the time of publication of this report can be found at the end of this Safety and Security report.

The University of New Mexico is in the process of revising this policy to comport with recent federal guidance. Once the University of New Mexico's revised sexual violence policy is finalized, it will be found in the University's Student Handbook, the Pathfinder, at pathfinder.unm.edu

DRUG AND ALCOHOL POLICY

UNM's policy on illegal drugs and alcohol can be found at the end of this Safety and Security Report. The unlawful manufacture, distribution, dispensing, possession, or use of controlled substances or alcohol on UNM property as part of any activity by any member of the University community -faculty, staff, or student - is strictly prohibited. Federal and State laws relating to controlled substances and alcohol are enforced by the UNM Police Department. The University enforces the State of New Mexico underage drinking laws and therefore does not permit the possession or consumption of alcoholic beverages by people under the age of twenty-one.

As a condition of employment or enrollment, all UNM employees and students are required to abide by the terms of the policy. Violation of the policy will result in disciplinary action up to and including employee dismissal or student expulsion and/or participation in an approved substance abuse treatment or rehabilitation program.

Recognizing the dangers of substance abuse in the work place, UNM uses alcohol and drug-free awareness programs to inform the community of the issues and risks of substance abuse. Numerous educational and treatment resources are also available, including:

- AGORA CRISIS CENTER

  This UNM student-run organization provides free listening and referral services by trained students and community volunteers. Phone 277-3013.

- ADDICTION AND SUBSTANCE ABUSE PROGRAMS (ASAP)

  The mission of ASAP is to provide treatment services to the community. Phone 925-2400.

- COUNSELING, ASSISTANCE AND REFERRAL SERVICE (CARS)

  This employee assistance program for UNM staff provides counseling and referral services. Phone 272-6868.

- DEPARTMENT OF PSYCHOLOGY CLINIC

  This training clinic for Ph.D. students in clinical psychology provides treatment services to the university community and the community at large. Phone 277-5164.

- CAMPUS OFFICE OF SUBSTANCE ABUSE PREVENTION

  COSAP coordinates and develops substance abuse prevention and training programs and policies for the university and larger community. Phone 277-2795.

- COUNSELING AND THERAPY SERVICES (CATS), STUDENT HEALTH & COUNSELING

  This department of the Student Health & Counseling (SHAC) offers psychological and psychiatric services to UNM students. Phone 277-4537.

- UNM PSYCHIATRIC EMERGENCY SERVICE (PES)

  This department of the University Psychiatric Center is open 24 hours a day, 7 days a week for emergency (life-threatening) services. Phone 272-2920.

- WOMEN'S RESOURCE CENTER

  This center promotes, supports and advocates for all women in the university community; it can provide information and referrals. Phone 277-3716.

- ALBUQUERQUE RAPE CRISIS CENTER

  This community center provides counseling and advocacy for victims of sexual assault. Phone 266-7711.

- HEALTH EDUCATION AND PREVENTION

  This department of the Student Health Center is focused on the health and well-being of UNM students. Services include message therapy and two student programs, Peer Educators and Peer Consultants. Phone 277-7947.

MISSING RESIDENT STUDENT NOTIFICATION POLICY & PROCEDURE

The University of New Mexico takes student safety very seriously. To this end, and in compliance with the Higher Education Opportunity Act of 2008, the purpose of this policy is to provide procedures for reporting, investigating and making emergency notifications regarding any residential student of UNM who, based on the facts and circumstances known to UNM, has been determined to be missing.

For purposes of this policy, a residential student of UNM is a student who is currently enrolled and resides in on-campus housing or in privately owned Greek housing on campus.

Student Contact Information

Residential students have the opportunity to identify an individual who will be contacted by UNM not later than 24 hours after the time that the student is determined to be missing. The contact person may be a parent, legal guardian or other person of the student's choosing, except if the student is under 18 years of age and is not emancipated, UNM must notify a parent or legal guardian not later than 24 hours after the time that the student is determined to be missing. Student contact information is held confidential and is accessible only to authorized UNM officials and law enforcement involved in a missing person

investigation. Students living in UNM residence halls can register their confidential contact information, or make any changes to previously registered information, through Residence Life and Student Housing. Students residing in Greek housing can register their confidential contact information through the Greek Life Office of UNM Student Activities Center. Students are responsible for ensuring that their contact information is up-to-date and accurate.

Missing Student Notification Procedures

UNM students, employees or other individuals who have reason to believe that a residential student is missing should immediately report their concerns to the UNM Police Department. If the Residence Life and Student Housing Office, Greek Life Office or other department receives a report of a missing student, UNM Police Department should be notified immediately. The UNM Police Department will, as appropriate, engage staff from Residence Life and Student Housing and other UNM departments in immediate efforts to locate the student. These efforts may include but are not limited to:

- Contacting the student via his or her telephone and/or email.

- Checking the student's residence; determining if the student has been in class or used his or her UNM ID card.

- Checking with roommates, friends and others who may have relevant information.

If these efforts are unsuccessful in locating the student, UNM Police Department will inform the Dean of Students that the student is missing and the following will occur:

- The Dean of Students, or designee, will notify the student's designated missing person contact within 24 hours of being informed by UNM Police Department that the student is missing.

- If the missing student is under the age of 18 and is not emancipated, the Dean of Students, or designee, will notify the student's custodial parent or legal guardian within 24 hours of being informed by UNM Police Department that the student is missing.

- UNM Police Department will notify any other law enforcement agencies, as appropriate, for purposes of coordinating the investigation and continuing efforts to locate the student.

- The Dean of Students will initiate actions deemed appropriate under the circumstances in the best interest of the missing student. For example, contacting the student's instructors may occur if necessary or beneficial in the situation to the student and/or instructors.

Notification of Policy

Students will receive notification of this Policy annually through its inclusion in the University of New Mexico Annual Security Report and as part of the housing registration process for residential students.

WEAPONS POLICY

With very few exceptions, employees, students and visitors are not permitted to use or possess weapons on any part of the campus. A weapon includes, but is not limited to: firearms; ammunition and other dangerous weapons, substances, or materials; and bombs, explosives, or incendiary devices.

Persons who have such weapons in their possession must deposit them with the Police Department for the duration of their stay at UNM. Persons carrying a concealed handgun pursuant to a New Mexico state license must also deposit their firearm with the UNM Police Department while on campus. Any person failing to comply will become subject to appropriate disciplinary and/or criminal action. The UNM Weapons Policy is printed in its entirety in *The UNM Pathfinder* which is available in the Dean of Students Office (Student Services Center). The Pathfinder is also available on-line at http://pathfinder.unm.edu/.

FIRE SAFETY REPORT

In accordance with the Higher Education Opportunity Act of 2008, UNM is providing mandatory fire safety information as part of this Annual Report. Detailed data for all on-campus student housing facilities can be found in Table 4 at the end of this Annual Report. UNM's Safety & Risk Services Department maintains information on reports of fires and fire alarms in University-owned residential halls and Student Family Housing. All of the Greek fraternity and sorority houses are owned by their respective Greek organizations rather than UNM. The Student Activities Center collects information about fires occurring in Greek housing from the fraternities and sororities.

Fire Safety Policies and Rules

Students who live in UNM residence halls and apartments are part of an academic community that has in place community standards to promote the well-being of all residents. The community standards include rules for fire safety, some of which are explained below. The complete Community Living Guide for Residence Life & Student Housing can be found at http://housing.unm.edu/zz-documents/comlivingguide.pdf.

Smoking: Smoking is not permitted anywhere in the residence halls and apartments. UNM is a tobacco-free campus and smoking is prohibited in all University property except for a number of specifically designated outdoor areas.

Open flames: Open flames are prohibited in the residence halls and apartments. Students are not allowed to burn or possess candles, incense, kerosene lamps, lighter fluid, propane or charcoal in their rooms.

Cooking and electrical appliances: Residence hall and apartment students may bring and use in their rooms a microwave (800 watts or less), hot pot, coffee maker, rice cooker and hot air popcorn popper. Appliances with a visible heating element or which use hot oil (e.g. toasters, toaster ovens, George Forman grills) are prohibited. Possession of unauthorized cooking or heating appliances may result in disciplinary action, including imposition of a fine if use damages the room or apartment. Halogen lamps are prohibited in residence halls and apartments because they pose a fire hazard.

The Residence Life Community Living Guide requires that all electrical appliances, cords and products be listed with National standards. The Guide also requires that all equipment be maintained in excellent working condition. Overloading electrical outlets is prohibited and only approved surge protective/circuit breakers are permitted.

Residents should report all fires or other emergencies to the 24 hour Student Resident Center Desk by employing the audible emergency call box located near hall stairwells, wing exits and in Student Residence Center apartments or by telephone. Residents may also report a fire directly to the fire department by calling 9-911. The Student Resident Center Desk is staffed on a 24-hour basis to respond to emergencies.

Residence hall/apartments conduct four fire drills each academic year (typically two per semester). When a fire alarm sounds in the event of a fire drill or an actual fire, residents must follow established building evacuation procedures as follows:

• All residents and guests are required to exit the building.

• Close all room windows and open draperies.

• Grab a blanket or towel to prevent smoke inhalation.

• Leave room as quickly as possible and proceed to designated exit.

• Leave ceiling lights in room on.

• Lock your door and take your room key with you.

• Don't go out through emergency exits, unless it is truly an emergency or fire.

• Once you are outside of the building move at least 100 feet away from the building to your designated safe area and stay until a signal to return is given by a residence hall staff member.

• Stay clear of fire lanes and hydrants.

• Do not remove or alter electrical fixtures or hardware.

UNM strives to educate residential students about fire safety. Resident Advisors (student staff who live in each residence hall and apartment complex) attend training provided by UNM's Safety & Risk Services Department on responding to emergency situations, including fires. The training includes how to evacuate the halls and use of the fire extinguishers located in residence halls and apartments. All students living in residence halls and apartments attend mandatory meetings each semester with their Resident Advisors to review fire safety materials and Resident Advisors discuss fire evacuation plans for their specific residence halls.

In 2012, UNM Safety & Risk Services began working with Residence Life to expand the type and amount of safety training for staff and Resident Advisors as well as housing maintenance. The training includes an on-line training video and a "smoke house" session where RA's are trained on how to react to a smoke-filled building. UNM Safety & Risk Services continues to provide building familiarization for the local fire department. This is an ongoing collaboration with the local fire department given building upgrades.

The Student Family Housing complex consists of 200 apartments located a three-minute drive south of the main campus. Student Family Housing is designed for UNM students with spouses, domestic partners or families. Student Family Housing has on site management staff and Community Resident Assistants who receive training in fire safety and evacuation procedures.

Normal small electrical appliances are approved for use in Student Family Housing. Electric heaters and air conditioners and other large electrical appliances are not permitted.

Student Family Housing prohibits the burning or possession of candles, incense, kerosene lamps, lighter fluid, propane or charcoal in apartments or under covered patios. Student Family Housing is also a smoke free environment so that residents and their visitors are not allowed to smoke in apartments, or on porches, patios, balconies, and adjacent areas. The complete Student Family Housing Community Living Guide can be found at http://housing.unm.edu/zz-documents/comlivingguide.pdf.

Lobo Village and Casas del Rio are owned and managed by American Campus Community (ACC), in partnership with Residence Life & Student Housing. Table 4, 2013 Fire Statistics for UNM Student Residential Facilities, includes information about Lobo Village and Casas del Rio. Students residing in either of these complexes can look to ACC's lease and resident handbook for information on rules and policies about fire safety. Lobo Village permits smoking inside apartments and in designated outside areas. Casas del Rio does not permit smoking anywhere on property. Neither Lobo Village nor Casas del Rio permit smoking inside their respective club house, office areas or amenities. The use of candles or other burning or smoking devices (including hookahs and shishas) are prohibited in both complexes. Residents with questions can contact the Lobo Village or Casas del Rio offices.

The University of New Mexico Safety & Risk Services Department (SRS) continually evaluates the need for improvements in all aspects of the campus fire safety program. It is the intent of SRS to provide an environment that addresses the issues of fire and life safety for students, visitors, volunteers, faculty and staff. To that end, SRS plans to implement the following fire safety initiatives:

• Provide timely, consistent and effective inspections and testing of building Fire Alarm and Fire Suppression Systems by establishing procedures for conducting and documentation of the required inspections and testing in TMA;

• Ensure that all UNM on/off campus buildings have current and accurate evacuation maps, by conducting onsite verification of building layout and fire system components, annually and after remodels that alter building layouts.

• Update the New Employee Orientation SRS presentation on an ongoing basis to insure that new employees are aware of fire safety measures;

• Provide fire safety information at Welcome Back Days;

• Coordinate with first responder agencies in the vicinity of branch and satellite campuses to insure that fire safety issues are addressed;

• Continue to submit the annual fire safety capital report to the Board of Regents;

• Improve and streamline the collection and retention of data and statistics on fire-related incidents, to respond to Cleary Act requirements;

• Continue to assist with the loss prevention and control inspections by conducting inspections and submitting reports in a timely manner to the SRS Risk staff;

• Continue to provide fire safety support to Special Events to include Gathering of Nations, Bull Riding, sporting events, commencement, etc.;

• Provide fire extinguisher training to different stakeholders throughout campus.

• Follow the requirements of the UNM-AFD MOU to insure coordination with AFD;

• Organize and implement fire drills for all buildings, including branch and satellite campuses, to insure that designated evacuation procedures are being followed, including: timely and complete evacuation, following the correct route to the designated muster point;

• Closely monitor the fire safety vendors to insure that inspections and services are conducted in an appropriate, efficient and regularly scheduled basis;

• Continually update the SRS website to insure that current fire safety information is available to the UNM community; and

• Actively review remodel and new construction plans to insure compliance with fire code.

Greek Housing

UNM's Greek community hosts 24 fraternity and sorority chapters. Of those 24 chapters, eight chapters own and manage chapter houses where members of the fraternity or sorority may reside. These Greek houses are within the UNM campus but they are neither owned nor managed by the University. Chapter houses are owned by the Greek organizations themselves. All of the fraternity and sorority chapters that own houses are affiliates of their respective national Greek organizations which set rules and policies governing chapter houses.

Chapter houses are required to be inspected annually by the Albuquerque Fire Department and must conduct one fire drill each semester. The UNM Student Activities Center collects reports of fires occurring in Greek housing. In 2013, no reported fires occurred in any fraternity or sorority chapter houses.

Information about fire safety in the eight fraternity and sorority chapter houses where UNM Greek students resided in 2013 can be found at the end of this report in Table 5.

SEXUAL ASSAULT POLICY

Approved by the President 10/7/95

I. PURPOSE OF POLICY

This policy provides for The University of New Mexico main and branch campuses:

A. A description of educational programs to promote the awareness of rape, acquaintance rape, and other forcible and non-forcible sex offenses.

B. Procedures students should follow if a sex offense occurs, including procedures concerning who

should be contacted, the importance of preserving evidence for the proof of a criminal offense, and to whom the alleged offense should be reported.

C. Information on a student's option to notify proper law enforcement authorities, including on-campus and local police, and a statement that institutional personnel will assist the student in notifying these authorities, if the student requests the assistance of these personnel.

D. Notification to students of existing on and off campus counseling, mental health, or other student services for victims of sex offenses.

E. Notification to students that the institution will change a victim's academic and living situations after an alleged sex offense and of the options for those changes, if requested by the victim and reasonably available.

F. Procedures for campus disciplinary action in cases of an alleged sex offense, including a clear statement that:

1. The accuser and the accused are entitled to the same opportunities to have others present during a disciplinary proceeding.

2. Both the accuser and accused shall be informed of the final determination of a disciplinary proceeding with respect to the alleged sex offense and any sanction that is imposed against the accused.

3. Sanctions the institution may impose following a final determination of an institutional disciplinary proceeding regarding rape, acquaintance rape, or other forcible or non forcible sex offenses.

II. DEFINITION OF SEX OFFENSES

The University of New Mexico main campus and branch campuses adopt, for the purpose of this policy, the following definitions for sex offenses as prescribed by The Student Right to Know and Campus Security Act:

Sex Offense - Forcible

A. Forcible rape - The carnal knowledge of a person, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her temporary or permanent mental or physical incapacity (or because of his/her youth).

B. Forcible sodomy - Oral or anal sexual intercourse with another person, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her youth or because of his/her temporary or permanent mental or physical incapacity.

C. Sexual assault with an object - The use of an object or instrument to unlawfully penetrate, however slightly, the genital or anal opening of the body of another person, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her youth or because of his/her temporary or permanent mental or physical incapacity.

D. Forcible fondling - The touching of the private body parts of another person for the purpose of sexual gratification, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her youth or because of his/her temporary or permanent mental or physical incapacity.

Sex Offense - Non-forcible

Unlawful, non-forcible sexual intercourse.

A. Incest - Non-forcible sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.

B. Statutory Rape - Non-forcible sexual intercourse with a person who is under the statutory age of consent.

III. EDUCATIONAL PROGRAMS

The University of New Mexico recognizes the harm caused by sexual assault and the need to educate the University community regarding this issue. The University offers the following educational program services:

A. Main Campus

1. Albuquerque Rape Crisis Center
1025 Hermosa S.E.
505-266-7711
The Albuquerque Rape Crisis Center maintains a 24 hour a day crisis telephone service and has counselors available to provide immediate assistance to victims of sex offenses. The center also provides educational programs to campus and community groups. The center is independent of UNM.

2. UNM Health Education
Student Health Center
505-277-7947
Health Education provides workshops and educational programs concerning sexuality, sexual decision-making and relationships.

3. UNM Wellness Center
Student Health Center
505-277-1899
The Wellness Center offers peer consultants to provide students with one-to-one support on a variety of health and wellness issues, including human sexuality.

4. UNM Women's Center
1160 Mesa Vista Hall
505-277-3716
The Women's Center offers a variety of programs regarding gender and sexuality issues. Staff at the center also provide support to victims of sexual offenses and provide mental health referrals for UNM students.

5. UNM Police Department
2500 Campus NE
505-277-2241
The UNM Police Department conducts programs regarding campus safety and crime prevention to the University community.

6. Office of Residence Life
212 Student Residence Commons (SRC)
505-277-9203
The Office of Residence Life coordinates programming for residence hall students including programs addressing sexuality, relationship and safety issues. The office also administers a Residence Life discipline system which addresses misconduct by residence hall students which occurs in the residence halls.

7. Office of Student Activities
1018 Student Union Building
505-277-4706
The Office of Student Activities coordinates various programs for student organizations including fraternities and sororities, which address sexuality, relationships and sexual assault issues.

8. Dean of Students Office
280 Student Services Center
505-277-3361

The Dean of Students Office coordinates New Student Orientation which includes programs addressing sexuality, relationships, and sexual assault issues. A staff member from the office chairs the Campus Safety Committee which coordinates various educational programs regarding campus safety and crime prevention. The Dean of Students Office also administers the enforcement of the Student Code of Conduct and Visitor Code of Conduct, including resolving sexual offense allegations under these policies.

B. Valencia Branch Campus

1. Albuquerque Rape Crisis Center (see description under Main Campus)
1025 Hermosa S.E.
Albuquerque, NM
505-266-7711

2. UNM-Valencia Campus Counseling Center
Student Services Building
505-925-8560
The Counseling Center provides crisis intervention, short-term mental health counseling and community referrals. Hours of operation are Monday through Friday, 8:30am to 5:00pm. After hours appointments can be made by calling 925-8560.

3. Associate Director Student Services
Student Services Building
505-925-8560
Student Services coordinates new student orientation, which includes information about sexual assault and domestic violence. Student Services also provides information brochures and flyers throughout the campus.

IV. PROCEDURES STUDENTS SHOULD FOLLOW IF A SEX OFFENSE OCCURS

The University's Student Code of Conduct and Visitor Code of Conduct, which apply to the main and all of the branch campuses and educational centers, expressly forbid the commission of sexual assault including rape. A student who is a victim of such an offense may pursue charges against the perpetrator under these policies.

It is important for victims of sex offenses to understand the steps to take in order to preserve evidence as it may be necessary in the proof of sexual assault in the criminal process and the student discipline system. Victims should not bathe, shower, wash, douche, brush one's teeth, comb one's hair or change clothes before seeking medical attention. Important evidence may be on the victim's body and/or clothes. This evidence can be collected during the medical examination.

A. Main Campus

1. A student who is the victim of a sex offense on campus or at a University sponsored or sanctioned activity should immediately contact the University Police Department at 505-277-2241 if calling from off-campus and 911 if calling from on-campus to report the incident. A student who is the victim of a sex offense off-campus should immediately contact the Albuquerque Police Department by dialing 911.

The Police Department called will contact the Albuquerque Rape Crisis Center to have a counselor meet the student and responding police officer at the appropriate medical facility. The Rape Crisis Center may be contacted directly by calling 505-266-7711.

The University recognizes the need for victims of sex offenses to have a caring and knowledgeable support person available to discuss their situation with, assist them in receiving appropriate medical attention and filing appropriate criminal charges. The University, including the University Police Department, works closely with the Albuquerque Rape Crisis Center in providing this assistance.

2. The University's Student Code of Conduct and Visitor Code of Conduct expressly forbid the commission of sexual assault including rape. A student who is a victim of such offenses may pursue charges against the perpetrator under these policies. The Dean of Students Office is also available to assist victims of sex offenses in making appropriate accommodations in their academic and living arrangements.

B. Extended University – Santa Fe

1. All victims of sexual assault will be assisted by University staff in contacting appropriate legal authorities and service agencies. Victims are strongly encouraged to report incidents of sexual assault to the Santa Fe County Sheriff's Department (505-986-2455). Students may contact the UNM-Santa Fe Administrative Office (505-438-1234) for assistance in contacting law enforcement authorities and/or service agencies.

2. The University's Student Code of Conduct and Visitor Code of Conduct expressively forbid the commission of sexual assault including rape. A student who is a victim of such offenses may pursue charges against the perpetrator under these polices. The Santa Fe Administrative Office is also available to assist victims of sex offenses in making appropriate accommodations in their academic and living arrangements.

The victim is also encouraged to contact The Santa

Fe Rape Crisis Center, Inc. They provide immediate, confidential, and appropriate care for victims of sexual assault, including a 24 hour intervention line (505-986-9111), medical and legal advocacy; ongoing individual, group and family therapy for children and adults; education and prevention services for schools and community; referral for special services and forensic interview in facilities.

C. Taos Branch Campus

1. A student who is the victim of a sex offense on campus or at a University sponsored or sanctioned activity should immediately contact the Taos Police Department (505 758-2216 or 911) to report the incident.

2. The University's Student Code of Conduct and Visitor Code of Conduct expressively forbid the commission of sexual assault including rape. A student who is a victim of such offenses may pursue charges against the perpetrator under these policies. The Student Services Office is available to assist victims of sex offenses in making appropriate accommodations in their academic and living arrangements.

D. Valencia Branch Campus

1. A student who is the victim of a sex offense on campus or at a University sponsored or sanction activity should immediately contact the Sheriff's Department at 866-2460 (or 911) to report the incident. Students may also contact UNM-Valencia Campus Security Office, located in the Student Center, or call 925-8570. Security is available from 8:00am to 10:00pm Monday through Friday, and Saturday from 8:00am to 5:00pm. Security aids patrol campus during class hours and upon request, provide escort service to parking areas during the evening hours.

2. A person who is a victim of a sex offense committed by a student or a visitor to the Valencia Campus has the option of pursuing charges against the perpetrator under the University's Student Code of Conduct or Visitor Code of Conduct. Information can be obtained from the Associate Director, Student Services in the Student Services Building or by calling 925-8560. The Student Services Office is also available to assist victims of sex offenses in making appropriate accommodations in their academic arrangements.

V. NOTIFICATION OF LAW ENFORCEMENT

A. Main Campus

All victims of sex offenses will be assisted by University staff in contacting appropriate legal authorities and service agencies. Victims are strongly encouraged to report incidents to the University Police Department (505-277-2241) for criminal action. If the offense occurred on property outside the jurisdiction of the University, the University Police Department will assist the student in contacting the law enforcement agency which has jurisdiction. Students may contact the Dean of Students Office (505-277-3361) for assistance in contacting law enforcement authorities and service agencies.

B. Extended University – Santa Fe

All victims of sexual assault will be assisted by University staff in contacting appropriate legal authorities and service agencies. Victims are strongly encouraged to report incidents of sexual assault to the Santa Fe County Sheriff's Department (505-986 2455). Students may contact the UNM-Santa Fe Administrative Office (505-438-1234) for assistance in contacting law enforcement authorities and/or service agencies.

C. Taos Branch Campus

All victims of sexual assault will be assisted by University staff in contacting appropriate legal authorities and service agencies. Victims are strongly encouraged to report incidents of sexual assault to the Taos Police Department (505-758-2216). Students may contact the Student Services Office (505-758-7667) for assistance in contacting law enforcement authorities and/or service agencies.

VI. COUNSELING AND ASSISTANCE SERVICES

A. Main Campus

1. Albuquerque Rape Crisis Center
1025 Hermosa S.E.
505-266-7711
The Albuquerque Rape Crisis Center, which is affiliated with The University of New Mexico, maintains a 24 hour a day crisis telephone service and has counselors available to provide immediate assistance to victims of sex offenses. Counselors will assist victims with the criminal process.

2. Dean of Students Office
280 Student Services Center
505-277-3361
The Dean of Students Office will assist victims of sex offenses with changes in their academic and living situation after an alleged sex offense.

3. Victim Impact Program
Office of the District Attorney
2nd Judicial Circuit
111 Union Square SE
505-841-7107
The District Attorney's Victim Impact Program provides assistance to victims of all crimes including sex offenses. Advocates in this office assist victims in dealing with the criminal system and securing financial and other assistance due to victims under the law.

4. Counseling & Therapy Services (CATS)
Student Health Center, Bldg. 73
505-277-4537
CATS provides low cost, time-limited, psychological and psychiatric services to UNM students, including victims of sex offenses.

5. UNM Women's Center
1160 Mesa Vista Hall
505-277-3716

The Women's Center provides short-term counseling and referral services to UNM students, including victims of sex offenses.

6. AGORA Crisis Center
505-277-3013
The AGORA Crisis Center provides confidential peer support and referrals to any student needing to talk about a personal problem.

7. Office of Residence Life
208 La Posada Hall
505-277-8230
Emergency Desk, Student Residence Center
505-277-9203

Residence Life Area Coordinators and Residence Advisors are available to assist students living in Residence Halls with any problems they are encountering, including providing short term counseling and referral. The Emergency Desk at the Student Residence Center is staffed 24 hours a day.

8. Albuquerque Family Advocacy Center
625 Silver SW, Suite 200
(505) 243-2333

The Albuquerque Family Advocacy Center is a safe secure and caring environment that focuses on the needs of victims of interpersonal crime. The center is committed to providing victims of domestic violence, child abuse, sexual assault and their families with a safe setting to assist in addressing and overcoming the trauma of their experience.

B. Extended University – Santa Fe

1. Santa Fe Rape Crisis Center, Inc.
P.O. Box 16346
Santa Fe, NM 87506
505-988-1951
1-800-721-7273

The Santa Fe Rape Crisis Center, Inc. provides immediate, confidential, and appropriate care for victims of sexual assault, including a 24 hour a day intervention line medical and legal advocacy; ongoing individual, group and family therapy for children and adults; education and prevention services for schools and community; referral for special services and forensic interviewing facilities.

C. Taos Branch Campus

1. Community Against Sexual & Domestic Violence - CASDV
214 A Montoya St.
Taos, NM 87571
505-758-8082
505-758-9888

CASDV maintains a 24 hour a day crises hot line and has counselors available to provide immediate assistance to victims. They also provide referrals, medical and legal advocacy and assist with medical expenses.

2. Taos County/Social Services
Mary Medina Building
Corner of Cruz, Alta & Gusdorf
Taos, NM 87571
505-758-8871

This agency offers adult/child protection and emergency housing.

4. Taos County Health Office
Court House
Albright St.
Taos, NM 87571
505-758-2073

5. Holy Cross Hospital
1397 Weiner Rd.
Taos, NM 87571
505-758-8883

6. Taos Police
107 Civic Plaza Dr.
Taos NM 87571
758-2216 or 911

VII. CHANGE IN VICTIM'S ACADEMIC AND LIVING SITUATION

A. Main Campus

The University will change a victim's academic and living situation after an alleged sex offense if those changes are requested by the victim and reasonably available. Possible changes include:

1. Arranging for extension of class assignment and examination due dates.

2. Assisting the victim in dropping courses or withdrawing from the University without academic or financial penalty if that is the victim's wish.

3. Arranging for the victim to move from one on-campus housing location to another. Specific requests for such changes should be directed to the Dean of Students Office (505-277-3361).

B. Extended University – Santa Fe
The Santa Fe Graduate Center will change a victim's academic situation after an alleged sexual offense if those changes are requested by the victim and reasonably available. Possible changes are the same as those indicated for the main campus. Specific requests for such changes should be directed to the Campus Administrative Office (505-438-1234).

C. Taos Branch Campus
The Taos Education Center will change a victim's academic situation after an alleged sexual offense if those changes are requested by the victim and reasonably available. Possible changes are the same as those indicated for the main campus. Specific requests for such changes should be directed to the Student Service Office (505-758-7667).

VIII. PROCEDURES FOR CAMPUS DISCIPLINARY ACTION

The University of New Mexico's Student Code of Conduct and Visitor Code of Conduct, which apply to the main campus and all branch campuses and educational centers, prohibit "any form of sexual assault including rape."

A. Main Campus

On main campus the Student and Visitor Codes of Conduct are administered by the Dean of Students Office. The University's Residence Hall policies also prohibit sex offenses. The Office for Residence Life administers a disciplinary system which addresses misconduct of residence hall students

which occurs in the residence halls. A person who is a victim of a sex offense committed by a student, or a student who is a victim of a sex offense committed by a visitor to the University has the option of pursuing charges against the alleged perpetrator of the offense under the relevant Code of Conduct.

Victims of sex offenses are encouraged to report them to the appropriate law enforcement authorities and to meet with the University's Student Discipline Officer in the Dean of Students Office to discuss pursuing charges under the relevant policy.

A victim of a sex offense is permitted and encouraged to be accompanied at that meeting by a support person of their choice. Counselors from the Albuquerque Rape Crisis Center, Student Mental Health, Women's Center and the District Attorney's Victim Impact Program are available to accompany and assist the victim of a sex offense throughout the University's disciplinary process.

Under the University's Student Grievance Procedure and Residence Life Disciplinary Policies, the alleged victim of a sex offense and the alleged perpetrator are entitled to the same opportunities to have others present as advisors during disciplinary proceedings. Both the alleged victim and alleged perpetrator will be informed of the final determination of a disciplinary proceeding with respect to the alleged sex offense and any sanction that is imposed on the accused. As described in The University's Student Grievance Procedure alleged victims in student disciplinary proceedings have rights which are protected throughout the discipline process. These rights are:

1. The right to have a person of their choice accompany them throughout their participation in the discipline process.

2. The right to submit a victim impact statement to the hearing officer or committee.

3. The right to have past irrelevant behavior excluded from the hearing determination. Because of the serious nature of the action, victims of sexual or physical assault also have these additional rights:

4. With a recommendation from a licensed mental health counselor, victims may testify from another room as long as it does not infringe upon the accused student's right to confront and question witnesses.

5. The right to be notified in writing of the final determination and any sanctions imposed as a result of the discipline process.

6. The right to be informed of options to notify law enforcement authorities on and off campus as to the incident and to be assisted in doing so by campus officials.

7. The right to be informed of the option for any available assistance in making reasonable changes in academic and on campus living situations.

8. The right to be notified of existing counseling, mental health or student services for victims of physical or sexual assault.

The specific procedures by which Student and Visitor Code of Conduct Violations are resolved can be found in the Student Grievance Procedure published in The University of New Mexico Pathfinder.

B. Extended University – Santa Fe

Violations of the Student and Visitor Codes of Conduct at the Santa Fe Graduate Center are referred to the Dean of Students Office on Main Campus to be handled in the same manner as already described for Main Campus. Victims retain the same rights as those enumerated for the Main Campus. The phone number for Main Campus Dean of Students Office is 505-277-3361.

C. Taos Branch Campus
Violations of the Student and Visitor Codes of Conduct at the Taos Education Center are administered by the Student Services Office (505-758-2216).

A person who is a victim of a sex offense committed by a student, or a student who is a victim of a sex

offense committed by a visitor to the center has the option of pursuing charges against the perpetrator of the offense under the relevant Code of Conduct. Victims of sex offenses are encouraged to report them to the Taos Police Department (505 758-2216 or 911) and to the CASDV Office (505-758-8082). Information regarding pursuing charges under the Student or Visitor Code of Conduct may be obtained by contacting the Student Services Office (505-758-7667).

A victim of a sex offense is permitted and encouraged to be accompanied at meetings with University officials by a support person of their choice. This support person may accompany and assist the victim throughout the University's disciplinary process.

Under the University's Student Grievance Procedure, the alleged victim of a sex offense and the alleged perpetrator are entitled to the same opportunities to have others present as advisors during disciplinary proceedings. Both the alleged victim and alleged perpetrator will be informed of the final determination of a disciplinary proceeding with respect to the alleged sex offense and any sanction that is imposed on the accused.

Victims in student disciplinary proceedings, and victims of sexual assault have the same rights that have been enumerated for the Main Campus.

The specific procedures by which Student and Visitor Code of Conduct violations are resolved can be found in the University of New Mexico Pathfinder.

IX. SANCTIONS

Under the University's Student Code of Conduct which applies to the main and all of the branch campuses and educational Centers, a student who commits a violation of this Code, including a sex offense, is subject to the following possible sanctions:

1. Verbal warning - means an oral reprimand.

2. Written warning - means a written reprimand.

3. Disciplinary probation - means the establishment of a time period during which further acts of misconduct may or will result in more severe disciplinary sanctions depending upon the conditions of the probation.

Conditions of probation can include community service, attendance at workshops and/or seminars including but not limited to alcohol, drug or safety workshops and/or seminars, mandatory mental health evaluation and/or counseling or other educational sanctions.

4. Suspension - means losing student status for a period of time specified in the terms of the suspension. A suspension may commence immediately upon a finding of a violation or it may be deferred to a later time.

5. Expulsion - means losing student status for an indefinite period of time. Readmission may not be sought before the expiration of two years from the date of expulsion, and it is not guaranteed even after that time.

6. Dismissal - means termination of student employment, either for a stated time period or indefinitely.

7. Barred from campus - means being barred from all or designated portions of The University property or activities.

Students living in the residence halls are subject to the following possible sanctions for misconduct occurring in the residence halls:

1. Verbal warning - means an oral reprimand.

2. Written warning - means a written reprimand.

3. Specific probation - means the establishment of a time period during which specific acts of misconduct may or will result in more severe disciplinary sanctions depending upon the conditions of the probation.

Conditions of probation can include commu-

nity service, attendance at workshops and/or seminars including but not limited to alcohol, drug or safety workshops and/or seminars, mandatory mental health evaluation and/or counseling or other educational sanctions.

4. General probation - means the establishment of a time period during which any acts of misconduct may or will result in more severe disciplinary sanctions depending upon the conditions of the probation. Conditions of probation can include community service, attendance at workshops and/or seminars including but not limited to alcohol, drug or safety workshops and/or seminars, mandatory mental health evaluation and/or counseling or other educational sanctions.

5. Housing reassignment - means the transfer of the student from one dorm room to another or one residence hall to another.

6. Restricted from entry into specific residence halls, dining hall, commons building, etc.

7. Contract termination - means the termination of the housing contract either for a stated period of time or indefinitely. Students placed on residence hall probation or who have their contracts cancelled will have their conduct case sent to the Dean of Students Office for review/records purposes. Students violating residence hall policies may also be referred to the Dean of Students Office for additional disciplinary review under the Student Code of Conduct.

Under the University's Visitor Code of Conduct which applies to the main and all branch campuses and educational Centers, a visitor who commits a violation of this Code, including a sex offense, is subject to the following possible sanctions:

1. Verbal Warning - means an oral reprimand.

2. Written Warning - means a written reprimand.

3. Probation - means the establishment of a time period during which further acts of misconduct may or will result in more severe sanctions depending on the conditions of the probation.

4. Removal from campus means being physically escorted or forcibly removed to a location off property owned or controlled by The University, by University Police Officers or other University agents.

5. Barred from campus - means being barred from all or designated portions of University property or activities.

6. The sanctions of denial of admission, readmission or employment by the University.

7. Additionally or alternatively, any sanction applicable to a student under the Student Code of Conduct may be provisionally applied to a visitor, to be made effective should the visitor ever enroll or re-enroll at the University.

POLICY ON ILLEGAL DRUGS & ALCOHOL

Adopted by the President
August 3, 1990
Revised September 11, 1992

This Policy on Illegal Drugs and Alcohol is adopted pursuant to federal laws and because of the commitment of The University of New Mexico to an environment for the pursuit of its educational mission free of drugs and the illegal use of alcohol. Drug and alcohol abuse on campus poses a serious threat to the health and welfare of faculty, staff and students; impairs work and academic performance; jeopardizes the safety and well-being of other employees, students and members of the general public; and conflicts with the responsibility of The University of New Mexico to foster a healthy atmosphere for the pursuit of education, research and service.

This policy covers all property owned, used, leased or controlled by The University of New Mexico, or any other site where official University busi-

ness is being conducted. "Controlled substances" means those substances in Schedules I through V of section 202 of the Controlled Substances Act, 21 U.S.C. 812, and implementing regulations, 21 CFR 1308.11-1308.15. Controlled substances include, but are not limited to, marijuana, cocaine (including "crack"), amphetamines, heroin, PCP, hallucinogens, and certain prescription drugs. Illegal uses of alcohol include, but are not limited to, serving, buying or drinking alcohol by a minor; assisting a minor or an intoxicated person to get alcohol; selling alcohol without a license and driving while under the influence. This policy is not intended to supersede or negate any existing policies on substance abuse, student or employee discipline, or any additional requirements imposed on The University of New Mexico or its students, faculty or staff by federal or state law.

I. Policy Statement

The unlawful manufacture, distribution, dispensing, possession or use of controlled substances or alcohol on UNM property or as part of any of its activities by any member of The University of New Mexico community faculty, staff or students strictly prohibited.

As a condition of employment, all employees - faculty and staff - of The University of New Mexico shall abide by the terms of this policy. Violation of this policy shall result in disciplinary action, up to and including dismissal. For more detailed information, faculty should refer to the Faculty Handbook and / or contact the Faculty Grants and Contracts Office. Staff may refer to the University Business Policies and Procedures Manual and/or contact the Human Resources Department.

As a condition of continued registration and enrollment, any student of The University of New Mexico shall abide by this policy. Violation of this policy shall result in disciplinary action, up to and including expulsion. For more detailed information, students should refer to the Student Code of Conduct and related policies printed in the UNM Pathfinder and/or contact the Dean of Students Office.

UNM's response to any violation of this policy may include, as a total or partial alternative to disciplinary action, a requirement that the employee or student participate satisfactorily in an approved substance abuse treatment or rehabilitation program as a condition of continued employment or registration /enrollment. Any employee engaged in the performance of work under a federal contract or grant is required, as a condition of employment, to notify his/her supervisor if he or she is convicted of a criminal drug statute violation occurring in the workplace within five days of such conviction. The supervisor shall notify the University Counsel's Office. Failure of the employee to notify the supervisor shall be grounds for disciplinary action.

In recognition of the dangers of substance abuse in the workplace, The University of New Mexico shall maintain alcohol and drug-free awareness programs to inform members of the University community about the issues and risks of substance abuse, and about counseling, and treatment resources. The University shall assign responsibility for such awareness programs to specific administrative entities, which shall be provided sufficient resources to develop and maintain the programs. As a matter of policy, any referral, treatment, awareness or primary prevention programs established by The University of New Mexico shall play no role in enforcing or instituting possible disciplinary action.

II. Legal Sanctions for the Unlawful Possession or Distribution of Illicit Drugs and Alcohol

The penalties for even the most minor of violations of the Liquor Control Act can include fines of up to $300, confiscation of property and imprisonment for up to seven months. More serious violations carry greater penalties, with larger fines and longer imprisonment.

Driving or using machinery after drinking or using drugs creates the risk that the user may injure or kill someone. This can result in homicide charges. License revocation and vehicle impoundment are also possible results of driving while under the

influence of liquor or drugs. The minimum blood alcohol levels at which drivers' licenses are revoked in New Mexico are: .02% for those under 21 and.08% for those 21 and over. All drivers in New Mexico are presumed to be intoxicated at the .08% level.

In drug-related cases a court can permanently suspend eligibility for federal benefits, including financial aid. A criminal record can seriously hurt educational and career opportunities.

Penalties for illegal drug use can include significant fines and imprisonment. Penalties for the illegal sale of drugs are greater, and may include property confiscation. Alternative penalties for illegal drug or alcohol use may also include mandatory community service. Violation of laws by a foreign national may result in deportation.

Details on federal and state sanctions for the unlawful possession or distribution of illegal drugs are contained in the Policy on Illegal Drugs and Alcohol that appears on page 53 of the 2007-2008 UNM Pathfinder.

III. Health Risks Associated with Use of Illicit Drugs and the Abuse of Alcohol.

Excessive alcohol consumption and abuse of illicit drugs can lead to certain types of cancer, pathological changes in the liver, brain, heart and muscle which can lead to disability and death, addiction, birth defects, shortened life span, stomach ulcers phlebitis, varicose veins, and other health problems. Alcohol and drugs are also a major factor in homicides, assaults, rapes, suicide, family and date violence. Alcohol is significantly involved in all types of accidents- motor vehicle, home, industrial, and recreational. Unintended pregnancies and sexually transmitted diseases are often associated with alcohol or other drug abuse, as well as relationship, academic or work problems.

For more information on the possible effects and health risks associated with the use of illicit drugs and alcohol, contact the Student Health Center Health Education Program (277 7947) or the UNM Campus Office of Substance Abuse Prevention (277-2795). Information on the possible effects and health risks associated with the use of illicit drugs and controlled substances is also contained in the Policy on Illegal Drugs and Alcohol that appears on page 53 of the 2007-2008 UNM Pathfinder.

CAMPUS RESOURCES FOR FACULTY & STAFF:

If you are concerned about a student's alcohol, tobacco or other drug use, contact the Wellness Center at the Student Health Center for consultation. Confidential consultations, individual assessments and education are available.

If you are concerned about your own, an employee's or a colleague's alcohol or drug use, contact the CARS program. The intent of CARS is not to intrude into the private lives of University employees, but rather to provide services for those who choose to request help with their problems. Your contact with CARS is confidential within the limits of applicable law and ethical guidelines. Individual assessments, short-term counseling, consultation and referrals are available. CARS also offers presentations on a variety of topics, voluntary mediation services, group crisis intervention, and team building.

C.A.R.S. (Counseling, Assistance & Referral Service)
Phone 272-6868

University Hospital employees may contact C.A.R.S. directly (272-6868) or call Human Resources for information about available counseling & referral resources.
Phone: 272-0942

CAMPUS RESOURCES FOR STUDENTS:

If you are concerned about a student's alcohol, tobacco or other drug use, contact the Wellness Center at the Student Health Center for consultation. Confidential consultations, individual assessments and education are available.

Student Health & Counseling (SHAC): 277-3136

Campus Office of Substance Abuse Prevention (COSAP): 277-2795

Health Education Program
(Information on peer education groups)
Phone: 277-7947

Student Counseling and Therapy Services (CATS)
Student Health & Counseling (SHAC)
Phone: 277-4537

Other Campus and Community Resources

AGORA Crisis Center:
Phone: 277-3013

UNM Psychiatric Emergency Service (PES)
Phone: 272-2920

University Hospital Emergency Dept.
Phone: 272-2411

UNM Center on Alcoholism, Substance Abuse and Addictions (CASAA)

Treatment Division (Intake)
Phone: 925-2300

Research Division
Phone: 925-2300

UNM Department of Psychology Clinic
Phone: 277-5164

UNM Employee Health Promotion Program
Phone: 272-4460

UNM Employee Occupational Health Services
Phone: 272-8043

UNM Mental Health Center, Crisis Unit
Phone: 272-2800

UNM Women's Resource Center
Phone: 277-3716

PHONE NUMBERS TO CALL

UNM Police Department
Emergency: 911
Non-emergency: 277-2241
TTY: 277-0888
Escort Service: 277-2241

Parking Services: 277-1938

Dean of Students: 277-3361

Student Health & Counseling (SHAC): 277-3136

Student Housing: 277-2606

APD
Non-Emergency: 242-COPS (2677)
Information: 768-2020

Bernalillo County Sheriff
Non-emergency: 768-4160

2013 Reported Crimes – **Table 1a				
Offense	On Campus Property	Non-Campus Property	Public Property	* Residential Facilities
Murder/Non-Negligent Manslaughter	0	0	0	0
Negligent Manslaughter	0	0	0	0
Robbery	0	0	0	0
Aggravated Assault	6	1	0	0
Motor Vehicle Theft	41	3	0	0
Arson	1	0	0	0
Hate Crimes	1	0	0	1
Burglary	24	2	0	8
Dating Violence	4	0	0	3
Domestic Violence	13	2	0	2
Stalking	4	0	0	2
Sexual Assault	11	0	0	7
Liquor Law Arrests	6	0	0	5
Drug Arrests	16	1	0	10
Illegal Weapons Possession	0	0	0	0
*All crimes noted in residential facilities are also represented in On-Campus Property				
**Table 1a includes crime statistics for UNM West Campus in Rio Rancho New Mexico				
** 2013 Referrals for Disciplinary Action – Table 1b				
Liquor Law Violations Refered for Disciplinary Action	281	0	0	280
Drug Law Violations Referred for Disciplinary Action	73	0	0	69
Illegal Weapons Possession Violations Referred for Disciplinary Action	2	0	0	2
** These statistics are persons referred for disciplinary proceedings conducted by the Dean of Students Office or Residence Life & Student Housing.				

Definitions under Federal Regulations

On Campus

Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor)

A Non-campus Building or Property

Any building or property owned or controlled by a student organization that is officially recognized by the institution; or any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's edcuational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution

On Public Property

All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus

2012 Reported Crimes – Table 2a

Offense	On-Campus Property	Non-Campus Property	Public Property	* Residential Facilities
Murder/Non-Negligent Manslaughter	0	0	0	0
Negligent Manslaughter	0	0	0	0
Robbery	0	0	0	0
Aggravated Assault	10	1	0	0
Motor Vehicle Theft	38	2	0	0
Arson	0	0	0	0
Hate Crimes	0	0	0	0
Burglary	35	3	0	7
Sex Offenses, Forcible	4	0	0	1
Sex Offenses, Non-Forcible	0	0	0	0
Liquor Law Arrests	15	0	0	12
Drug Arrests	12	4	0	8
Illegal Weapons Possession	0	0	0	0

All crimes noted in residential facilities are also represented in On-Campus Property

**2012 Referrals for disciplinary Action – Table 2b

	On-Campus Property	Non-Campus Property	Public Property	* Residential Facilities
Liquor Law Violations Refered for Disciplinary Action	269	0	0	265
Drug Law Violations Referred for Disciplinary Action	74	0	0	63
Illegal Weapons Possession Violations Referred for Disciplinary Action	0	0	0	0

*** These statistics are persons referred for disciplinary proceedings conducted by the Dean of Students Office or Residence Life & Student Housing.*

Definitions under Federal Regulations

On Campus

Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor).

Non-campus Building or Property

Any building or property owned or controlled by a student organization that is officially recognized by the institution; or any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution.

Public Property

All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus.

2011 Reported Crimes – Table 3a

Offense	On Campus Property Reported to UNMPD	Reported to APD	Reported to Non-Police	Non-Campus Property Reported to UNMPD	Reported to APD	Reported to Non-Police	Public Property Reported to UNMPD	Reported to APD	Reported to Non-Police	* Residential Facilities Reported to UNMPD	Reported to APD	Reported to Non-Police
Murder/Non-Negligent Manslaughter	0	0	0	0	0	0	0	0	0	0	0	0
Negligent Manslaughter	0	0	0	0	0	0	0	0	0	0	0	0
Robbery	4	0	0	0	6	0	0	0	0	0	0	0
Aggravated Assault	10	0	0	0	4	0	0	0	0	6	0	0
Motor Vehicle Theft	57	0	0	0	1	0	0	0	0	0	0	0
Arson	0	0	0	0	0	0	0	0	0	0	0	0
Hate Crimes	0	0	0	0	0	0	0	0	0	0	0	0
Burglary	19	0	0	0	4	0	0	0	0	9	0	0
Sex Offenses, Forcible	2	0	0	0	0	0	0	0	0	0	0	1
Sex Offenses, Non-Forcible	0	0	0	0	0	0	0	0	0	0	0	0
Liquor Law Arrests	11	0	0	0	0	0	0	0	0	9	0	0
Drug Arrests	20	0	0	0	2	0	0	0	0	15	0	0
Illegal Weapons Possession	1	0	0	0	0	0	0	0	0	0	0	0

All crimes noted in residential facilities are also represented in On-Campus Property

**2011 Referrals for disciplinary Action – Table 3b

	UNMPD	APD	Non-Police	UNMPD	APD	Non-Police	UNMPD	APD	Non-Police	UNMPD	APD	Non-Police
Liquor Law Violations Refered for Disciplinary Action	0	0	220	0	0	0	0	0	0	0	0	205
Drug Law Violations Referred for Disciplinary Action	0	0	72	0	0	0	0	0	0	0	0	68
Illegal Weapons Possession Violations Referred for Disciplinary Action	0	0	2	0	0	0	0	0	0	0	0	2

*** These statistics are persons referred for disciplinary proceedings conducted by the Dean of Students Office or Residence Life & Student Housing.*

Definitions under Federal Regulations
On Campus
Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor)
A Non-campus Building or Property
Any building or property owned or controlled by a student organization that is officially recognized by the institution; or any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution
On Public Property
All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus

Higher Education Act (H. R. 4137)

University of New Mexico, Campus Safety Right-To-Know for Calendar Year 2013

Building Name	Building Number	Address	(A) (i) Number of fires and cause of each fire	Other fire related incidents	(A) (ii) Number of injuries related to a fire that resulted in treatment at a medical facility	(A) (iii) The number of deaths related to a fire.	(A)(iv) The value of property damage caused by a fire.	(B) A description of each on-campus student housing facility fire safety system, including the fire sprinkler system	(C) The number of regular mandatory supervised fire drills
STUDENT FAMILY HOUSING	317 - 329	961 BUENA VISTA SE	One reported fires during this reporting period.	45 total fire alarm activations. NO reported fire or smoke responses.	0	0	$83,025	Local smoke detectors in apartment bedrooms only. System smoke detectors in common areas of each unit. The System smoke detectors and exterior pull stations alarms reports to main office and notifies Campus Police. No fire sprinklers in any of the apartments.	0 per year
ALVARADO HALL	157	2800 CAMPUS BLVD. NE	No reported fires during this reporting period	1 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke alarms in dormitory rooms; manul pull statiosns at all exit doors; system smoke detectors in common areas. Activation of pull station or system detector reports to the Student Residence Commons and notifies Campus Police. No fire sprinklers.	2 per year (spring and fall)
SANTA CLARA HALL	61	2600 CAMPUS BLVD. NE	No reported fires during this reporting period	0 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room smoke alarms in dormitory rooms ring local only with supv signal to SRC. Common areas have system smoke detection with and pull stations at exit doors that will activate general alarm signtal the SRC desk as well as Campus Police. No fire sprinklers.	2 per year (spring and fall)
CORONADO HALL	155	301 GIRARD BLVD. NE	No reported fires during this reporting period	2 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke alarms in dormitory rooms, manual pull stations at all exit doors. System smoke detectors in common areas, pull stations, smoke detectors or a sprinkler activation reports to SRC Commons notifies Campus Police. Fire sprinkler system in basement only.	2 per year (spring and fall)
HOKONA HALL	58	2500 CAMPUS BLVD. NE	No reported fires during this reporting period	2 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only	2 per year (spring and fall)
DEVARGAS HALL	75	2604 CAMPUS BLVD. NE	No reported fires during this reporting period	5 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only.	2 per year (spring and fall)
LAGUNA HALL	74	2602 CAMPUS BLVD. NE	No reported fires during this reporting period	1 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only.	2 per year (spring and fall)
STUDENT RESIDENT CENTER (APTS)	88A-I. & 90A, 90B	301 REDONDO DR. EAST NE	No reported fires during this reporting period	1 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	"Call Box" system notifies SRC Commons Duty Desk. Local smoke detectors in rooms only, smoke detection in common areas activate general alarm. Alarm activation by sprinkler activation or SRC Commons notifies Campus Police. Includes fire sprinkler system. Apartments are fully sprinkled.	2 per year (spring and fall)
REDONDO VILLAGE APTS. A-E	193	300 REDONDO DR. EAST	No reported fires during this reporting period	1 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms. System smoke detection in corridors. "Call Box" system notifies SRC Commons Duty Desk; General alarm activation by common area smoke/heat detectors, sprinkler activation or SRC Commons notifies Campus Police. Includes fire sprinkler system. RVA is fully sprinkled.	2 per year (spring and fall)
LOBO VILLAGE	LV 3-19	1200 AVENIDA CESAR CHAVEZ BLVD.	No reported fires during this reporting period per UNM Alarms. Alarms were monitored off site in 2013.	17 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms and in common areas and corridors. General alarm activation by common area smoke/heat detectors, sprinkler activation fire alarm pull station. Lobo Village is fully sprinkled. Fire Alarm notifies both UNM Campus Police Dispatch and the City of Albuquerque Emergency 911 Dispatch Center	0 per year (spring and fall) Cordinated by ACC
CASA DEL RIO	LV 3-19	REDONDO AND CAMPUS	No reported fires during this reporting period per UNM Alarms. Alarms were monitored off site in 2013.	12 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms and in common areas and corridors. General alarm activation by common area smoke/heat detectors, sprinkler activation fire alarm pull station. Lobo Village is fully sprinkled. Fire Alarm notifies both UNM Campus Police Dispatch and the City of Albuquerque Emergency 911 Dispatch Center.	0 per year (spring and fall) Cordinated by ACC

2013 Fire Statistics: Fraternity & Sorority Housing Facilities – Table 5

Building Name	Address	(A)(i) Number of fires and cause of each fire	Other fire related incidents	(A)(ii) Number of injuries related to a fire that resulted in treatment at a medical facility	(A)(iii) The number of deaths related to a fire	(A)(iv) The value of property damage caused by a fire	(B) A description of each on-campus student housing facility fire safety system, including the fire sprinkler system	(C) The number of regular mandatory supervised fire drills
Alpha Chi Omega	1635 Mesa Vista Rd NE	None	1 False Alarm	None	None	None	**Not functioning as a sorority house (2013).** Centralized smoke detector system in common areas as well as bed rooms. Pull stations in main hallways and common areas.	2 per year (spring and fall)
Chi Omega	1810 Mesa Vista Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms. Fire Suppression system is also in place throughout the house.	2 per year (spring and fall)
Kappa Kappa Gamma	1620 Mesa Vista Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms. Fire Suppression system is also in place throughout the house. Pull stations in main hallways and common areas.	2 per year (spring and fall)
Phi Gamma Delta (Fiji)	1801 Mesa Vista Rd NE	None	1 False Alarm	None	None	None	Centralized smoke detector system in common areas as well as bed rooms.	2 per year (spring and fall)
Pi Beta Phi	1701 Mesa Vista Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms.	2 per year (spring and fall)
Pi Kappa Alpha	700 University Blvd. NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms. Fire Suppression system is also in place throughout the house.	2 per year (spring and fall)
Sigma Alpha Epsilon	1811 Mesa Vista Rd. NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms.	2 per year (spring and fall)
Sigma Chi	1855 Sigma Chi Rd. NE	None	1 False Alarm	None	None	None	Centralized smoke detector system in common areas as well as bed rooms.	2 per year (spring and fall)
Lambda Chi Alpha (not functioning as a fraternity house in 2013)	1815 Sigma Chi Rd NE	None	None	None	None	None	not functioning as a fraternity house	not functioning as a fraternity house

2012 Fire Statistics: UNM Student Residential Facilities - Table 4

Building Name	Building Number	Address	Number of fires and cause of each fire	Other fire related incidents	Number of injuries related to a fire that resulted in treatment at a medical facility	The number of deaths related to a fire	The value of property damage caused by a fire.	A description of each on-campus student housing facility fire safety system, including the fire sprinkler system	The number of regular mandatory supervised fire drills
STUDENT FAMILY HOUSING	317 - 329	961 BUENA VISTA SE	No reported fires during this reporting period	79 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartment bedrooms only.; System smoke detectors and exterior pull stations alarms to main office and notifies Campus Police. No fire sprinklers in any of the apartments.	1 per year
ALVARADO HALL	157	2800 CAMPUS BLVD. NE	No reported fires during this reporting period	0 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in rooms only; one pull station at "Duty Desk"; "Call Box" system notifies SRC Commons Duty Desk; Alarm activation by pull station or SRC Commons notifies Campus Police. No fire sprinklers.	4 per year (spring and fall)
SANTA CLARA HALL	61	2600 CAMPUS BLVD. NE	No reported fires during this reporting period	4 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. No fire sprinklers.	4 per year (spring and fall)
CORONADO HALL	155	301 GIRARD BLVD. NE	No reported fires during this reporting period	0 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in rooms only; one pull station at "Duty Desk"; "Call Box" system notifies SRC Commons Duty Desk; Alarm activation by pull station, sprinkler activation or SRC Commons notifies Campus Police. Fire sprinkler system in basement only.	4 per year (spring and fall)
HOKONA HALL	58	2500 CAMPUS BLVD. NE	No reported fires during this reporting period	10 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in rooms only; one pull station at "Duty Desk"; "Call Box" system notifies SRC Commons Duty Desk; Alarm activation by pull station, sprinkler activation or SRC Commons notifies Campus Police. Fire sprinkler system in basement only.	4 per year (spring and fall)
DEVARGAS HALL	75	2604 CAMPUS BLVD. NE	No reported fires during this reporting period	12 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only.	4 per year (spring and fall)
LAGUNA HALL.	74	2602 CAMPUS BLVD. NE	No reported fires during this reporting period	12 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only.	4 per year (spring and fall)
STUDENT RESIDENT CENTER (APTS)	88A-L & 90A, 90B	301 REDONDO DR, EAST NE	No reported fires during this reporting period	2 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	"Call Box" system notifies SRC Commons Duty Desk. Local smoke detectors in rooms only, smoke detection in common areas activate general alarm. Alarm activation by sprinkler activation or SRC Commons notifies Campus Police. Includes fire sprinkler system. Apartments are fully sprinkled.	4 per year (spring and fall)
REDONDO VILLAGE APTS. A-E	193	300 REDONDO DR. EAST	No reported fires during this reporting period	2 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only; System smoke/heat detectors in unoccupied rooms. System smoke detection in corridors. "Call Box" system notifies SRC Commons Duty Desk; General alarm activation by common area smoke/heat detectors, sprinkler activation or SRC Commons notifies Campus Police. Includes fire sprinkler system. RVA is fully sprinkled.	4 per year (spring and fall)
LOBO VILLAGE	LV 3-19	1200 AVENIDA CESAR CHAVEZ BLVD.	No reported fires during this reporting period per UNM Alarms. Alarms were monitored off site in 2012.	17 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms and in common areas and corridors. General alarm activation by common area smoke/heat detectors, sprinkler activation fire alarm pull station. Lobo Village is fully sprinkled. Fire Alarm notifies both UNM Campus Police Dispatch and the City of Albuquerque Emergency 911 Dispatch Center.	4 per year (spring and fall) Coordinated by ACC
CASAS DEL RIO	LV 3-19	REDONDO AND CAMPUS	No reported fires during this reporting period per UNM Alarms. Alarms were monitored off site in 2012.	12 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms and in common areas and corridors. General alarm activation by common area smoke/heat detectors, sprinkler activation fire alarm pull station. Lobo Village is fully sprinkled. Fire Alarm notifies both UNM Campus Police Dispatch and the City of Albuquerque Emergency 911 Dispatch Center.	4 per year (spring and fall) Coordinated by ACC

Generated by SRS using information and reports from: SRS Duty Officer, UNM Campus Police, UNM Alarms Shop, American Campus Communities and on site investigations by SRS University Fire Marshal.
latest Update: 6-3-13

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Fire Right to Konw - Higher Education Act (H. R. 4137)

University of New Mexico, Campus Safety Right-To-Know for Calendar Year 2012

Building Name	Building Number	Address	(A) (i) Number of fires and cause of each fire	Other fire related incidents	(A) (ii) Number of injuries related to a fire that resulted in treatment at a medical facility	(A) (iii) The number of deaths related to a fire:	(A)(iv)The value of property damage caused by a fire.	(B)A description of each on-campus student housing facility fire safety system, including the fire sprinkler system	(C) The number of regular mandatory supervised fire drills
STUDENT FAMILY HOUSING	317 - 329	961 BUENA VISTA SE	No reported fires during this reporting period	79 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartment bedrooms only. System smoke detectors in common areas. System smoke detectors and exterior pull stations alarms to main office and notifies Campus Police. No fire sprinklers in any of the apartments.	1 per year
ALVARADO HALL	157	2800 CAMPUS BLVD. NE	No reported fires during this reporting period	0 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in rooms only; one pull station at "Duty Desk." "Call Box" system notifies SRC Commons Duty Desk; Alarm activation by pull station or SRC Commons notifies Campus Police. No fire sprinklers.	4 per year (spring and fall)
SANTA CLARA HALL	61	2600 CAMPUS BLVD. NE	No reported fires during this reporting period	4 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. No fire sprinklers.	4 per year (spring and fall)
CORONADO HALL	155	301 GIRARD BLVD. NE	No reported fires during this reporting period	0 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in rooms only; one pull station at "Duty Desk." "Call Box" system notifies SRC Commons Duty Desk; Alarm activation by pull station, sprinkler activation or SRC Commons notifies Campus Police. Fire sprinkler system in basement only.	4 per year (spring and fall)
HOKONA HALL	58	2500 CAMPUS BLVD. NE	No reported fires during this reporting period	10 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in rooms only; one pull station at "Duty Desk." "Call Box" system notifies SRC Commons Duty Desk; Alarm activation by pull station, sprinkler activation or SRC Commons notifies Campus Police. Fire sprinkler system in basement only.	4 per year (spring and fall)
DEVARGAS HALL	75	2604 CAMPUS BLVD. NE	No reported fires during this reporting period	12 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement system tied to fire alarm system. Fire sprinkler system in basement only.	4 per year (spring and fall)
LAGUNA HALL	74	2602 CAMPUS BLVD. NE	No reported fires during this reporting period	12 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only.	4 per year (spring and fall)
STUDENT RESIDENT CENTER (APTS)	88-A1, & 90A, 90B	301 REDONDO DR. EAST NE	No reported fires during this reporting period	2 total fire alarm activations. NO reported fire or smoke responses.				"Call Box" system notifies SRC Commons Duty Desk. Local smoke detectors in rooms only, smoke detection in common areas activate general alarm. Alarm activation by sprinkler activation or SRC Commons notifies Campus Police. Includes fire sprinkler system. Apartments are fully sprinkled.	4 per year (spring and fall)
REDONDO VILLAGE APTS. A-E	193	300 REDONDO DR. EAST	No reported fires during this reporting period	2 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only.; System smoke/heat detectors in unoccupied rooms. System smoke detection in corridors. "Call Box" system notifies SRC Commons Duty Desk; General alarm activation by common area smoke/heat detectors, or SRC Commons notifies Campus Police. Includes fire sprinkler system. RVA is fully sprinkled.	4 per year (spring and fall)
LOBO VILLAGE	LV 3-19	1200 AVENIDA CESAR CHAVEZ BLVD	No reported fires during this reporting period per UNM Alarms. Alarms were monitored off site in 2012.	17 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms and in common areas and corridors. General alarm activation by common area smoke/heat detectors, sprinkler activation fire alarm pull station. Lobo Village is fully sprinkled. Fire Alarm notifies both UNM Campus Police Dispatch and the City of Albuquerque Emergency 911 Dispatch Center.	4 per year (spring and fall) Cordinated by ACC
CASA DEL RIO	LV 3-19	REDONDO AND CAMPUS	No reported fires during this reporting period per UNM Alarms. Alarms were monitored off site in 2012.	12 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms and in common areas and corridors. General alarm activation by common area smoke/heat detectors, sprinkler activation fire alarm pull station. Lobo Village is fully sprinkled. Fire Alarm notifies both UNM Campus Police Dispatch and the City of Albuquerque Emergency 911 Dispatch Center.	4 per year (spring and fall) Cordinated by ACC

2011 Fire Statistics: UNM Student Residential Facilities – Table 4

Building Name	Building Number	Address	(A)(i) Number of fires and cause of each fire	Other fire related incidents	(A)(ii) Number of injuries related to a fire that resulted in treatment at a medical facility	(A)(iii) The number of deaths related to a fire.	(A)(iv) The value of property damage caused by a fire.	(B) A description of each on-campus student housing facility fire safety system, including the fire sprinkler system	(C) The number of regular mandatory supervised fire drills
STUDENT FAMILY HOUSING UNIT C4	317 - 329	961 BUENA VISTA SE	1- small electrical fire in laundry room. No significant reportable damage.	120 total fire alarm activations. Many were cooking related - NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartment bedrooms only; System smoke detectors and exterior pull stations alarms to main office and notifies Campus Police. No fire sprinklers in any of the apartments.	1 per year
ALVARADO HALL	157	2800 CAMPUS BLVD. NE	No reported fires during this reporting period	0 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. No sprinklers.	4 per year (spring and fall)
SANTA ANA HALL	71	2710 CAMPUS BLVD. NE	No reported fires during this reporting period	2 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. No fire sprinklers.	4 per year (spring and fall)
SANTA CLARA HALL	61	2600 CAMPUS BLVD. NE	No reported fires during this reporting period	No reported fire related incidents during this reporting period	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. No fire sprinklers.	4 per year (spring and fall)
CORONADO HALL	155	301 GIRARD BLVD. NE	No reported fires during this reporting period	0 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in rooms only; one pull station at "Duty Desk"; "Call Box" system notifies SRC Commons Duty Desk; Alarm activation by pull station, sprinkler activation or SRC Commons notifies Campus Police. Fire sprinkler system in basement only. The fire alarm/notification system is in the process of being updated (replacement of existing alarm and notification devices and adding some new fire smoke detection) and is expected to be completed in October 2012.	4 per year (spring and fall)
HOKONA HALL	58	2500 CAMPUS BLVC. NE	No reported fires during this reporting period	11 total fire alarm activations. Many were cooking related - 1 reported fire involving a couch and smoking material.	0	0	$0	Local smoke detectors in rooms only; one pull station at "Duty Desk"; "Call Box" system notifies SRC Commons Duty Desk; Alarm activation by pull station, sprinkler activation or SRC Commons notifies Campus Police. Fire sprinkler system in basement only.	4 per year (spring and fall)
DEVARGAS HALL	75	2604 CAMPUS BLVD. NE	No reported fires during this reporting period	23 total fire alarm activations. Many were cooking related - NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only.	4 per year (spring and fall)
LAGUNA HALL	74	2602 CAMPUS BLVD. NE	No reported fires during this reporting period	7 total fire alarm activations. Many were cooking related - NO reported fire or smoke responses.	0	0	$0	Full fire alarm system. In room detectors ring local only with supv signal to SRC. Common areas full detection with Pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only.	4 per year (spring and fall)
STUDENT RESIDENT CENTER (APTS)	88A-L & 90A, 90B	301 REDONDO DR. EAST NE	No reported fires during this reporting period	0 total fire alarm activations. NO reported fire or smoke responses.	0	0	$0	"Call Box" system notifies SRC Commons Duty Desk. Local smoke detectors in rooms only; smoke detection in common areas activate general alarm. Alarm activation by sprinkler activation or SRC Commons notifies Campus Police. Includes fire sprinkler system. Apartments are fully sprinkled.	4 per year (spring and fall)
REDONDO VILLAGE APTS. A-E	193	300 REDONDO DR. EAST	No reported fires during this reporting period	2 total fire alarm activations. NO reported fire or smoke responses.v	None	None	$0	Local smoke detectors in apartments only; System smoke/heat detectors in unoccupied rooms. System smoke detection in corridors. "Call Box" system notifies SRC Commons Duty Desk; General alarm activation by common area smoke/heat detectors, sprinkler activation or SRC Commons notifies Campus Police. Includes fire sprinkler system. RVA is fully sprinkled.	4 per year (spring and fall)
LOBO VILLAGE	LV 3-19	1200 AVENIDA CESAR CHAVEZ BLVD.	No reported fires during this reporting period per UNM Alarms. Alarms were monitored off site in 2011.	NO reported fire or smoke responses.	0	0	$0	Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms and in common areas and corridors. General alarm activation by common area smoke/heat detectors, sprinkler activation fire alarm pull station. Lobo Village is fully sprinkled. Fire Alarm notifies both UNM Campus Police Dispatch and the City of Albuquerque Emergency 911 Dispatch Center.	0

2011 Fire Statistics: Fraternity & Sorority Housing Facilities - Table 5

Building Name	Address	(A) (i) Number of fires and cause of each fire	Other fire related incidents	(A) (ii) Number of injuries related to a fire that resulted in treatment at a medical facility	(A) (iii) The number of deaths related to a fire.	(A)(iv)The value of property damage caused by a fire.	(B) A description of each on-campus student housing facility fire safety system, including the fire sprinkler system	(C) The number of regular mandatory supervised fire drills	(D) Policies or rules on portable electrical appliances, smoking, and open flames (such as candles), procedures for evacuation, and policies erguarding fire safety education and training programs provided to students, faculty and staff
Alpha Chi Omega	1635 Mesa Vista Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms. Pull Stations in main hallways and common areas.	2 per year (spring and fall)	All Greek chapter houses are privately owned. Policies and rules are set by the national office for each fraternity and sorority. The Albuquerque Fire Department inspects chapter houses for compliance with fire code requirements. In addition, the UNM Greek Life Office provides educational materials on fire safety.
Chi Omega	1810 Mesa Vista Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms. Fire suppression systems is also in place throughout the house	2 per year (spring and fall)	
Kappa Kappa Gamma	1620 Mesa Vista Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms. Fire suppression systems is also in place throughout the house. Pull Stations in main hallways and common areas	2 per year (spring and fall)	
Phi Gamma Delta (Fiji)	1801 Mesa Vista Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms.	2 per year (spring and fall)	
Pi Beta Phi	1701 Mesa Vista Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms.	2 per year (spring and fall)	
Pi Kappa Alpha	700 University Blvd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms. Fire suppression system is also in place throughout the house.	2 per year (spring and fall)	
Sigma Alpha Epsilon	1811 Mesa Vista Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms.	2 per year (spring and fall)	
Sigma Chi	1855 Sigma Chi Rd NE	None	None	None	None	None	Centralized smoke detector system in common areas as well as bed rooms.	2 per year (spring and fall)	
Lambda Chi Alpha (not functioning as a fraternity house in 2011)	1815 Sigma Chi Rd NE	None	None	None	None	None	not functioning as a fraternity house	not functioning as a fraternity house	

Recovery Audit Contract

(Review of Medicare Billings)

Financial Impact

The Recovery Audit Contractors (RAC) reviewed Medicare billings from June 2009 – February 2014, which represents approximately five years.

They reviewed Medicare billings with reimbursements totaling $24M and reclaimed $5.2 M.

The annualized amount reclaimed as a percentage of the annual amount received from Medicare is approximately 1%.

Peers

Specific to UNMH, in 76% of Medicare billings reviewed by RACs, the billing was supported / confirmed as correct versus 49% in Region C, which is the region that UNMH is in.
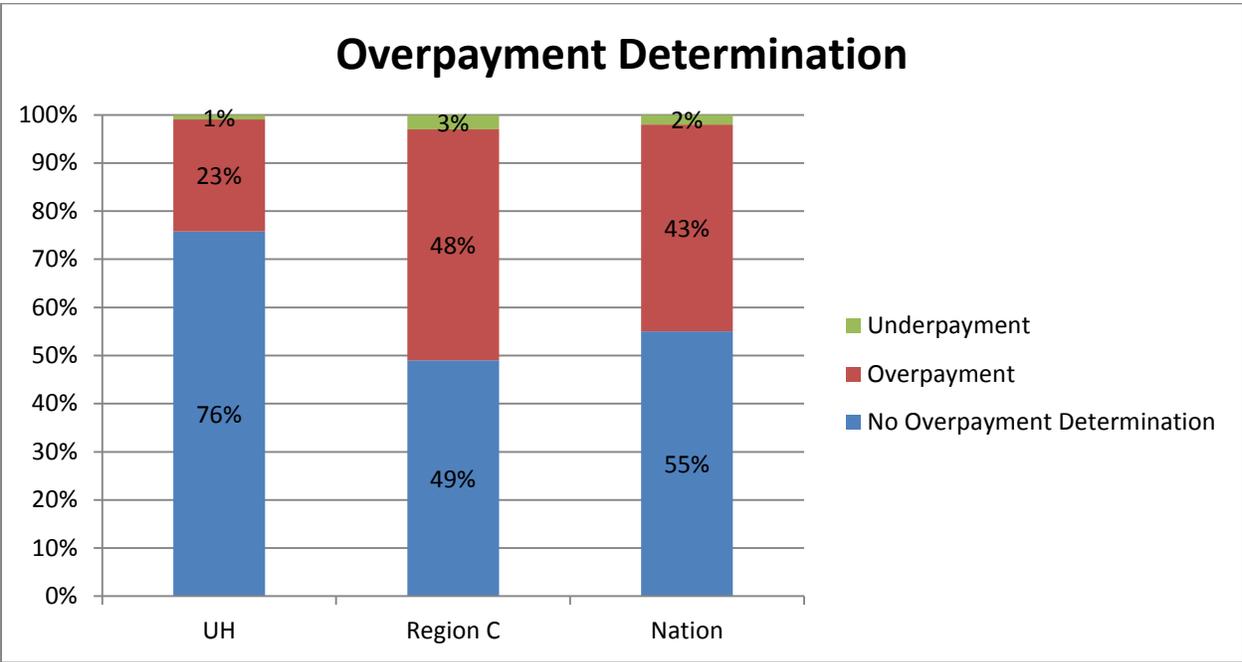
Primary Reason for the amounts reclaimed

Disagreement with the physician's decision making process in regards to admission orders for patients who are only inpatient for a one day stay.

Why were patients admitted if the length of stay was only one day?

Medical necessity remains a critical factor in making the decision to admit a patient as an inpatient. The decision to admit is a complex medical decision that must consider a number of factors including the patient's medical history and comorbidities, the severity of signs and symptoms, current medical needs, and the risk of an adverse event. All of these factors considered together form the basis for the physician's decision to admit a patient. Subsequent changes in the patient's condition may reduce the length of time a patient will need to continue to receive medical services in an inpatient setting.

What are the implications of the RACs to hospitals?

In response to the RAC reviews, UNM Hospitals has had to add additional staffing, both nurses and outside physician consultants to educate and train physicians in regards to initial admission criteria and subsequent reviews.

UNMH has established a process whereby all Medicare inpatient admissions are reviewed by a utilization review nurse, and a physician peer within 24 hours of admission. In addition, the ordering physician is required to re-evaluate all original admission orders within 24 hours of admission, using the current patient care information to confirm or change the admission order.

Since the reimbursement to the physician is not based on whether a patient has a one day or longer stay, there is no incentive to the physician to admit the patient for financial reasons.

Increased administrative costs are purchase of RAC management tool, utilization review consultant, medical record copying, education and training cost, and employed additional staff for appeals process and dedicated RAC coordinator, etc.

The average reimbursement for inpatient claims is $18K as compare to the average reimbursement for outpatient claims is $3.7K.

In reviewing the Medicare billings, UNM Hospital has not identified any evidence that suggests medical care or services provided to a Medicare patient was unnecessary or was provided in a deliberate attempt to increase reimbursement.

The RACs also have not claimed that the Medicare billings sent by UNM Hospital suggest any evidence of intentional noncompliance or abuse.

External Audits and Reviews
As of September 30, 2014

Granting Agency/Entity	LA County	NSF Desk Review	NM State Library	NSF	NSF A-133 Single Audit of Corporation for Educ Network Initiatives in CA (CENIC) -Sub award	NSF Payment Review	University of Southern Denmark	Dept of Justice, Office of Justice Programs	CMS (Patient Billings) - Rac Requests as of September 30, 2014
Contract/Grant/Program title	Various	Various	5R080 -ARRA	2RC97 &2RG74 (ARRA)	2RM72	Various	3RN90	3RB16, 3RQ82, 3RL27	Medicare
Contract/Grant period	Various	Various	Invoices 120-126	Inception to date 8/13	10/1/2010 - 9/30/2013	FY13	FY14	Various	Various
Contract/Grant total amount	Various	Various	$64,947	$12,143,306	$1,962,746	Various	$1,233,492	1,969,316	N/A
Contract/Grant amount - Current	Various	Various	NA		$1,962,746	Various			N/A
Principal Investigator	Various	Various	Kathy Massengale	Robert Waide	Gil Gonzales	Various	Michael Bogenschutz	Various	N/A
Department	Various	Various	UNM -LA	Biology	IT	Various	Psychiatry	Various	Hospital RAC audits
Agency audit/review notification	6/12/2013	4/16/2013	5/15/2013	8/21/2013	4/18/2014	5/6/2014	TBD	8/27/2014	Various
Audit/review entrance/visit	Remote	Remote	Remote	Remote	N/A	Remote	TBD	8/27/14 - 8/28/14	Remote
Audit/Exit/Final Report Issued	TBD	TBD	TBD	TBD	N/A	TBD	TBD	9/26/2014	Continuous
Question cost, if any	TBD	TBD	TBD	TBD	A-133 Audit of Sub	TBD	TBD	None	NA
Audit/review major finding, if	TBD	TBD	TBD	TBD	Refer to NSF Letter	TBD	TBD	None	see Comments
Corrective action plan, if any	TBD	TBD	TBD	TBD	N/A	TBD	TBD	None, just points of clarification	In process
Planned implementation date					N/A	TBD	TBD	N/A	NA
Campus	Main	Main	Los Alamos Branch	Main	Main	All	HSC	HSC	Hospital RAC audits
Auditor if different than Grantor		McBride, Lock			NSF Audit	NSF	KPMG Program expend. Audit-Denmark	Ofc of Investigative Forensics Sciences	Connolly
Comments	9/19/13 - No new update from agency, regarding their review of documentation provided by UNM 1/31/14 - No response, UNM considers this inquiry closed. 2/4/14 - Email response from Jackie Sakane from LA County indicating they would 'let us know if they needed anything further.'				4/24/14 - Letter received from NSF regarding their A-133 review of sub award CENIC. This will be reviewed by KPMG during the FY14 Single Audit.	NSF Payment Review Only		Audit completed with no findings and issues with cost. Letter dated 9/26/14 from OIFS.	$25.2 million total RAC audit (2,545 records requested), of which $5.8 million (1,080 records) were reclaimed and $19.4 million (1,465 records) were approved and paid. We appealed $2.5 million of which approximately $550,000 was approved and repaid to UNMH and approximately $950,000 is pending decision. Of the remaining $1.0 million will not be appealed.

UNM OFFICE *of the* PROVOST/EVP
for ACADEMIC AFFAIRS

October 3, 2014

To: Faculty Senate Operations Committee

From: Carol Parker, Senior Vice Provost for Academic Affairs   *Carol A. Parker*

cc: Chaouki Abdallah, Provost and EVP for Academic Affairs

Re: Report of SAC/STC Main and Branch Campus Faculty Compensation Received in FY2013/2014

UNM Faculty Handbook Section C.180 states:

Colleges and other administrative units which award SACs must have written policies that specify the type of administrative work for which a SAC may be awarded; how the compensation is determined, including a standardized payment scale to ensure equity; and established SAC terms and criteria for renewals. These policies must be approved by the Office of the Provost or the Office of the Chancellor for Health Sciences, and be available for review in the offices awarding the SACs (e.g. offices of the chair, dean, director or other administrator).

Also:

5. At the end of each fiscal year the Office of the Provost or the Office of the Chancellor for Health Sciences will submit a report to the Faculty Senate Operations Committee that lists all SACs. This report will be posted on the Provost's and Chancellor's websites. The report will include each faculty member's name, college or school, amount of SAC, and purpose of the SAC. http://handbook.unm.edu/section-c/c180.html

Attached is the report of SAC and STC faculty compensation received in FY2013/2014. Please note the report shows actual amounts received which may not be the same as the face value of the awards if they started or stopped at any point during the fiscal year. This report will be published in the "Compensation" section of the Faculty Affairs and Services website. http://faso.unm.edu/ This section of the website also publishes all Main and Branch campus current SAC policies.

Please let me know if you have any questions.

FY2013-2014 SAC/STC as % of Total Base Salaries (Main and Branch Campuses)

Level 3 Organization Code	Level 3 Organization Description	Total SAC/STC Amount by Level 3 Organization	Total Base Salaries for Regular Faculty	SAC/STC as a % of Base Salaries for Regular Faculty
AAA	President Admin Indpnt Office	$70,702.32	$604,532.80	11.70%
AAC	UNM West and Branch Initiatives	$53,487.00	$168,771.00	31.69%
ABA	Provost Administrative Units	$253,666.64	$1,085,291.07	23.37%
ABB	University College UC	$45,999.96	$358,773.13	12.82%
ABC	School of Public Administration	$6,349.99	$797,181.52	0.80%
ABD	VP for Equity & Inclusion	$30,000.00	$183,953.95	16.31%
ABG	College of Fine Arts CFA	$135,717.50	$4,840,704.62	2.80%
ABH	College of Arts Sciences A S	$754,198.95	$30,329,489.83	2.49%
ABI	Anderson Schools of Mgmt ASM	$192,800.04	$5,958,527.55	3.24%
ABJ	College of Education COE	$142,300.00	$6,375,128.30	2.23%
ABK	School of Engineering	$234,150.00	$9,672,449.36	2.42%
ABL	School of Law LAW	$102,833.30	$3,584,100.69	2.87%
ABM	School of Architecture Planning	$32,675.00	$2,020,636.32	1.62%
ABN	University Libraries	$132,305.43	$2,442,362.23	5.42%
ABQ	VP Resrch & Econ Devlpmnt	$180,666.71	$1,484,757.20	12.17%
ABS	Honors College	$62,832.00	$596,542.69	10.53%
ADA	EVP Administration	$29,250.00	$0.00	0.00%
AGA	Gallup Branch	$24,750.04	$3,271,563.72	0.76%
AGB	LosAlamos Branch	$27,500.04	$729,757.59	3.77%
AGC	Taos Branch	$5,000.00	$956,090.45	0.52%
AGD	Valencia County Branch	$75,025.00	$1,940,130.62	3.87%
	Grand Total	**$2,592,209.92**	**$77,400,744.64**	**3.35%**

Level 3 Organization	Name	SAC/STC	Job Description	Total Per SAC/STC	Total SAC/STC Amount Per Person
Anderson Schools of Management	Flor, Nick V.	SAC	Faculty Chair/Chair of Policy & Planning Committee	$800.00	$800.00
Anderson Schools of Management ASM	Benavidez, John D.	SAC	Director of Marketing Center	$5,000.00	$5,000.00
Anderson Schools of Management ASM	Boni, Leslie A.	SAC	Chairperson	$10,000.00	$10,000.00
Anderson Schools of Management ASM	Brody, Richard	SAC	Director of Accounting Internships	$2,500.00	$2,500.00
Anderson Schools of Management ASM	Brooks, Ann K.	SAC	Distance Learning Faculty Liaison	$5,000.00	$5,000.00
Anderson Schools of Management ASM	Brown, Douglas M.	SAC	Dean	$20,000.04	$20,000.04
Anderson Schools of Management ASM	Burd, Stephen	SAC	Academic Technology Liaison	$19,500.00	$19,500.00
Anderson Schools of Management ASM	Delcampo, Robert G.	SAC	Associate Dean	$15,000.00	$15,000.00
Anderson Schools of Management ASM	Hood, Jacqueline N.	SAC	Chairperson	$10,000.00	$15,000.00
		SAC	Director of Managemet Development Center	$5,000.00	
Anderson Schools of Management ASM	Kassicieh, Suleiman K.	SAC	Director of Anderson PhD Program	$30,000.00	$30,000.00
Anderson Schools of Management ASM	Luo, Xin	SAC	Curriculum Committee Chairperson	$4,000.00	$4,000.00
Anderson Schools of Management ASM	Oakes, Leslie S.	STC	EMBA Program	$12,000.00	$12,000.00
Anderson Schools of Management ASM	Seazzu, Alessandro F.	SAC	Director of IT Dept	$18,000.00	$18,000.00
Anderson Schools of Management ASM	Thomas, Douglas E.	SAC	Chair Policy Planning Committee	$4,000.00	$4,000.00
Anderson Schools of Management ASM	Van Buren, Harry J.	STC	EMBA	$12,000.00	$12,000.00
Anderson Schools of Management ASM	White, Craig G.	SAC	Chairperson	$10,000.00	$10,000.00
Anderson Schools of Management ASM	Yourstone, Steven A.	SAC	Chairperson	$10,000.00	$10,000.00
			Anderson Total:	$192,800.04	$192,800.04
College of Arts Sciences A S	Agee, Carl B.	SAC	Director of Meteoritics	$2,500.00	$2,500.00
College of Arts Sciences A S	Ball, Larry D.	SAC	Director, NM Historical Review	$6,000.00	$6,000.00
College of Arts Sciences A S	Bassalleck, Bernd	SAC	Special Assistant to the Chair for the New Physics &	$1,000.00	$1,000.00

College of Arts Sciences A S	Becknell, Charles E.	SAC	Assoc. Dir of Africana Studies	$6,000.00	$6,000.00
College of Arts Sciences A S	Benedict, Karl K.	SAC	Director of Earth Data Analysis Center	$1,125.00	$1,500.00
University Libraries	Benedict, Karl K.	SAC	Director of EDAC	$375.00	
College of Arts Sciences A S	Berrens, Robert P.	SAC	Special Assistant to the Dean	$3,000.00	$3,000.00
College of Arts Sciences A S	Binder, Melissa	SAC	Undergraduate Faculty Advisor	$1,750.00	$1,750.00
College of Arts Sciences A S	Bishop, Stephen L.	SAC	Chair of European Studies	$1,000.00	$1,000.00
College of Arts Sciences A S	Bokovoy, Melissa K.	SAC	Chairperson	$10,000.00	$10,000.00
College of Arts Sciences A S	Bolli, Jurg C.	SAC	Director, Pre-Calculus	$5,000.00	$5,000.00
College of Arts Sciences A S	Brau, Lorna	SAC	Chairperson of Asian Studies	$1,000.00	$1,000.00
College of Arts Sciences A S	Brearley, Adrian J.	SAC	Associate Chair	$3,500.00	$3,500.00
College of Arts Sciences A S	Brookshire, David S.	SAC	Director of Science Impact Laboratory for Policy &	$32,999.00	$32,999.00
College of Arts Sciences A S	Burbank, James C.	SAC	Director Professional Writing	$1,000.00	$1,000.00
College of Arts Sciences A S	Bussanich, John R.	SAC	Director of Religious Studies	$3,000.00	$3,000.00
College of Arts Sciences A S	Butler, Christopher K.	SAC	Outcomes Coordinator	$5,000.00	$5,000.00
College of Arts Sciences A S	Cabaniss, Stephen E.	SAC	Chairperson	$10,000.00	$10,000.00
College of Arts Sciences A S	Cahill, Cathleen D.	SAC	Director for Southwest	$3,000.00	$3,000.00
College of Arts Sciences A S	Cardenas-Rotunno, Anth	SAC	Chairperson	$7,000.00	$10,000.00
		SAC	Associate Chairperson	$3,000.00	
College of Arts Sciences A S	Caves, Carlton M.	SAC	Director for the Center Quantum Information	$3,000.00	$3,000.00
College of Arts Sciences A S	Cheek, Pamela L.	SAC	Director, Graduate Studies	$1,000.00	$1,000.00
College of Arts Sciences A S	Chermak, Janie	SAC	Chairperson	$7,500.00	$7,500.00
College of Arts Sciences A S	Cook, Joseph A.	SAC	Director of the Museum of Southwestern Biology	$3,000.00	$3,000.00
College of Arts Sciences A S	Correia, David C.	SAC	Undergraduate Director	$2,000.00	$2,000.00
College of Arts Sciences A S	Croft, William	SAC	Graduate Student Advisor	$2,000.00	$2,000.00
College of Arts Sciences A S	Crossey, Laura J.	SAC	Chairperson	$7,500.00	$7,500.00

College of Arts Sciences A S	Cyrino, Monica S.	SAC	Director Comparative Literature	$1,000.00	$1,000.00
College of Arts Sciences A S	Davila, Bethany	SAC	Assistant Director for Core Writing	$3,000.00	$3,000.00
College of Arts Sciences A S	Delaney, Harold D.	SAC	Area Head of Quantitative Psychology	$500.00	$500.00
College of Arts Sciences A S	Deutsch, Ivan H.	SAC	Associate Chairperson for Graduate Affairs	$3,000.00	$3,000.00
College of Arts Sciences A S	Domski, Mary A.	SAC	Chairperson	$7,500.00	$7,500.00
College of Arts Sciences A S	Elder, Cristyn	SAC	Assistant Director for Core Writing	$3,000.00	$3,000.00
College of Arts Sciences A S	Erhardt, Erik B.	SAC	Co-Director for Statistics	$2,500.00	$2,500.00
College of Arts Sciences A S	Erickson, Sarah J.	SAC	Area Head for Health Psychology	$2,500.00	$2,500.00
College of Arts Sciences A S	Field, Les W.	SAC	Director, Peace Studies	$3,000.00	$6,000.00
College of Arts Sciences A S	Field, Les W.	SAC	Associate Chairperson	$3,000.00	
College of Arts Sciences A S	Fields, Douglas E.	SAC	Special Assistant to Dean	$2,000.00	$2,000.00
College of Arts Sciences A S	Fontenla, Matias	SAC	Director of Latin American Iberian Institute	$5,000.00	$5,000.00
College of Arts Sciences A S	Foss, Karen A.	SAC	Chairperson	$10,000.00	$10,000.00
College of Arts Sciences A S	Freundschuh, Scott M.	SAC	Chairperson	$7,500.00	$7,500.00
College of Arts Sciences A S	Gandert, Miguel A.	SAC	Director, Interdisciplinary Film & Digital Media for CFA	$20,000.00	$20,000.00
College of Arts Sciences A S	Ganderton, Philip T.	SAC	Senior Associate Dean	$24,999.96	$24,999.96
College of Arts Sciences A S	Gangestad, Steven W.	SAC	Evolutionary Area Head	$1,000.00	$1,000.00
College of Arts Sciences A S	Garcia, Lorenzo F.	SAC	Undergraduate Director	$1,000.00	$1,000.00
College of Arts Sciences A S	Gauderman, Kimberly A.	SAC	Undergraduate Advisor	$1,500.00	$1,500.00
College of Arts Sciences A S	Goldstein, Alyosha	SAC	Associat Director of BA/MD Program for A&S	$10,000.00	$10,000.00
College of Arts Sciences A S	Gonzales, Phillip	SAC	Honors Coordinator	$500.00	$1,500.00
		SAC	Undergraduate Advisor	$1,000.00	
College of Arts Sciences A S	Graham, Timothy C.	SAC	Director for Medieval Studies	$4,000.00	$4,000.00
College of Arts Sciences A S	Graves, Michael W.	SAC	Chairperson	$9,300.00	$9,300.00
College of Arts Sciences A S	Grey, John K.	SAC	Graduate Admission Committee	$2,100.00	$2,100.00

College of Arts Sciences A S	Hamilton, Derek A.	SAC	Area Head	$1,500.00	$1,500.00
College of Arts Sciences A S	Hansen, Wendy L.	SAC	Graduate Advisor	$2,000.00	$2,000.00
College of Arts Sciences A S	Harrison, Gary L.	SAC	Associate Chair, Tenure &Promotion	$1,500.00	$1,500.00
College of Arts Sciences A S	Hayashida, Frances M.	SAC	Graduate Committee Director	$2,000.00	$2,000.00
College of Arts Sciences A S	Hendry, Judith E.	SAC	Undergraduate Advisor	$1,000.00	$1,000.00
College of Arts Sciences A S	Henning, Patricia A.	SAC	Director of the Institute of Astrophysics	$3,000.00	$6,000.00
		SAC	Assoc Chair of Undergraduate Affairs	$3,000.00	
College of Arts Sciences A S	Hodge, Gordon K.	SAC	Associate Chairperson Undgraduate Training	$3,000.00	$3,000.00
College of Arts Sciences A S	Houston, Gail T.	SAC	Chairperson	$10,000.00	$10,000.00
College of Arts Sciences A S	Howe, Kelly A.	SAC	BA/MD Committee Member on Curriculum & Standards	$2,500.00	$2,500.00
College of Arts Sciences A S	Huerta, Gabriel	SAC	Graduate Chairperson	$2,000.00	$2,000.00
College of Arts Sciences A S	Hunley, Keith L.	SAC	Director of Undergraduate Programs	$2,000.00	$2,000.00
College of Arts Sciences A S	Ibarra, Robert A.	SAC	Initiative for Maximizing Student Development Program,	$7,000.00	$7,000.00
College of Arts Sciences A S	Kenkre, Vasudev M.	SAC	Dirertor for the Consortium of the Americas for	$3,000.00	$3,000.00
College of Arts Sciences A S	Kierst, Peter S.	SAC	Undergraduate Advisor	$5,000.00	$5,000.00
College of Arts Sciences A S	Kirk, Martin L.	SAC	Chairperson	$3,000.00	$3,000.00
College of Arts Sciences A S	Knottenbelt, Sushilla Z.	SAC	BA/MD Committee Member	$3,000.00	$3,000.00
College of Arts Sciences A S	Lee, Lloyd L.	SAC	Acting Director of Institute of the American Indian Research	$3,000.00	$3,000.00
College of Arts Sciences A S	Lehnen, Leila M.	SAC	Portuguese Program Coordinator	$3,000.00	$4,500.00
		SAC	Associate Chairperson	$1,500.00	
College of Arts Sciences A S	Litvak, Marcy E.	SAC	Associate Chairperson	$4,500.00	$4,500.00
College of Arts Sciences A S	Lopez, Kimberle S.	SAC	Director of Undergraduate Program	$1,500.00	$1,500.00
College of Arts Sciences A S	Loring, Terry A.	SAC	Chairperson	$10,000.00	$10,000.00
College of Arts Sciences A S	Lowrey, Timothy K.	SAC	Council on Governance	$4,500.00	$4,500.00
College of Arts Sciences A S	Lyons, Christopher J.	SAC	Graduate Advisor	$2,500.00	$2,500.00

College of Arts Sciences A S	Malloy, Kevin J.	SAC	Associate Dean	$20,000.00	$20,000.00
College of Arts Sciences A S	Marshall, Diane L.	SAC	Associate Dean	$20,000.00	$20,000.00
College of Arts Sciences A S	Martin, Gregory S.	SAC	Director for BA/MD Program for A&S	$25,000.00	$25,000.00
College of Arts Sciences A S	Martin, Jamal James E.	SAC	Director Morrisey Research Hall	$6,000.00	$6,000.00
College of Arts Sciences A S	Mathewson, Alfred D.	SAC	Acting Director of Africana Studies	$9,000.00	$9,000.00
College of Arts Sciences A S	McKnight, Kathryn J.	SAC	Latin American Studies Director	$1,250.00	$1,250.00
College of Arts Sciences A S	Miller, Kelly B.	SAC	Associate Director	$1,000.00	$1,000.00
College of Arts Sciences A S	Miller, Robert D.	SAC	Chairperson	$10,000.00	$10,000.00
College of Arts Sciences A S	Milne, Bruce T.	SAC	Diector Sustainabilty Studies	$3,000.00	$3,000.00
College of Arts Sciences A S	Milstein, Tema J.	SAC	PhD Director	$1,000.00	$1,000.00
College of Arts Sciences A S	Morgan-Tracy, Mark M.	SAC	BA/MD Program Committee Member	$2,500.00	$2,500.00
College of Arts Sciences A S	Mueller, Daniel J.	SAC	Director of Writing	$1,500.00	$1,500.00
College of Arts Sciences A S	Murphy, Ann V.	SAC	Graduate Director	$1,500.00	$1,500.00
College of Arts Sciences A S	Natvig, Donald O.	SAC	Director, Sevilleta Field Station	$5,000.00	$5,000.00
College of Arts Sciences A S	Nepstad, Sharon E.	SAC	Chairperson	$10,000.00	$10,000.00
College of Arts Sciences A S	Nitsche, Monika	SAC	Undergraduate Advisor	$2,000.00	$2,000.00
College of Arts Sciences A S	Nocentelli, Carmen	SAC	Associate Chairperson	$1,500.00	$1,500.00
College of Arts Sciences A S	Nodulman, Jessica A.	SAC	Public Speaking Coordinator	$2,000.00	$2,000.00
College of Arts Sciences A S	Obermeier, Anita	SAC	Director Feminist Research Institute	$6,000.00	$10,000.00
		SAC	Graduate Director	$4,000.00	
College of Arts Sciences A S	Paine, Charles H.	SAC	Core Writing Chairperson	$6,000.00	$6,000.00
College of Arts Sciences A S	Peceny, Mark J.	SAC	Interim Dean	$24,999.96	$24,999.96
College of Arts Sciences A S	Putnam, Walter C.	SAC	Chairperson	$7,500.00	$7,500.00
College of Arts Sciences A S	Quinn, Mary B.	SAC	Graduate Director	$1,500.00	$1,500.00
College of Arts Sciences A S	Rand, Richard J.	SAC	Associate Director	$2,000.00	$2,000.00

College of Arts Sciences A S	Reyes, Barbara O.	SAC	Director of Women Studies Program	$3,000.00	$3,000.00
College of Arts Sciences A S	Rodriguez, Barbara L.	SAC	Chairperson	$7,500.00	$7,500.00
College of Arts Sciences A S	Ross, Andrew L.	SAC	Director	$30,000.00	$30,000.00
College of Arts Sciences A S	Rudolph, Wolfgang	SAC	Chairperson	$1,500.00	$7,500.00
		SAC	Chairperson	$6,000.00	
College of Arts Sciences A S	Ryan, Michael A.	SAC	Graduate Director	$1,500.00	$1,500.00
College of Arts Sciences A S	Sandoval-Strausz, Andre	SAC	Assistant Chairperson	$1,500.00	$1,500.00
College of Arts Sciences A S	Scharff, Virginia J.	SAC	Director for the Ctr of SW	$3,000.00	$40,500.03
College of Arts Sciences A S	Scharff, Virginia J.	SAC	Associate Provost	$37,500.03	
College of Arts Sciences A S	Schreiber, Rebecca M.	SAC	Graduate Director	$3,000.00	$3,000.00
College of Arts Sciences A S	Sheik-Bahae, Mansoor	SAC	Optical Science and Engineering Program Co-Chair	$2,500.00	$2,500.00
College of Arts Sciences A S	Shigekuni, Julie	SAC	Special Assistant to the Dean	$3,000.00	$3,000.00
College of Arts Sciences A S	Singer, Beverly R.	SAC	Director of the Alfonso Ortiz Center	$10,000.00	$10,000.00
College of Arts Sciences A S	Smith, Caroline L.	SAC	Chairperson	$7,500.00	$7,500.00
College of Arts Sciences A S	Smith, Jane E.	SAC	Chairperson	$10,000.00	$10,000.00
College of Arts Sciences A S	Stanley, William D.	SAC	Chair	$7,500.00	$7,500.00
College of Arts Sciences A S	Straus, Lawrence G.	SAC	Editor of Journal of Anthropological Research	$10,000.00	$10,000.00
College of Arts Sciences A S	Sulsky, Deborah	SAC	Associate Chairperson	$2,000.00	$2,000.00
College of Arts Sciences A S	Thacher, Jennifer A.	SAC	Graduate Director	$1,750.00	$1,750.00
College of Arts Sciences A S	Turner, Thomas F.	SAC	Associate Chairperson	$4,500.00	$4,500.00
College of Arts Sciences A S	Uentillie, Carole J.	SAC	Navtive Language Program Coordinator	$2,500.00	$2,500.00
College of Arts Sciences A S	Vasquez, Irene E.	SAC	Director	$10,000.00	$10,000.00
College of Arts Sciences A S	Velez, Maria B.	SAC	Associate Chairperson	$750.00	$750.00
College of Arts Sciences A S	Verney, Steven P.	SAC	Associate Chair for Graduate Programs	$2,500.00	$2,500.00
College of Arts Sciences A S	Waide, Robert B.	SAC	Executive Director of CREST Center	$15,000.00	$15,000.00

College of Arts Sciences A S	Wang, Wei	SAC	Graduate Studies Chairperson	$3,000.00	$3,000.00
College of Arts Sciences A S	Warner, Sharon O.	SAC	Special Assistant to the Dean	$3,000.00	$5,400.00
		SAC	Associate Chair for Undergrad Studies	$2,400.00	
College of Arts Sciences A S	Whalen, Lisa J.	SAC	BA/MD Committee Member	$2,500.00	$2,500.00
College of Arts Sciences A S	White, Judith M.	SAC	Faculty Advisor	$1,000.00	$1,000.00
College of Arts Sciences A S	Wilcox, Phyllis	SAC	Program Director	$1,500.00	$1,500.00
College of Arts Sciences A S	Wilson, Damian C.	SAC	Coordinator, Heritage Language Program	$3,000.00	$3,000.00
College of Arts Sciences A S	Woodward, Carolyn J.	SAC	Assoc Chair Undergraduate Program	$600.00	$600.00
College of Arts Sciences A S	Yeater, Elizabeth A.	SAC	Director for Technical Training	$7,500.00	$7,500.00
			Arts & Sciences Total:	$729,898.95	$729,898.95
College of Education COE	Luckasson, Ruth A.	SAC	Chairperson	$7,500.00	$7,500.00
College of Education COE	Keyes, Thomas P.	SAC	Program Coordinator for Elementary Educucation	$4,000.00	$4,000.00
College of Education COE	Sheldahl, Teresa E.	SAC	Assistant Program Coordinator for Secondary Education	$2,000.00	$2,000.00
College of Education COE	Seidler, Todd L.	SAC	Chairperson	$7,500.00	$7,500.00
College of Education COE	Mermier, Christine	SAC	Laboratory Director	$6,000.00	$6,000.00
College of Education COE	Keefe, Elizabeth B.	SAC	Program Coordinator of SPCD	$4,000.00	$4,000.00
College of Education COE	Blum-Martinez, Rebecca	SAC	Director of LAPE	$1,800.00	$1,800.00
College of Education COE	Meyer, Lois M.	SAC	Co-Chair	$7,500.00	$7,500.00
College of Education COE	Rifenbary, Deborah	SAC	Associate Dean	$12,500.00	$12,500.00
College of Education COE	Napper-Owen, Gloria E.	SAC	Chairperson	$12,500.00	$12,500.00
College of Education COE	Scott, David K.	SAC	Associate Dean	$12,500.00	$12,500.00
College of Education COE	Parkes, Jay T.	SAC	Chairperson	$7,500.00	$7,500.00
College of Education COE	Celedon-Pattichis, Sylvia	SAC	Program Coordinator	$1,500.00	$1,500.00
College of Education COE	Murphy, Susanna M.	SAC	Director of Distance Education	$5,000.00	$5,000.00
College of Education COE	Borden, Allison M.	SAC	Co-Chairperson	$7,500.00	$7,500.00

College of Education COE	Waldschmidt, Eileen M.	SAC	ARL Program Coordinator	$4,000.00	$4,000.00
College of Education COE	Jaramillo, Genevieve S.	SAC	Distance Education Program Coordinator	$5,000.00	$5,000.00
College of Education COE	Krebs, Marjori M.	SAC	MA Program Coordinator	$4,000.00	$4,000.00
College of Education COE	Torrez, Cheryl A.	SAC	Chairperson	$7,500.00	$18,500.00
College of Education COE	Torrez, Cheryl A.	SAC	Extended University Liaison for Teacher Education	$11,000.00	
College of Education COE	Woodrum, Arlie C.	SAC	Associate Chairperson	$7,500.00	$7,500.00
College of Education COE	Bryant, Richard J.	SAC	Program Coordinator	$4,000.00	$4,000.00
			College of Education Total:	$142,300.00	$142,300.00
College of Fine Arts CFA	Baca, Dorothy	SAC	Head of Design	$1,000.00	$1,000.00
College of Fine Arts CFA	Bashwiner, David M.	SAC	Theory Coordinator	$750.00	$750.00
College of Fine Arts CFA	Block, Steven	SAC	Chairperson	$3,500.00	$8,500.00
		SAC	Chair, Facilities Task Force	$5,000.00	
College of Fine Arts CFA	Buick, Kirsten P.	SAC	Associate Chairperson	$4,000.00	$8,000.00
		SAC	Graduate Director	$4,000.00	
College of Fine Arts CFA	Carlow, Regina	SAC	Associate Dean	$10,000.00	$10,000.00
College of Fine Arts CFA	Castillo, Tim B.	SAC	Director of ARTS Lab	$20,000.00	$20,000.00
College of Fine Arts CFA	Dever, Susan M.	SAC	Chairperson	$2,000.00	$2,000.00
College of Fine Arts CFA	Ellingboe, Bradley R.	SAC	Director of Choral	$2,000.00	$2,000.00
College of Fine Arts CFA	Hermann, Richard	SAC	Theory Coordinator	$750.00	$750.00
College of Fine Arts CFA	Ho, Szu-Han	SAC	Outcomes Coordinator	$1,500.00	$1,500.00
College of Fine Arts CFA	Hoffman, Julia C.	SAC	Director of Music Prep School	$5,217.50	$5,217.50
College of Fine Arts CFA	Jewell, Donna	SAC	Associate Chairperson	$2,000.00	$4,500.00
		SAC	Head of Dance	$2,000.00	
		SAC	Graduate Advisor	$500.00	
College of Fine Arts CFA	Lau, Eric	SAC	Associate Chairperson	$4,500.00	$4,500.00

College of Fine Arts CFA	Lemmons, Keith M.	SAC	Associate Dean	$2,000.00	$10,000.00
		SAC	Associate Dean	$8,000.00	
College of Fine Arts CFA	Liotta, William A.	SAC	Chairperson	$4,000.00	$4,500.00
		SAC	Undergraduate Advisor	$500.00	
College of Fine Arts CFA	Newhall, Mary A.	SAC	Graduate Adviso for Dance	$500.00	$8,500.00
		SAC	Associate Dean of Research, Technologies & Interdisciplinary	$8,000.00	
College of Fine Arts CFA	Ney, Leonard S.	SAC	All-State Recruiting Coordinator	$500.00	$500.00
College of Fine Arts CFA	Obermueller, Karola	SAC	Coordinator of Composers' Symposium	$2,000.00	$2,000.00
College of Fine Arts CFA	Perez-Gomez, Jorge R.	SAC	Assistant to the Dean on Arts Administration	$2,000.00	$2,000.00
College of Fine Arts CFA	Reche, Vladimir C.	SAC	Undergraduate Advisor	$1,000.00	$1,000.00
College of Fine Arts CFA	Rombach-Kendall, Eric A	SAC	Director of Bands	$3,500.00	$3,500.00
College of Fine Arts CFA	Simons, Chad P.	SAC	Associate Director Bands	$2,500.00	$2,500.00
College of Fine Arts CFA	Smith, Stacia J.	SAC	Undergraduate Advisor	$500.00	$500.00
College of Fine Arts CFA	Stone, James D.	SAC	Undergraduate Advisor	$2,000.00	$2,000.00
College of Fine Arts CFA	Tsiongas, Mary	SAC	Chairperson	$4,000.00	$4,000.00
College of Fine Arts CFA	Umphrey, Leslie A.	SAC	Coordinator Vocal Studies	$1,000.00	$1,000.00
			College of Fine Arts Total:	$110,717.50	$110,717.50
Honors College	Donovan, Leslie A.	SAC	Regent's Scholarship Coordinator	$12,314.00	$12,314.00
Honors College	Thomas, Michael A.	SAC	Coordinator Scholars Wing Program	$14,063.00	$14,063.00
Honors College	Shepherd, Ursula	SAC	Associate Dean	$36,445.00	$36,445.00
			Honors College Total:	$62,822.00	$62,822.00
School of Architecture Planning	Adams, Geoffrey C.	SAC	Director of Archecture Program	$5,000.00	$5,000.00
School of Architecture Planning	Bastea, Eleni	SAC	Director of International Studies Institute	$3,000.00	$3,000.00
School of Architecture Planning	Bernard, Eric	SAC	Director	$4,300.00	$4,300.00
School of Architecture Planning	Childs, Mark C.	SAC	Director Urban Design Graduate Certificate Program	$2,000.00	$2,000.00

Department	Name	Code	Title	Amount 1	Amount 2
School of Architecture Planning	Iyengar, Kuppaswamy	SAC	Associate Director	$3,000.00	$3,000.00
School of Architecture Planning	Pride, Michaele L.	SAC	Associate Dean	$5,000.00	$5,000.00
School of Architecture Planning	Richardson, James R.	SAC	Director of Community & Regional Planning	$4,300.00	$4,300.00
School of Architecture Planning	Simon, Alfred	SAC	Associate Dean	$5,000.00	$5,000.00
School of Architecture Planning	Wilson, Christopher M.	SAC	Director of Historic Preservation & Regionalism	$1,075.00	$1,075.00
			School of Architecture & Planning Total:	$32,675.00	$32,675.00
School of Engineering	Atanassov, Plamen	SAC	Associate Dean	$4,000.00	$4,000.00
School of Engineering	Balakrishnan, Ganesh	SAC	Director Web Content	$400.00	$400.00
School of Engineering	Cecchi, Joseph L.	SAC	Interim Dean	$10,750.00	$10,750.00
School of Engineering	Christodoulou, Christos G	SAC	Director of COSMIAC	$1,600.00	$1,600.00
School of Engineering	Christodoulou, Christos G	SAC	Associate Dean of Research	$6,400.00	$6,400.00
School of Engineering	Datye, Abhaya K.	SAC	Director of Nanoscience & Microsystems	$5,000.00	$5,000.00
School of Engineering	Faloutsos, Michail	SAC	Chairperson	$9,999.96	$9,999.96
School of Engineering	Fleddermann, Charles B.	SAC	Associate Dean	$8,000.00	$8,000.00
School of Engineering	Gerstle, Walter H.	SAC	Research & Creative Works Chair	$6,000.00	$6,000.00
School of Engineering	Gilmore, Mark A.	SAC	Associate Chairperson	$5,000.00	$5,000.00
School of Engineering	Graves, Steven W.	SAC	Special Asst. to the Dean HSC Relations	$1,000.00	$3,400.00
		SAC	Associate Dean of Research	$2,400.00	
School of Engineering	Hall, Christopher D.	SAC	Chairperson	$10,000.00	$10,000.00
School of Engineering	Halter, Susan B.	SAC	Graduate Program Director	$2,500.00	$2,400.00
School of Engineering	Heileman, Gregory L.	SAC	Associate Provost	$40,000.00	$40,000.00
School of Engineering	Howe, Kerry J.	SAC	Director, Center of Water and Environment	$8,000.00	$8,000.00
School of Engineering	Jordan, Ramiro	SAC	Associate Chairperson	$5,000.00	$13,000.00
School of Engineering	Jordan, Ramiro	S3	Associate Dean International Programs	$8,000.00	
School of Engineering	Khraishi, Tariq	SAC	Assistant Dean	$2,000.00	$2,000.00

School of Engineering	Lee, Daryl O.	SAC	Web Content Director	$2,000.00	$2,000.00
School of Engineering	Lehr, Jane	SAC	Chairperson	$9,999.96	$9,999.96
School of Engineering	Mammoli, Andrea Alberto	SAC	Director for CEET	$8,000.00	$8,000.00
School of Engineering	Prinja, Anil K.	SAC	Associate Chairperson	$10,000.00	$10,000.00
School of Engineering	Saia, Jared C.	SAC	Associate Chairperson	$5,000.00	$5,000.00
School of Engineering	Schamiloglu, Edl	SAC	Director of COSMIAC	$1,600.00	$1,600.00
School of Engineering	Schuler, Andrew J.	SAC	Associate Professor	$2,500.00	$2,500.00
School of Engineering	Shen, Yu-Lin	SAC	Associate Chairperson	$5,000.00	$5,000.00
School of Engineering	Shreve, Andrew P.	SAC	Director for Center of Biomedical Engineering	$12,000.00	$12,000.00
School of Engineering	Shu, Wei W.	SAC	Associate Dean	$8,000.00	$8,000.00
School of Engineering	Stormont, John C.	SAC	Chairperson	$10,000.00	$10,000.00
School of Engineering	Vorobieff, Peter V.	SAC	Assistant Chair of Facilities	$2,000.00	$2,000.00
School of Engineering	Ward, Timothy L.	SAC	Chairperson	$10,000.00	$10,000.00
School of Engineering	Whitten, David G.	SAC	Associate Director CBME	$4,000.00	$4,000.00
School of Engineering	Wood, John E.	SAC	Special Asst to Dean Gov Activ	$8,000.00	$8,000.00
			School of Engineering Total:	**$234,149.92**	**$234,049.92**
School of Law LAW	Gauna, Eileen P.	SAC	Associate Dean	$9,999.96	$9,999.96
School of Law LAW	Homer, Steven K.	SAC	Director of Legal Analysis & Communication Program	$10,000.00	$10,000.00
School of Law LAW	Land, April	SAC	Associate Dean for Clinical Affairs	$9,999.96	$9,999.96
School of Law LAW	Minzner, Max	SAC	Mentor and Professional Development Coordinator	$10,000.00	$10,000.00
School of Law LAW	Pareja, Sergio	SAC	Director Madrid Lase Inst	$9,000.00	$9,000.00
School of Law LAW	Parker, Carol A.	SAC	Associate Dean	$5,833.34	$5,833.34
School of Law LAW	Rigual, Michelle D.	SAC	Acting Director, Law Library & Information Technology	$19,000.00	$19,000.00
School of Law LAW	Wang, Lu-in	SAC	Special Asst to VP of Equity & Inclusion	$9,000.00	$9,000.00
School of Law LAW	Zuni Cruz, Christine P.	SAC	Associate Dean for Indian Law	$20,000.00	$20,000.00

			School of Law Total:	$102,833.26	$102,833.26
School of Public Administration	Perlman, Bruce J.	SAC	Associate Director, Public Administration	$2,500.00	$2,500.00
School of Public Administration	Rivera, Mario A.	SAC	Director, School of Public Adminstration	$3,849.99	$3,849.99
			School of Public Administration Total:	$6,349.99	$6,349.99
University College UC	Cajete, Gregory A.	SAC	Director of Native American Studies	$12,000.00	$12,000.00
University College UC	Lee, Tiffany S.	SAC	Associate Director	$4,000.00	$4,000.00
University College UC	Krause, Catherine S.	SAC	Dean	$9,999.96	$9,999.96
University College UC	Gipson Rankin, Sonia M.	SAC	Associate Dean	$20,000.00	$20,000.00
			University College Total:	$45,999.96	$45,999.96
University Libraries	Awe, Susan C.	SAC	Director of Outreach	$12,000.00	$12,000.00
University Libraries	Bedard, Martha	SAC	Dean	$4,237.50	$4,237.50
University Libraries	Bordeianu, Sever M.	SAC	Cataloging Coordinator	$1,041.65	$1,041.65
University Libraries	Briggs, Lea J.	SAC	Migration Project Management Librarian	$1,358.70	$1,358.70
University Libraries	Comerford, Kevin	SAC	Digital Initiatives Librarian	$2,499.96	$2,499.96
University Libraries	Dennis, Nancy K.	SAC	Associate Dean for Facilities & Access Services	$9,999.96	$9,999.96
University Libraries	Duran, Tobias	SAC	Director SW Latin American Project/Interim Director of SW	$8,433.00	$8,433.00
University Libraries	Emmons, Mark E.	SAC	Special Assistant to the Dean	$15,000.00	$15,000.00
University Libraries	Keating, Kathleen	SAC	Fine Arts Cluster Team Leader	$2,499.96	$2,499.96
University Libraries	Kelly, Michael T.	SAC	Associate Dean	$2,499.99	$29,655.24
		SAC	Interim Dean	$27,155.25	
University Libraries	Kostelecky, Sarah R.	SAC	Coordinator Cultural Collections	$3,000.00	$3,000.00
University Libraries	Lu, Silvia	SAC	Coordinator of References and Technology Support Services	$1,522.50	$1,522.50
University Libraries	Lubas, Rebecca L.	SAC	Director of Discovery	$8,140.00	$8,140.00
University Libraries	Phillips, Holly E.	SAC	Director of Administrative Services	$15,000.00	$16,000.00
		SAC	Co-Director of Discovery, Acquisitions and Consortial	$1,000.00	

University Libraries	Shoup, Jason	SAC	Coordinator of Library-wide Circulation and Reserves	$1,850.00	$1,850.00
University Libraries	Wheeler, Jonathan N.	SAC	Scholarly Committee Officer	$2,499.96	$2,499.96
University Libraries	Wilkinson, Frances C.	SAC	Deputy Dean	$12,567.00	$12,267.00
			University Libraries Total:	$132,305.43	$132,005.43
Provost Administrative Units	Bokovoy, Melissa K.	SAC	Academic Leadership Fellow	$30,000.00	$30,000.00
Provost Administrative Units	Coonrod, Julia E.	SAC	Dean of Graduate Studies	$9,999.96	$9,999.96
Provost Administrative Units	Dougher, Michael J.	SAC	Senior Vice Provost	$15,000.00	$15,000.00
Provost Administrative Units	Evans, Deborah G.	SAC	Associate Director of Nano Science & Microsystems	$1,000.00	$1,000.00
Provost Administrative Units	Hayat, Majeed M.	SAC	General Chair Optical Science and Engineering	$5,000.00	$5,000.00
Provost Administrative Units	Haynie, Aeron	SAC	Director of Center for Effective Teaching	$30,000.00	$30,000.00
Provost Administrative Units	Lowrey, Timothy K.	SAC	Associate Dean Graduate Studies	$32,000.00	$32,000.00
Provost Administrative Units	Parker, Carol A.	SAC	Associate Provost	$16,666.68	$46,666.68
Provost Administrative Units		SAC	Senior Vice Provost	$30,000.00	
Provost Administrative Units	Sanchez, Gabriel R.	SAC	Interim Executive Director, RWJ Foundation	$54,000.00	$54,000.00
Provost Administrative Units	Schamiloglu, Edl	SAC	Academic Leadership Fellow	$30,000.00	$30,000.00
Provost Administrative Units	Wood, Richard L.	SAC	Special Advisor to the Provost	$24,300.00	$24,300.00
			Provost Total:	$277,966.64	$277,966.64
Office of the Univ Secretary	Cromer, Donna E.	SAC	Faculty Life Chairperson	$3,050.82	$3,050.82
Office of the Univ Secretary	Holder, Richard W.	SAC	Faculty Senate President	$10,000.00	$10,000.00
Office of the Univ Secretary	Hutchison, Elizabeth Q.	SAC	Chair of Academic Freedom &Tenure Committee	$10,000.00	$10,000.00
Office of the Univ Secretary	Mathewson, Alfred D.	SAC	Athletic Council Chairperson	$4,000.00	$4,000.00
Office of the Univ Secretary	Neel, Amy T.	SAC	Faculty Senate	$2,800.00	$2,800.00
Office of the Univ Secretary	Pyle, Pamela V.	SAC	Faculty Senate President	$5,000.00	$5,000.00
Office of the Univ Secretary	Sedillo, Antoinette M.	SAC	Faculty Senate Operations Committee	$3,600.00	$3,600.00
Office of the Univ Secretary	Steen, Charlie R.	SAC	Academic Council Chairperson	$7,000.00	$7,000.00

Office of the Univ Secretary	Wilkinson, Frances C.	SAC	Council Chair	$3,000.00	$3,000.00
Office of the Univ Secretary	Wood, Richard L.	SAC	Past Faculty Senate President	$800.00	$800.00
			Office of University Secreatary Total:	$49,250.82	$49,250.82
VP Resrch & Econ Devlpmnt	Brueck, Steven R.	SAC	Director of CHTM	$15,000.00	$15,000.00
VP Resrch & Econ Devlpmnt	Datye, Abhaya K.	SAC	Director of the Center for Micro-Engineered Materials	$15,000.00	$15,000.00
VP Resrch & Econ Devlpmnt	Dougher, Michael J.	SAC	Vice Provost for Research	$8,000.00	$8,000.00
VP Resrch & Econ Devlpmnt	Dougher, Michael J.	SAC	Senior Vice Porvost	$15,000.00	$15,000.00
VP Resrch & Econ Devlpmnt	Hayat, Majeed M.	SAC	Associate Director of CHTM	$12,000.00	$37,750.00
		SAC	General Chair of OSE	$25,750.00	
VP Resrch & Econ Devlpmnt	Krishna, Sanjay	SAC	Director for Center of High Technology Materials	$2,916.67	$2,916.67
VP Resrch & Econ Devlpmnt	McCrady, Barbara S.	SAC	Director	$30,000.00	$30,000.00
VP Resrch & Econ Devlpmnt	McGraw, John T.	SAC	Vice President	$27,000.00	$27,000.00
VP Resrch & Econ Devlpmnt	Sierra, Christine M.	SAC	Graduate Advisor	$5,000.00	$5,000.00
VP Resrch & Econ Devlpmnt	Tonigan, Jeff S.	SAC	IRB Chairperson	$20,000.00	$20,000.00
VP Resrch & Econ Devlpmnt	Van Reenen, Johannes A	SAC	Associate Vice Provost for Research	$5,000.00	$5,000.00
			OVPR Total:	$180,666.67	$180,666.67
VP for Equity and Inclusion	Lopez, Nancy	SAC	Diversity Leadership Fellow	$15,000.00	$30,000.00
		SAC	Diversity Leadership Fellow	$15,000.00	
			Equity and Inclusion Total:	$30,000.00	$30,000.00
Presidents Office	Asmerom, Yemane	SAC	Academic Leadership Fellow	$30,000.00	$30,000.00
Presidents Office	Bova, Breda	SAC	Faculty Representaive to NCAA	$17,568.96	$17,568.96
		SAC	Chief of Staff	$20,000.00	$20,000.00
Presidents Office	Wohlert, Amy B.	SAC	Chief of Staff	$3,133.32	$3,133.32
			President Total:	$70,702.28	$70,702.28

UNM West and Branch Initiatives	Miller, Elizabeth L.	SAC	Interim Executive Director	$29,385.00	$29,385.00
UNM West and Branch Initiatives	Garcia, Reinaldo A.	SAC	Director of Educational Servic	$24,102.00	$24,102.00
			UNM West and Branch Intiatives Total:	$53,487.00	$53,487.00
Gallup Branch	Kaus, Thomas M.	SAC	Math Arts Coordinator	$5,750.00	$5,750.00
Gallup Branch	McFadden, Erica L.	SAC	Faculty Senate President	$10,000.00	$10,000.00
Gallup Branch	Watt, Paula	SAC	Chairperson	$2,000.00	$2,000.00
Gallup Branch	Roberts, Kenneth R.	SAC	Math Arts Coordinator	$7,000.00	$7,000.00
			Gallup Branch Total:	$24,750.00	$24,750.00
LosAlamos Branch	Harris, Robert P.	SAC	Chairperson	$5,000.00	$5,000.00
LosAlamos Branch	Hurley-Johns, Sharon	SAC	Chairperson	$2,500.00	$2,500.00
LosAlamos Branch	Morin, Monique M.	SAC	Chairperson	$5,000.00	$5,000.00
LosAlamos Branch	Stidham, Zandree N.	SAC	Chairperson	$5,000.00	$5,000.00
LosAlamos Branch	Willerton, Beverly K.	SAC	Associate Dean	$5,000.04	$5,000.04
LosAlamos Branch	Yarnell, Barbara J.	SAC	Chairperson	$5,000.00	$5,000.00
			Los Alamos Total:	$27,500.04	$27,500.04
Taos Branch	Ellis-Sankari, Jean M.	SAC	Coordinator for Faculty Development	$2,500.00	$2,500.00
Taos Branch	Whitehead, Joel	SAC	Curriculum Coordinator	$2,500.00	$2,500.00
			Taos Branch Total:	$5,000.00	$5,000.00
Valencia County Branch	Barreto, Claudia	SAC	Coordinator for Teaching and Learning Assessment	$4,200.00	$4,200.00
Valencia County Branch	Ceschiat, Michael A.	SAC	Chairperson	$5,000.00	$5,000.00
Valencia County Branch	Chavez, Cindy L.	SAC	Coordinator of Business	$2,500.00	$2,500.00
Valencia County Branch	Chavez, Miriam J.	SAC	Chairperson	$5,000.00	$5,000.00
Valencia County Branch	Depree, Julie M.	SAC	Chairperspon	$5,000.00	$5,000.00
Valencia County Branch	Gillikin, Patricia L.	SAC	Coordinator for Developmental English	$2,500.00	$2,500.00
Valencia County Branch	Gustafson, Miriam H.	SAC	Coordinator of English Department	$1,250.00	$1,250.00

Valencia County Branch	Huntsinger, Jami L.	SAC	Chairperson	$5,000.00	$5,000.00
Valencia County Branch	Johnston, Dianna M.	SAC	Interim Director, Nursing	$9,500.00	$9,500.00
Valencia County Branch	Manea, Najib M.	SAC	Coordinator of Technology	$2,499.96	$2,499.96
Valencia County Branch	Martinez, Danizete	SAC	Coordinator of English	$1,250.00	$1,250.00
Valencia County Branch	Newby, Tina L.	SAC	Adult Basic Education Coordinator	$3,000.00	$3,000.00
Valencia County Branch	Sax, Richard	SAC	Dean	$28,325.04	$28,325.04
			Valencia County Branch Total:	$75,025.00	$75,025.00

EVP Administration	Sedillo, Antoinette M.	SAC	Academic Leadership Fellow	$18,000.00	$18,000.00
EVP Administration	Schluntz, Roger L.	SAC	Innovative ABQ Site Planning	$11,250.00	$11,250.00
			EVP Adminstration Total:	$29,250.00	$29,250.00

There is no handout required for this item

Compliance Basics: The Seven Elements of an Effective Compliance Program

Stuart Freedman, MPH

HSC Chief Compliance Officer

UNM HEALTH SCIENCES CENTER | Compliance Office

Topics Covered

- Foundations of compliance

- Functions of compliance

- Differences between compliance and internal audit

Foundations of Compliance

Federal Sentencing Guidelines for Organizations define the Seven Elements of an Effective Compliance Program:

1. Policies and Procedures
2. Designate a Compliance Officer
3. Training and Education
4. Open Lines of Communication
5. Internal Monitoring and Auditing
6. Enforcing Standards Through Well-Publicized Disciplinary Guidelines
7. Responding Promptly to Detected Offenses and Undertaking Corrective Action

Policies and Procedures

Organizations must have policies and procedures to detect and prevent noncompliance conduct, for example:

- Code of Conduct

- Compliance Plan

- Compliance Policies (and others)

Designate a Compliance Officer

Organizations must identify a Compliance Officer and that person must have direct access to leadership and to the governing board. The Compliance Officer works with a high-level Compliance Committee to ensure that leaders are aware of compliance concerns.

Training and Education

Compliance is responsible for developing and delivering compliance-related training.

Open Lines of Communication

For an organization to have an effective compliance program, all employees must be able to report compliance concerns to their Compliance Officer, such as through an anonymous reporting hotline.

Internal Monitoring and Auditing

Compliance conducts reviews of ongoing processes (monitoring) and in-depth reviews of past events (auditing).

Enforcing Standards Through Well-Publicized Disciplinary Guidelines

Compliance does not discipline, personnel departments do that, but compliance does conduct investigations that may result in discipline.

Responding Promptly to Detected Offenses and Undertaking Correction Action

When compliance discovers that noncompliance has occurred, or is occurring, compliance develops recommendations for management and works with management to implement corrective action plans.

Where does compliance fit?

	Internal Audit	Compliance
Primary Function	Conduct Independent Assessments	Create a "culture of compliance"
Role in Operations	None: does not "step into the shoes of management"	Limited: assists management in implementing corrective action
Foundation	Best practices	Regulation
Types of Investigations	Use of organizational resources/funds	Other noncompliance, such as retaliation, healthcare fraud, or NCAA violations

Chief Compliance Officer Quarterly Report

Submitted by Helen Gonzales, Main Campus Chief Compliance Officer (CCO)
October 16, 2014

This quarterly report to the Regent's Audit and Compliance Committee includes activity since the August, 2014 Regent's Audit Committee meeting.

Compliance Office Goals, Activity, & Accomplishments FY15

Goal 1: Document internal controls and monitoring mechanisms, identify any gaps or risks, and communicate directly with compliance partners to recommend appropriate action.

During the last quarter, I continued to develop core compliance processes to support ongoing mitigation efforts, including:

- Spent a significant amount of time this last quarter working on issues related to Clery Act and Title IX compliance, including convening the Chairs of the various task forces and committees that are charged with issues related to sexual misconduct and campus culture;
- Worked in collaboration with Internal Audit to interview key University officials as a part of the Risk Assessment process;
- Met with the Director of Veteran's Resource Center and the AVP of Enrollment Management to ensure the establishment of sufficient operational controls to comply with key legal and regulatory requirements;
- Met with the University Emergency Manager to identify institutional risks;
- Met with eight compliance partners to review their compliance obligations;
- Continued to assist the HSC Compliance Officer with the exclusion check process;
- Began planning for a task force on the protection of minors on campus;
- Participated in the Athletics strategic plan governance work group;
- Developed and maintained an inventory of all material laws and regulations applying to the university.

Goal 2: Provide quarterly reports to the President and the Regents about compliance status, with an emphasis on issues requiring immediate attention.

- Discussed a newly developed compliance tracking process with the Institutional Compliance Committee. This process will be used to inform the Chief Compliance Officer of compliance issues to be reported to the President and the Regents.

Goal 3: Work with Compliance partners to develop education programs that are sufficient as well as efficient in terms of the time and resources required for implementation.

- Developed a report for the Provost's office of all of the available and required training for faculty;

- Convened the Institutional Compliance Committee and reviewed the new Compliance Policy, discussed the new compliance reporting process, the risk assessment process, and the newly-created Minors on Campus Task Force;
- Continued compliance outreach by making a presentation to the Dean's Council.

Goal 4: Participate in the development of a campus-wide code of ethics and behavioral guidelines.

- Participated actively in the Code of Ethics task force;
- Met with Dr. Linda Ferrell, Chair of the task force and an expert in business ethics, to discuss compliance and ethics.

Goal 5: Work closely with University Policy process and Internal Audit to align compliance needs with policies and monitoring activities.

- Met with the Policy Office Director to identify policies under review;
- Continued on-going collaboration with Internal Audit to identify risks and mitigation efforts.

Follow Up Report - October 2014 (Open Session)

Project Code	Project Name	Issue Title	Executive Recommendations	Response	Estimated Implementation Date	Recommendation Action	Actual Implementation Date	Responsible Party
Recommendation State: Implemented								
2013-15	Safeguards for Protecting Private Data – Service Providers and Contractors	Recommendation 3 - Confidential Data Contracting and Security Review Procedures	The Purchasing Department needs to work with the University Information Security Officer and the HSC Information Security Officer to develop written procedures for the review of service providers and contractors with access to confidential data. These procedures should include: disclosure by the department requesting the purchase when applicable; notification via a flag in the contract management system; and certification from the service provider.	Concur. The Purchasing Department will work with the University Information Security Officer and HSC Information Security Officer to develop written procedures for the review of contractors who have access to confidential data. The Purchasing Department will work to identify a method in LoboMart for Departments to flag requisitions that provide vendors with access to confidential data. Purchasing will also develop a process that will require the service provider to certify at the end of a contract that all confidential data is either returned to UNM or destroyed.	3/31/2014	A process for confidential data contracting and a security review process has been developed and implemented.	10/7/2014	Bruce Cherrin,Chief Procurement Officer
2014-03	UNM Law School Student Bar Association	Recommendation 7 - Event Planning	At the beginning of each academic year, the SBA officers should prepare a "Calendar of Events" for the upcoming school year.	Assistant Dean for Student services will work with the SBA board to prepare a calendar of events for the upcoming year. The calendar will include listing of events such as back to school barbeques, Halloween parties, Barrister's Ball, etc. A hard copy of the calendar will be placed on the SBA bulletin board by the Wailing Wall and an electronic copy will be posted to the SBA's student organization website. The calendar will also be provided to Law School Accounting.	8/31/2014	The SBA secretary prepared a calendar of events. The Calendar is posted on the SBA's website and is also posted on the SBA Bulletin Board upstairs in the Faculty Office Area near the front door entrance. Internal Audit reviewed the Calendar of Events posted on the SBA's website for Academic Year 2014.	10/8/2014	David Herring, Dean
2014-03	UNM Law School Student Bar Association	Recommendation 5 - Banner Index Monitoring	The UNM School of Law accounting department should closely monitor the SBA Banner Index account to ensure complete financial activities are recorded.	Law School accounting will closely monitor student organizations' Banner indices to ensure that complete financial activity is recorded. Any deficit spending will be quickly identified and explained to the Dean.	8/31/2014	Law school accounting has responded with this statement found in their memo of September 4. "The Coordinator for Career and Student Services and Law School Accountant will communicate and work closely together to make sure there is funding available for all active student organizations. The Coordinator for Career and Student Services and Law School Accountant III run 'Myreports' to ensure there is funding available for student organizations. Each transaction that is submitted is verified against funding that is in the Banner finance system." Internal Audit reviewed Law School student organization Banner indices for FY 2014 and FY 15 through September 30, 2014, and noted all indices have a positive balance.	10/8/2014	David Herring, Dean
2014-03	UNM Law School Student Bar Association	Recommendation 11 - Timely Check Deposits	All funds in excess of $50 received by the SBA should be deposited within one working day.	SBA officers will be trained that all funds received by the SBA should be deposited within one working day as required by UAPP 7200. This will occur at the annual student organization officer training.	8/31/2014	The requirement of timely deposits was discussed in the mandatory Student Organization Training that was held August 20, 2014. Internal Audit reviewed money list deposits ML2422 and ML2423, which were deposited in August 2014, and noted that the deposit date was the same date the money list was prepared.	10/9/2014	David Herring, Dean

2014-03	UNM Law School Student Bar Association	Recommendation 10 - Governmental Gross Receipts Tax	The SBA should deposit all revenues received for the sale of t-shirts and other items subject to GGRT in their Banner index using account code 0510.	SBA officers will be trained that all funds received by the SBA and subject to the GGRT should be identified and deposited in Banner account code 0510. This will occur at the annual student organization officer training. Law School Accounting will work with the SBA to make sure that all required deposits are made to account 0510.	8/31/2014	Law school accounting distributed a memo of September 4, 2014 stating "When deposits are made by the SBA or any student organization, it has to be clearly stated what the deposit is for. Each student organizational representative that submits a deposit, has to sign off on the 'Law School's internal deposit Form', which will provide the Law School information on how much funds were deposited and what they are exactly for. Apparel sales will be deposited in account code 0510, and will be noted on the money list deposit." Internal Audit reviewed two money lists for August 2014 and noted that apparel sales were recorded in account 0510 (Merchandise Sales Revenue), which is used to report GGRT.	10/9/2014	David Herring, Dean
2014-08	IT Ticketing	Segregation of Duties	The Director of Ticketing Services should review the segregation of duties requirements and reassign employee duties to ensure that they are properly segregated. The following duties should be specifically evaluated: eceiving cash; preparing deposits; preparing monthly reconciliations; time entry and time approval; and, entering and approving purchase transactions into the Banner system.	We concur. Close-out cards will comply with two signature minimum. Segregation of duties and Banner approval authority will be reviewed.	9/1/2014	Ticketing Services developed written procedures for voids and refunds which require the voids and refunds to be documented and reconciled to the ticketing system daily. Implemented.	10/7/2014	Mark Koson,Assoc Athletic Dir/Ticket Svcs
2014-08	IT Ticketing	Cash and Credit Card Receipts	The Director of Ticketing Services should ensure his departmental polices involving receipts are followed, including a process to document why a void/refund was performed if the void/refund ticket is not present. Ticketing Services should also perform periodic checks of receipts to ensure that the policies are followed.	We concur. Processes for cash refunds/voids will be put in place, to prevent and detect potential money irregularities.	9/30/2014	Ticketing Services reviewed and changed the duties of the Associate Director of Business Operations and developed a written process and procedures to ensure close out cards are reviewed by the cashier and the supervisor. Implemented.	10/7/2014	Mark Koson,Assoc Athletic Dir/Ticket Svcs
2014-04	Clery Act	Recommendation 11 - ASFSR Broken Links	The EVP for Finance and Administration should instruct the UNMPD Chief to fix current direct links and future direct links provided in the email and UNMJobs, and those included in the ASFSR to other areas.	It was discovered the direct links contained in the ASFSR did not work. Each link was checked and the broken link was corrected.	7/31/2014	Internal Audit verified that all eight links contained in the ASFSR 2014 function properly. Additionally, the link provided in the email to student, faculty and staff along with the link available at UNMJobs website is functional.	9/30/2014	Kevin McCabe, Dir,Univ Scty/Chief of Police
2014-04	Clery Act	Recommendation 13 A -2013 ASFSR Policy Statements	The EVP for Finance and Administration should instruct the Safety and Risk Services Director to ensure that the fire policy statement discusses plans for future improvements in fire safety; and, if none the policy should so state.	A. The policy and procedure discussed above will call for this Fire Safety Policy Statement to be prepared annually by the Construction Safety Manager.	10/1/2014	Internal Audit reviewed ASFSR 2014 and verified that the report discloses plans for future improvements in fire safety.	9/30/2014	Carla Domenici,Int Dir,Safety & Risk Services
2014-04	Clery Act	Recommendation 13 B - 2013 ASFSR Policy Statement	The EVP for Finance and Administration should instruct University Counsel to determine if the weapons policy should be included in the ASFSR. If it is determined it should, the EVP for Finance and Administration should instruct the UNM Police Chief to make the link in the ASFSR to the weapons policy functional.	B. The UNM Police Chief will discuss with the University Council and determine the need for the weapon policy inclusion in the ASFR.	9/30/2014	Part B relates to the Weapons Policy section in the 2014 ASFSR that informs that the Weapons Policy in its entirety can be found in the Pathfinder and there is a link to the Pathfinder in the ASFSR. IA cliked through the link to verify it worked and that the Weapons Prohibited on University Proper Section 2.1 of UBPPM 2210 worked. CLEARED Part B of this recommendation.	10/1/2014	Kevin McCabe,Dir,Univ Scty/Chief of Police

Project Code	Project Name	Issue Title	Executive Recommendations	Response	Estimated Implementation Date	Last Status Update	Actual Implementation Date	Responsible Party
2014-04	Clery Act	Recommendation 13 C - Policy Statement Campus Security Authority	The EVP For Finance and Administration instruct the UNMPD Chief to clarify campus security authority policy statement.	C. The ASFSR CSA policy statement will be revised to provide clear direction to students and staff on reporting potential crimes to designated CSAs. This revision will be in place for the 2014 ASFSR, submitted on October 1, 2014.	10/1/2014	ASFSR 2014 includes a clear and discriptive Campus Security Authority (CSA) policy. The report explicates who CSAs are, their responsibilities in terms of reporting Clery crimes, and the intent behind having them; i.e. to encourage people, particularly students, to report crime incidents.	9/30/2014	Kevin McCabe,Dir,Univ Scty/Chief of Police
2014-04	Clery Act	Recommendaiton 22 - Report Publication and Distribution	The EVP for Administration and Finance should require HR and contributing departments include information relating to availability of the ASFSR in new employee orientation.	SRS included Clery Act information in its portion of the new employee orientation presentation and in the Basic Annual Safety Training. Further, Byron Piatt will be meeting with the Direcot to collaborate on additional joint training with EOC.	8/31/2014	The new employee orientation slide presentation was revised to include information about the availability of the ASFSR. Cleared.	8/31/2014	Carla Domenici,Int Dir,Safety & Risk Services
Project Code	Project Name	Issue Title	Executive Recommendations	Response	Estimated Implementation Date	Last Status Update	Actual Implementation Date	Responsible Party

Recommendation State: Pending

Project Code	Project Name	Issue Title	Executive Recommendations	Response	Estimated Implementation Date	Last Status Update	Actual Implementation Date	Responsible Party
2013-10	P-Card	Recommendation 2 - Recording and Tracking the purchase of hazardous chemicals and radioactive materials	The Purchasing department and SRS should implement a system which effectively records the purchase of hazardous materials and radioactive materials, and provides all relevant information to SRS for tracking.	SRS purchased the Enterprise Re-Agent Manager (ERM) software and is implementing this software in five UNM Departments effective August 2013. This ERM software will replace ICID. It is a SciQuest Product that communicates with Banner. Purchasing and SRS are working as a team to populate the ERM software with data from Chemical and Research Laboratory Supplies (CRLS). SRS established a main campus Chemical and Laboratory Safety Committee and is working with the committee to attempt to implement ERM campus-wide. SRS needs the support of UNM management to promulgate policies to require the use of ERM software and the inventory of chemical and radioactive materials.	7/1/2015			Carla Domenici, Int Dir, Safety & Risk Services
2013-11	UNM Medical Group	Recommendation 2 - Research Park Act	The University Office of Government and Community Relations should work with UNMMG management to propose necessary amendments to the University Research Park Act during the 2015 sixty day legislative session.	The UNM Office of Government and Community Relations will work with the HSC Leadership and Council of the University Presidents to propose legislative amendments to the University Research Park and Economic Development Act during the 2015 legislative session.	6/14/2015			Connie Beimer, Government Relations officer
2013-27	Parking and Transportation Services Contract Renewal	Recommendation 1 - Update UNM Parking Regulations	The Associate Vice President of Institutional Support Services should work with the Board of Regents to revise the PATS Parking Regulations to reflect the actual parking enforcement configurations and to update the other entities that handle their own parking services.	We concur. UNM PATS Parking Regulations will be updated to reflect the current parking enforcement configurations, and submitted, for approval, to the President who has been delegated authority to approve UNM Parking & Transportation Services Regulations on behalf of the UNM Board of Regents.	11/30/2014	The change in policy is drafted and waiting for proper approvals.		Chris Vallejos,Avp,Bsn Plng & Svcs/Iss and Barbara Morck, PATS Director

2013-05	HSC Cancer Research Center	Recommendation 1 - EOD Training - HIPPA and Standard	The Director/Chief Executive Officer of the UNM Cancer Center should work with the Cancer Center Human Resources Department and with the SOM Department Chairs (who hold primary responsibility for faculty) to ensure that all faculty and staff take the University's required training and HIPAA training courses.	UNM CC intends to address the recommendation with the following actions.Staff: 1) CC Human Resources will retrain managers and supervisors to ensure completion of required training is accurately tracked and noted on employee evaluations. 2) CC Human Resources will work with Learning Central to develop/obtain a detailed tracking report of required training courses by employee. Faculty: SOM Chairs/Administrators for faculty who practice in the Cancer Center will work with representatives from Learning Central to ensure faculty training plans are properly loaded for faculty. SOM Department Division Administrators will work closely with Chairs to audit and track completion; the Cancer Center Director and CEO will review completion of these learning plans with critical Department Chairs to assure completion.	12/31/2014			Cheryl Willman, Cancer Center Director/CEO, and relevant SOM Department Chairs, Administrators
2013-01	Review of College of Arts and Sciences Operations	Recommendation 2 - Process for Tracking Academic Load Requirements	A process should be implemented that enables colleges to effectively track and monitor time that faculty members spend on research and public service activities to help management effectively determine if faculty members are meeting academic load requirements and workload guidelines.	Processes to enable tracking of faculty workload are in development, with a significant amount of work still to be done. Banner has a module new to version 8 called "Faculty Workload and Compensation" and a project has been created to implement the module. The module includes a self-service portion where department chairs or designees can enter and adjust faculty instructional workload values, and input non-instructional workload measures for areas such as research, public service, administrative duties, and special assignments. The implementation is in its early phase, and full implementation would require significant further work, including work by a number of units, especially Faculty Contracts, Enrollment Management, Information Technologies, and Institutional Analytics. Also, departments and colleges will have to be trained on how to use the module to input faculty workload information.	12/15/2015	To track faculty public service activities, Academic Affairs requires Department Chairs, Directors or Deans to prepare annual written performance evaluations of all regular faculty. These written performance evaluations include a section on public service activities and thus serve as a written record of faculty accomplishments in this area. To track faculty research activities, an RFP was created for the purchase of a scholarly productivity subscription service, which will have the ability to track faculty research activities. The products of three vendors responding to the RFP are being evaluated this Fall, and a decision on purchase is expected to be made by January 1, 2015. Actual implementation of the software is expected to be completed by December 15, 2015.		Banner Faculty Workload Project Team (Nancy Middlebrook, Provost's Office, project lead)
2013-15	Safeguards for Protecting Private Data– Service Providers and Contractors	Recommendation 1 - UNM Information Security Program	The CIO needs to implement the UNM Information Security Program University-wide.	Concur. The CIO will continue implementation of the Information Security Program with the advisory structure approved by the President. The CIO submitted a recommendation to the IT Governance Council UNM Policy 2560 (President, EVPs, and Chancellor) to create a University wide security council. The existing and operational UNM Information Security Program will be assigned to the appropriate advisory structure.	12/31/2014	October 7, 2014 - A comprehensive list of standards and policies drafted, vetted and communicated is on target to be completed by December 2014.		Gilbert Gonzales, Chief Information Officer

2013-15	Safeguards for Protecting Private Data– Service Providers and Contractors	Recommendation 2 - University Information Security Function	The President should give the CIO the explicit authority and responsibility to manage information security University-wide, including the decentralized computing services. The President should also ensure that the CIO has the budget to develop, implement, and enforce security policies.	Concur. The President's Office is working with the EVP for Administration, the Provost and EVP for Academic Affairs, and the Chancellor for Health Sciences on the appointment of an appropriate advisory structure. We will work with the EVP for Administration and the CIO to evaluate whether this office has sufficient budget and authority to develop, implement, and enforce security policies. The Information Security Office, through the CIO, has established a security management reporting mechanism and makes quarterly reports to senior management on the status of information security at UNM.	7/31/2015	UNM has engaged an international management consulting firm to perform a high-level review of all main campus IT services, departmental and enterprise. The project will include a document review, interviews, and a campus-wide survey. Recommendations will focus on: operational efficiencies,"Common Good" IT services , and UNM IT Governance .The office of the CIO will facilitate the review along with a small group of executive stakeholders. The review is anticipated to start in the immediate future and to be completed this calendar year. Recommendations will be received in FY2015.		Gilbert Gonzales,Chief Information Officer
2013-29	Review of College of Education Operations	Recommendation 1 - Reserve designations	The Dean should enforce UNM Reserve policies which require preparing and maintaining adequate supporting documentation for committed and dedicated reservations.	In accordance with the Board of Regent's policy 7.2 "Budgets and Fund Balances," departments responsible for current unrestricted funds are required to submit a budget use report to the cognizant vice president. This is further explained in UAPP 7000.2. The College commits to maintaining supporting documentation that is required by these policies in the form of signed reports from the Chair to the Dean designating their balances into the appropriate categories.	10/15/2014			Salvador Hector Ochoa, Dean; Susan Rhymer, Finance Officer
2013-29	Review of College of Education Operations	Recommendation 10 - Review curricular fees for funding TK20 system	The Dean of the College of Education should review the TK20 Higher Education curricular fees to ensure that expenses are still allowable, allocable, reasonable, and timely.	An analysis of the appropriateness of the curricular fees will be conducted during FY15 to ascertain the allowability, allocability and reasonableness of the charges.	12/31/2014			Salvador Hector Ochoa, Dean; Susan Rhymer, Finance Officer
2013-29	Review of College of Education Operations	Recommendation 6 - Residency School	The Dean of the COE and the Provost office should consider developing a College of Education Teacher Preparation Residency School. Such a residency school could provide COE students with hands on training throughout their teacher preparation experience.	The College of Education will consider the Teacher Preparation Residency School as a part of the continuing re-imagining efforts and future direction of the College.	8/31/2016			Salvador Hector Ochoa, Dean
2013-29	Review of College of Education Operations	Recommendation 4 - Excess student credit hours	The Provost should work with the Interim Dean of the College to become more involved in prospective COE enrollees earlier in their college career, and endeavor to provide critical advisement to COE students as early in the process as possible. The Provost office should also work with the College to determine if excessive student credit hours are occurring before, or after, being admitted to the College.	Advisors will be shifted from University College to the College of Education so that the COE may take on the responsibility for advising their students as early in the process as possible. The departments are reviewing through the Dean their curricula and modifying it in the hope of reducing excess student credit hour accumulation. There is an impediment related to NM teacher licensure that we cannot address without collaboration with PED. Many of the excess credit hours are attributable to their requirements.	8/31/2015	Received revised status update with the new Dean's estimated completion date of 8/31/2015.		Salvador Hector Ochoa, Dean; Greg Heileman, Associate Provost

2013-29	Review of College of Education Operations	Recommendation 5 - Data Analysis Issue	The Provost's Office and the College should explore data reporting alternatives to the first-time fall freshmen data reporting. Internally, the University should track spring semester freshman, summer session freshman, and transfer student starts so that a more complete picture of an individual college's metrics can be obtained.	The Academic Affairs office accepts responsibility and will implement the recommendation. We will continue to work to create metrics for these reports (already underway), and spreadsheets of data in Excel format for displaying them on-line for direct department access.	12/31/2014			Greg Heileman, Associate Provost
2013-29	Review of College of Education Operations	Recommendation 7 - Field Service Assessment	The College should consider establishing a coordinated clinical field service assessment program to effectively provide feedback while teacher candidates are conducting field service and to follow up with them after graduation. Consider changes in programs to include student field service experience earlier in the program/student experience and establish a program to assess the effectiveness of traditional field service.	The College established a Field Services Portal (the first of its kind in NM) for feedback between teacher candidates and supervisors during the entire field service experience. This portal undergoes continuous improvement to facilitate and enhance feedback and communication with candidates, staff and supervisors. The College also utilizes the TK-20 assessment system to collect observations on students' learning and can be accessed by our alumni to store their teaching and learning dossiers.	8/31/2016	The new Dean of the COE requested additional time to implement since he just started at UNM on 7/15/2014. Implemetation date was extended to 8/31/2016.		Salvador Hector Ochoa, Dean
2013-29	Review of College of Education Operations	Recommendation 8 - Expand Field Service to Rural NM	The Dean of the COE should work with the Provost's Office to develop a permanent funding model for field service, and explore expansion of the Co-Teaching Collaborative School model within the Teacher Education Department, to other departments within the College, and to rural areas of New Mexico.	The new COE Dean and the Provost will explore funding possibilities for Field Services, and further examine possibilities for expanding the Co-Teaching Collaborative School model in Teacher Education and other areas of the college. Rural areas of New Mexico will also be included.	8/31/2016			Salvador Hector Ochoa, Dean
2013-29	Review of College of Education Operations	Recommendation 9 - UNM School Turnaround Program	The Dean of the College of Education should work with the Provost's Office and the Office of Government and Community Relations to pursue options for funding for the College's school turnaround programs, including but not limited to: the New Mexico legislature, the U.S. Department of Education, NM PED, private foundations, and collective funding initiatives in the school districts.	Collaboration is underway with other New Mexico institutions of higher education, including New Mexico State University.	6/30/2015	The new Dean of the COE requested additional time to implement since he just started at UNM on 7/15/2014. Implemetation date was extended from 5/31/2014 to 06/30/2015.		Salvador Hector Ochoa, Dean
2013-29	Review of College of Education Operations	Recommendation 11- Required University Training	The Dean of the College of Education should work with the Office of the Provost and the Human Resources Department to ensure that all faculty and staff take the University's required annual training.	The new Dean with the help of the COE Academic Operations Officer will request regular reports from HR of mandatory training completion statistics and then implement regular communications with Faculty and Staff to ensure that all faculty and staff comply with the required annual University training. Consequences will be implemented for those faculty and staff within the COE that do not comply.	12/31/2014			Salvador Hector Ochoa, Dean; Diane Gwinn, Academic Opns Ofcr

2013-29	Review of College of Education Operations	Recommendation 3 - Time to Acquire a Degree at UNM COE	The Dean of the College of Education should work with Office of the Provost to ensure any redesign of the College addresses the Provost's recommendation for reducing minimum credit hours for degree programs.	A college wide Curriculum Review has been underway since 2013; one objective of the review is to reduce the required number of credit hours in each bachelor degree program to 120 hours, or close to it. The NM PED requires 57 credit hours in core courses for teacher preparation programs. The PED is reviewing this requirement. The Early Childhood Education program (ECE) is well under way to restructuring the program into a 5 year degree program, and in compliance with regulatory and accreditation agencies.	6/30/2016			Salvador Hector Ochoa, Dean
2013-29	Review of College of Education Operations	Recommendation 2 - Endowment Reserves	The College, including its scholarship committee, should evaluate endowment expenditure and award activity to ensure endowment spending distributions and available reserves are optimally utilized, and to communicate availability of scholarships to chairs and students. In addition, the College should work with the UNM Foundation to lift certain donor imposed restrictions that are hard to meet by COE scholarship applicants.	The College will analyze existing scholarships to maximize awards including partnering with the UNM Foundation to ease donor-imposed restrictions where appropriate. This should be done in preparation for the FY16 award cycle which culminates in April, 2015.	5/1/2015			Salvador Hector Ochoa, Dean; Susan Rhymer, Finance Officer
2014-04	Clery Act	Recommendation 1 - Organizational Structure and Administrative Function	A. The University President should consider establishing the position of Clery Coordinator whose sole responsibility is Clery Act compliance and oversight. The Clery Coordinator should be empowered to enforce Clery Act compliance. B. The University President should establish a Clery Act Compliance Committee with appropriate representation from the campus community. Using the best practices provided earlier, the committee should be tasked with developing an organizational structure for compliance with, coordination, and communication of Clery Act requirements. C. The Clery Coordinator working with the Clery Act Compliance Committee should develop a formal UAPPM Clery Act Compliance policy. D. The University President should notify the entire campus community that Clery Act compliance is mandatory, and all are responsible for knowing their roles and responsibilities for reporting and keeping the campus safe.	A. An Interim Clery Coordinator at UNMPD has been assigned, effective August 1, 2014 for FY15. The Director of Office of Equal Opportunity (OEO) will develop a budget request for FY16 that includes Clery reporting to OEO by June 30, 2015. The Interim Coordinator will work with the UNM compliance office and the UNM policy office to develop policies and procedures (by June 30, 2015) in consultation with the Clery Compliance Steering Committee. B. Clery Act Steering Committee was appointed August 1, 2014. C. Steering Committee will work with the UNM policy office to develop a policy by June 30, 2015. A meeting was held with all individuals on the Audit "Clery Organization Chart" on May 7th in the President's conference room. Each of those supervisors agreed to communicate to their direct reports. In addition, after (C.) is completed, the policy will be included in the President's communication by June 30, 2015. The University President will issue a memo to notify the entire campus community that Clery Act compliance is mandatory-to be completed by September 15, 2014.	6/30/2015			Amy Wohlert,Chief Of Staff/Office Of Pres
2014-04	Clery Act	Recommendation 2 Geography - Clery Act Locations	The University President must require the Clery Coordinator to assign the responsibility for determining the University's Clery geography. The University President should require that the Clery Coordinator ensure development of written policies and procedures that include adequate internal controls to accurately define, document, and update the Clery geography annually.	A. Delegated to the Provost's Office from the President's Office via email communication on August 12, 2014. B. Delegated to the Provost's Office. The Interim Clery Coordinator will work with the Provost's office and the UNM policy office to develop written policies by June 30, 2015.	6/30/2015			Amy Wohlert,Chief Of Staff/Office Of Pres

2014-04	Clery Act	Recommendation 3 - Geography - Clery Act Locations	The EVP for Academic Affairs/Provost should require a review of Policy 2710 UMAPP Study Abroad Health and Safety to incorporate any requirements of the Clery Act.	The Provost will ask the OEO Director and Policy Office Manager to draft proposed revisions to UAPP 2710 and to subsequently initiate policy comment and approval process.	5/15/2015			Melissa Vargas,Strategic Planner
2014-04	Clery Act	Recommendation 4 - Campus Security Authorities	The University President or Clery Coordinator should designate CSAs, Clery Coordinator should incorporate CSA policy into Clery Act Policy, policy should be included in the Student Activities Handbook, and the CSA should sign signifying awarness of policy.	The Interim Clery Coordinator will gather a confirmed response from each CSA for reporting and will obtain a signed form from each CSA stating they are aware of their responsibilities. The OEO website will include this list of CSAs by June 30, 2015. The policy developed will be included and coordinated in the Student Organization Handbook - to be completed by June 30, 2015.	6/30/2015			Amy Wohlert,Chief Of Staff/Office Of Pres
2014-04	Clery Act	Recommendation 5 - Campus Security Authorities	The EVP for Academic Affairs/Provost should discuss with the Dean of Students inclusion of CSA information in Pathfinder and discuss with the Faculty Senate President inclusion of CSA roles and responsibilities in the Faculty Handbook.	The Provost will direct the VP for Student Affairs and Dean of Students to add the CSA info to Pathfinder in consultation with the Clery Coordinator. Discussions will happen with the Faculty Senate Policy committee relative to recommendation that roles and responsibilities governing CSAs operating within Academic/Student Affairs Units (Deans, Chairs, Advisors, etc.) should be covered in a universal policy in UAPP, in the Faculty Handbook, or both. Also, whether the Faculty Handbook should outline faculty reporting responsibility related to Clery Act.	5/15/2015			Melissa Vargas,Strategic Planner
2014-04	Clery Act	Recommendation 6 - Validation of Crime and Disciplinary Statistics	The University President should require the Clery Coordinator to develop written policies and procedures, including adequate internal controls for documenting, tracking, and verifying and reporting crimes and disciplinary actions for all University reporting departments. Record retention requirements should also be included in the written policies and procedures.	The Interim Clery Coordinator will work with the UNM Policy Office to develop a policy on double counting and reporting. To be completed by June 30, 2015.	6/30/2015			Amy Wohlert, Chief of Staff/Office of the President
2014-04	Clery Act	Recommendation 7 - Validation of Crime and Disciplinary Statistics	EVP for Finance and Administration should instruct the UNMPD Chief to develop adequate training for all housing facility personnel and the Dean of Students' Conduct Officer to ensure adequate tracking and documentimng incidents.	The Lieutenant at UNMPD is developing a lesson plan that will be provided to all housing facility personnel and the Dean of Students' Conduct Officer on tracking and documenting reported incidents. The training will be accessible through the UNM Learning Central which will provide greater outreach to UNM personnel.	1/31/2015	Training is available on Learning Central; however it has not been completed by all housing facility personnel and the Dean of Students' Conduct Officer.		Kevin McCabe,Dir,Univ Scty/Chief of Police

2014-04	Clery Act	Recommendation 8 - Validation of Crime and Disciplinary Statistics	The EVP for Academic Affairs/Provost should require that all student housing facility personnel responsible for Clery crime reporting and disciplinary incidents, and the Dean of Students' Student Conduct Officer: Meet monthly with the UNMPD to reconcile statistics; receive proper training from UNMPD; and, maintain adequate documentation to substantiate disciplinary statistics submitted to UNMPD and submitted to ED. Require all Clery incidents occurring at student housing facilities be coordinated by the Dean of Students' Student Conduct Officer. Student housing facilities must still maintain adequate documentation for statistics submitted to UNMPD by the Dean of Students' Student Conduct officer.	The Provost will direct the VP for Student Affairs, AVP for Student Life, and the Student Conduct Officer to work with the Clery Coordinator and ASFSR officials to develop an implementation plan to meet these requirements.	5/15/2015			Melissa Vargas,Strategic Planner
2014-04	Clery Act	Recommendation 9 - Validation of Crime and Disciplinary Statistics	Chancellor for Health Sciences should inform Chief Executive Officer for Health Systems to require that the Chief of Security: meet monthly with the UNMPD to reconcile statistics; receive proper training from UNMPD on how to categorize a Clery crime; and adequately document the outcome of the incident.	The UNMH Chief of Security is working with UNMPD for Clery reporting and will be meeting quarterly to reconcile reports. As for the training, UNMPD is working on developing training that will be available via learning central.	1/31/2015	It's not clear what the quarterly meetings should consist of. A meeting between UNMPD and UNMH Chief of Security has been set up for October 2014, however statistics are not scheduled to be reconciled at that time. Recommendation and response revision may need to be considered.		Kevin McCabe,Dir,Univ Scty/Chief of Police
2014-04	Clery Act	Recommendation 10 - Fire Statistics Tables	The Executive Vice President for Finance and Administration should instruct the Director of Safety and Risk Services to work with the University Communications' Web Designer to ensure that all the required fire statistics tables for the three most recent calendar years are published in the ASFSR. The UNM Fire Marshal should also review the ASFSR to ensure information and data reported is accurate and current. The Director of Safety and Risk Services should develop adequate policies and procedures to ensure that they adequately maintain and archive documentation used to support fire statistics included in the ASFSR for seven years.	SRS will initiate a meeting with the University Communication Web Designer and work with that individual to publish the annual security and statistic report. The Manager, Construction Safety will also review the ASFSR to ensure information and data reported is accurate and current. SRS will develop adequate policies and procedures to ensure that it maintains and archives documentation to support fire statistics in the ASFSR for seven years.	12/31/2014			Carla Domenici,Int Dir,Safety & Risk Services
2014-04	Clery Act	Recommendation 12 - ASFSR Policy Statement	The Provost should instruct the Dean of Students to update the disciplinary procedures to add "requests for the outcome of disciplinary proceedings for crimes of violance or non-forcible sexual offences must be in writing."	The Provost will direct the VP for Student Affairs and the Dean of Students to update relevant policies.	5/15/2015			Melissa Vargas,Strategic Planner
2014-04	Clery Act	Recommendation 14 - Missing Student	The EVP for Academic Affairs/Provost should publish the missing student policy, require UNM Residence Life & Student Housing to implement adequate internal controls and access to missing student contact and require CASAS and Lobo Village to receive training on missing student requirememnts.	A. The Provost will send a memo to VP for Student Affairs and Dean of Students to write a policy (if it does not already exist) and to publish it in a prominent place. B. The Provost will send a memo to the VP for Student Affairs and AVP for Student Life to meet these directives. C. The Provost will send a memo to VP for Student Affairs and AVP for Student Life to meet these directives.	5/15/2015			Melissa Vargas,Strategic Planner

2014-04	Clery Act	Recommnedation 15A - Emergency Evacuation Plan	The EVP for Finance and Administration should require that the Director of SRS complete the annual Campus-wide evacuation plan, communicate it to the Emergency Operations Committee (EOC), and test it annually. EVP for Finance and Administration should require the Director of SRS have a comprehensive set of evacuation plans for every building on campus, review campus structure yearly to identify new buildings, and ensure that those buildings have an adequate evacuation plan.	Evacuation maps will be posted by April 30, 2015 Campus evacuations/fire drills will be organized and completed by December 31, 2015. SRS will be working to organize and implement fire drills for all buildings, including branch and satellite campuses, to insure that designated evacuation procedures are being followed. this should include timely and complete evacuation following the correct route to the designated muster point. SRS suggests that the task of evacuating every building on campus should be re-directed to UNM PD and EOC. SRS can support this effort in its role as an occupational safety partner, but it is not equipped to evacuate the campus for all situations. SRS has initiated the design and posting of evacuation plans in all buildings. SRS will strive to complete this project by the Target Completion Date.	12/31/2015			Carla Domenici, Int Dir, Safety & Risk Services
2014-04	Clery Act	Recommnedation 15B - Emergency Evacuation Plan	The Chancellor for Health Sciences should require the Chair of Emergency Medicine instruct Emergency Manager to communicate Emergency Response procedures annually to students, staff and faculty.	We met with the Employee and Occupational Development office of the UNM Human Resources Department to discuss the inclusion of Emergency Response Procedures in an annual training format. Using the Learning Central platform and the Basic Annual Safety Training (BAST) model, we feel that this would be the best way to deliver annual training and to quantify those efforts. We are meeting on August 13 with the Safety and Risk Services Department to assess their willingness to add these procedures to their already required BAST. This would be the most efficient way for us to implement this requirement for Staff and Faculty, with an implementation date of January 1, 2015. Students are not in the Learning Central platform, and could not be addressed in this manner. We believe that the Provost's Office should determine how to push this information out to them. We might suggest, however, that some type of "banner" be added to my.unm.edu which would require students upon their first login to acknowledge Emergency Response Procedures similar to a "Terms of Service" agreement.	1/1/2015			Byron Edward Piatt,University Emergency Manager
2014-04	Clery Act	Recommendaiton 16 - Fire Drills	EVP for Finance and Administration should instruct the Director of SRS to work with UNM Residence Life and Student Housing, CASAS del Rio, and Lobo Village to ensure students are educated on safe and proper evacuation procedures. The Director of SRS should monitor all housing facilities to ensure facility managers are conducting required fire drills.	SRS will ensue that students are thoroughly educated on safe and proper evacuation procedures. SRS will continue to organize fire drills in cooperation with facility managers. SRS will work with the property managers for Casas del Rio and Lobo Village to collect their statistics and documentation for submission. SRS met with the Regional Vice President for American Campus Communities in March 2014 and was informed that the company would conduct fire drills and maintain documentation. It was agreed that SRS would collect the data for Clery Act reporting purposes.	12/31/2014			Carla Domenici,Int Dir,Safety & Risk Services

2014-04	Clery Act	Recommendaiton 17 - Fire Drills	The EVP for Academic Affairs/Provost should instruct all student housing facility managers to require resident assistants' document floor meetings and use sign-in sheets as evidence that students are informed of fire safety and evacuation procedures.	The Provost will direct the VP for Student Affairs and AVP for Student Life to meet these directives.	5/15/2015			Melissa Vargas,Strategic Planner
2014-04	Clery Act	Recommendaiton 18 - Fire Drills	The University President should direct the Executive Administration to work with the Emergency Manager to: include emergency response training into new student orientation and all areas of new employee orientation; and monitor Basic Annual Training courses completion rates to increase the rate to close to 100%.	The new student orientation should include emergency response training. Plan for education by June 30, 2015. Plan for universal education implemented by June 30 2015.	6/30/2015			Amy Wohlert,Chief Of Staff/Office Of Pres
2014-04	Clery Act	Recommendation 19 - Separate Campus Designation	The President should instruct Special Assistant to the University President for Branch Affairs reevaluate UNM West's designation to consider it a separate branch campus.	The President's Office has instructed the Branch Campus Director to complete the report.	6/30/2015			Amy Wohlert,Chief Of Staff/Office Of Pres
2014-04	Clery Act	Recommendation 20 A - Branch Campus Reporting	A. The University President should instruct the Special Assistant to the University President for Branch Affairs to meet as soon as possible with the Gallup branch Executive Director and Police Sergeant to determine what resources are needed to prepare and issue the ASR.	A. The President has scheduled a meeting on August 25th, 2014 with Branch campus directors. Clery Act Compliance is on the Executive Cabinet agenda for discussion. To be Completed on June 30, 2015.	6/30/2015	Clery Compliance update was one of the agenda items of the Executive Cabinet meeting held on August 25, 2014.		Amy Wohlert,Chief Of Staff/Office Of Pres
2014-04	Clery Act	Recommendation 20 B - Branch Campus Reporting	The University President should instruct the Special Assistant to the University President for Branch Affairs meet with Director of Gallup to issue ASR and work with Clery Coordinator to train all branch campus staff.	B. President has scheduled a meeting August 25th 2014 with Branch campus directors. To be completed by June 30, 2015.	6/30/2015	Clery Compliance update was one of the agenda items of the Executive Cabinet meeting held on August 25, 2014.		Amy Wohlert,Chief Of Staff/Office Of Pres
2014-04	Clery Act	Recommendaiton 21 - Report Publication and Distribution	A. EVP for Academic Affairs/Provost instruct the Dean of Students to include availability of the ASFSR in student orientation. B. EVP for Academic Affairs/Provost instruct faculty who hire staff to ensure that prospective faculty are made aware of availability of the ASFSR on hiring web page or in application. In addition, availability of the ASFSR should be included in new faculty orientation.	A. Provost will send a memo to VP for Student Affairs and AVP for Student Life to meet these directives. B. Provost will send a memo to all faculty administrators to meet these directives. Additionally, new faculty orientation will be modified to include information about the Clery act and the availability of the ASFSR.	5/15/2015			Melissa Vargas,Strategic Planner
2014-04	Clery Act	Recommendation 23 - Report Publication and Distribution	Chancellor for Health Sciences instruct the Chief Executive Officer of UNMH to ensureprospective employees are made aware of availability of the ASFSR on hiring web page or in application, and new employee orientation include notification of the availability of the ASFSR.	UNMH new employees' orientation will include notification of availability of Annual Security and Fire Safety Report.	9/30/2014	Request for status update outstanding.		James Pendergast,ADMIN HR

2014-04	Clery Act	Recommendation 24 - Daily Crime Log	The Executive Vice President for Finance and Administration should require the UNM Police Chief update procedures to require that offenses recorded in the crime log are easy to understand and do not include initials or codes; disposition of crimes is documented, and unfounded offenses are identified as "unfounded" in the crime log; and crime logs are archived for seven years.	Review and audit process of UNMPD in verifying crimes statistics reported in the ASFSR. UNMPD Lieutenant will review and record all crimes identified by CLERY Act requirement for the ASFSR. The Lieutenant will write on actual police report the reason an incident is not recorded into the ASFSR. The report will be signed by Lieutenant and then reviewed by UNMPD Commander to ensure the correct action was taken and will sign same report providing a proper audit trail for reviewing. The Daily Crime Log is now located on the UNM Police Web page providing easy access to public. The Offenses recorded on the crime log are easy to understand and no initials or codes are being used. The crime log also provides an easy to view pin map of where to incident occurred for the public to get a better idea of where the incident occurred. The Disposition of crime has been corrected and the status of "Unfounded" has been added in the crime log.	11/30/2014	Partially completed. UNMPD has adopted new online system of retaining daily crime logs to assist UNMPD in its effort to store the daily crime logs for at least seven years. This online system located on the UNM Police Web page is easily accessible and does not have time limitation. Internal Audit verified that Commander Madrid has been attaching a separate sheet of paper to the police report with the reason of not counting the incident as a Clery crime when in doubt. This sheet is reviewed by Deputy Chief and signed by both in order to provide proper audit trail for reviewing. However, UNMPD requested additional time to document disposition of crimes in the crime log.		Kevin McCabe,Dir,Univ Scty/Chief of Police

2014-04	Clery Act	Recommendation 25 - Information Technology Application Controls	EVP for Finance and Administration should require UNMPD Chief to develop its own written IT backups are stored in a secure off-site location.	Policies and procedures have been written to address the Departments Information Technology (IT Unit). Included in the policy is the issue of proper segregation of IT personnel duties and the storage of back-up data in secure off-site locations. (Policy Number 82.1.6)
				  UNMPD is in the process of working with UNM IT to identify the place and means to back-up data.
				    Policy and Procedures have also been written regarding a disaster plan for the dispatch center. The plan provides direction to all personnel if the dispatch center goes down. (Policy Number 81.3.2a).
					1/31/2015	Partially completed. Internal Audit verified that UNMPD has developed its own written IT policies and procedures on July 14, 2014 for the dispatch center disaster plan and response as well as proper segregation of duties. However, UNMPD requested additional time to identify the place and process for backing up data in a secured location.		Kevin McCabe,Dir,Univ Scty/Chief of Police

2014-04	Clery Act	Recommendation 26 - Information Technology Application Controls	EVP for Academic Affairs/Provost require UNM Housing develop its own written IT policies and procedures.	Provost will send a memo to VP for Student Affairs and AVP for Student Life to meet these directives.	5/15/2015			Melissa Vargas,Strategic Planner
2014-08	IT Ticketing	Timely Deposits	The Director of Ticketing Services should work with the Controller's Office to ensure that deposits over $50 are deposited by the next working day or exceptions to the policy are made and documented.	We concur. Ticketing Services will continue with regularly scheduled deposits on Tuesday, Wednesday and Friday. Per the University Controller's approval (June 16, 2009) of an exception on Monday deposits, Ticketing Services will continue to comply. Thursday deposits will be added to the pickup schedule. When a special deposit is deemed necessary on a Monday, Ticketing Services will make arrangements for an armored car pickup.	10/31/2014			Mark Koson,Assoc Athletic Dir/Ticket Svcs
2014-08	IT Ticketing	Vault Camera	The Director of Ticketing Services should request that the appropriate personnel adjust the camera to ensure that all persons entering and leaving the vault are recorded on camera. The University should also consider installing a camera in the vault room.	We concur. We will work with the Facility Director in Athletics, to get camera position adjusted. Camera outside vault door will be moved, so that it ensures a clear angle to the vault door and all activity can be recorded. In addition, we will review the addition of a camera inside the vault room.	12/31/2014			Mark Koson,Assoc Athletic Dir/Ticket Svcs

2014-08	IT Ticketing	University Required Training	The Director of Ticketing Services should ensure that all staff members, including part-time and student employees, take the University's required annual training.	We concur. All staff (full-time, part-time, students, on-call) will complete the three UNM training classes.	12/31/2014			Mark Koson,Assoc Athletic Dir/Ticket Svcs
2014-08	IT Ticketing	Information Technology General Controls	The Director of Ticketing Services should complete and implement key IT general controls procedures.	We concur. Procedures will be completed and implemented.	8/30/2015			Mark Koson,Assoc Athletic Dir/Ticket Svcs
2014-12	Office of Vice-President for Research	Recommendation 3 - Designation of Start up reserves	Deans of all Colleges, Departments, and Centers should enforce UNM Reserve policy UBP 7000: Categorization of Reserves by designating start-up reserves as either "Committed" or "Dedicated."	Response from the Dean of the School of Engineering: An email to departments instructing them to categorize all faculty start-up funds as committed was sent 08/13/14. Once the Categorization of Reserves is completed, a review will be done to confirm that instructions were followed. Response from the Dean of the College of Arts and Sciences: The College will inform all departments and programs that start-up funding promised to faculty members via Letters of Offer will be considered committed in the UAP 7000 Categorization of Reserves. This will be practiced at all levels from department and program level up to the Dean's level.	9/30/2014	Request for status update outstanding.		Financial Analyst, School of Engineering; Financial Officer, College of Arts and Sciences.
2014-12	Office of Vice-President for Research	Recommendation 1 - Deficit Reduction Plan - Reasonable payback period	The University President should consider working with each of the Deans to review their current operations and determine if there is any possibility of shortening the payback periods.	The President will direct Provost Abdallah to work with VPR Dougher and EVP Harris to provide a plan by June 30, 2015 for eliminating the deficits in the CHTM and CARC budgets. The plan will address the reduction of the payback period.	6/30/2015			David Harris, EVP for Adm; Chaouki Tanios Abdallah, Provost
2014-12	Office of Vice-President for Research	Recommendation 4 - Timely Spending of Start Up Funds	The Provost and the Chancellor for Health Sciences should develop a formal written plan for the use and management of start-up funds awarded to new faculty. Documentation to support the award should address required time limits for use of the funds to ensure that monies awarded are not indefinitely tied up and can be made available to meet other needs when the designated spending timeline has lapsed.	Response from the EVP for Academic Affairs: The EVP for AA will direct the Faculty Contracts Office to ensure that a spending plan for any start up funds is specified in faculty appointment offer letters. The plan should specify a reasonable period of time to fully expend the funds for their intended purpose. Any sums remaining at the end of the specified time period will revert back to the academic unit. Response from the Chancellor for Health Sciences: The Chancellor will direct the Health Sciences Center to incorporate the spending plan of start-up funds in faculty contract appointment letters, specifying a reasonable period of time to fully expend the funds for their intended purpose.	12/31/2014			Chaouki Tanios Abdallah, Provost; Faculty Contracts Office; HSC Senior Executive Officer for Finance & Administration
2014-12	Office of Vice-President for Research	Recommendation 5 - One-Time F&A awards are not sufficiently documented/supported	The OVPR should document the process for making one time awards from the dicretionary pool of F&A funds kept at the OVPR. The process should include the consistent use of the OVPR Funding Request Form already available.	Starting in FY15 the OVPR has required the use of the VPR Funding Request Form to ensure consistent support documentation.	12/31/2014			Michael Dougher,Sr Vice Provost

2014-12	Office of Vice-President for Research	Recommendation - 2 Sevilleta - Future Funding	The University President should consider working with the Dean of Arts and Sciences to assess the viability of funding for Sevilleta, and to determine how best to address funding availability for the aging facility if they are in need of emergency repairs.	The President will direct the Provost to work with the A&S Dean to develop a plan by March 1, 2015 that addresses both deficit reduction and viability for the Sevilleta Field Station.	3/1/2015			Mark Peceny,Dean; Chaouki Tanios Abdallah, Provost
2014-03	UNM Law School Student Bar Association	Recommendation 1 - External Bank Account	UNM School of Law management and staff members should strengthen internal controls to ensure that student organizations do not use off-campus bank accounts if they receive funding from ASUNM, GPSA, Student Fees, or other University funds.	All Law School chartered organization treasurers shall be required to sign a declaration affirming they do not have an outside bank account and are not aware of any outside bank account for their organization. This declaration will be signed during the first week of the fall semester every school year The Assistant Dean for Student Services will work with the law school Registrar to develop a policy on outside accounts for the law school's Bulletin and Handbook of Policies.	8/31/2014	A law school wide, mandatory Student Organization Training was held August 20, 2014. At this meeting, all student organization officers were informed they must read and sign an attestation that they understand no outside bank accounts are permitted, and that to their knowledge, none exist. This document is required and obtained as new clubs charter or as officers change or are elected. The Student Organizations section of the Law School Bulletin and Handbook of Policies has been changed to reflect this requirement and is effective immediately.		David Herring, Dean
2014-03	UNM Law School Student Bar Association	Recommendation 12 - SBA Approved Budgets	Formal SBA budgets should be prepared and approved by the SBA Executive Board at the beginning of the academic year and should be posted throughout the school year. Budgeted and non-budgeted expenditures should be approved by the SBA Executive Board and posted to the budget.	Assistant Dean for Student Services will inform SBA officers that Formal SBA budgets must be prepared and approved by the Executive Board at the beginning of the academic year and must be posted throughout the school year. This will be done through the annual student organization officer training. Assistant Dean for Student Services will inform SBA officers that all budgeted and nonbudgeted expenditures must be approved by the Executive Board and posted to the budget. This will be done through the annual student organization officer training.	8/31/2014	This information was included in the mandatory Student Organization Training that was held August 20, 2014. The Assistant Dean for Student Services continues to meet with SBA Officers including the Treasurer and is monitoring on-going compliance.		David Herring, Dean
2014-03	UNM Law School Student Bar Association	Recommendation 2 - Misuse of SBA Funds	All purchases made using SBA funds should obtain approval by the Executive Board and should go through UNM's purchasing policies and procedures, and supporting documentation should be maintained.	The Assistant Dean for Student Services will work with the SBA board to instruct them on proper board procedures, voting and recording of agendas, minutes and budgets. Accounting will monitor SBA accounts and check against the approved budget and known large events planned for SBA in the semester.	8/31/2014	A meeting was held on August 27, 2014 between the Assistant Dean for Student Services and the SBA Secretary to obtain a copy of the SBA's first Agenda and Minutes. In addition, the SBA secretary provided a calendar of events. These procedures will ensure the SBA has sufficient time to plan for purchasing items needed for events. All purchases will be approved at SBA Board meetings and will follow UNM's purchasing policies and procedures to ensure proper use of SBA funds.		David Herring, Dean

2014-03	UNM Law School Student Bar Association	Recommendation 8 - Faculty/Staff Advisor Supervision	The SBA faculty/staff advisors should provide adequate supervision by attending regular Board meetings as required by the UNM Student Organization Handbook.	Faculty/staff advisors will be informed that they are required to provide adequate supervision by attending regular Board meetings at least once every quarter.
				
				  Faculty/staff advisors will have informal meetings with board members and follow up with emails to officers.
				
				  A signature line for faculty/staff advisors will be added to club meeting minutes. Faculty/staff advisors will be expected to review the minutes and monitor.
					8/31/2014	Assistant Dean for Student Services presented these requirements to faculty at the September 9, 2014 faculty meeting. Faculty appear to understand the requirement and the administration will monitor compliance.		David Herring, Dean

2014-03	UNM Law School Student Bar Association	Recommendation 9 - Cash Management Training	SBA faculty/staff advisors should require SBA officers handling cash to take Cash Management training. In addition, all UNM Law School staff that handle cash, and their direct supervisors, should also take Cash Management training.	Faculty/staff advisors will require SBA officers handling cash to take Cash Management training. All law school faculty, staff and direct supervisors who handle cash will take Cash Management training.	11/30/2014	An email was sent to students, faculty and staff requesting they complete cash handling training together with instructions. Faculty and staff have been reporting their completion of this training. However, it came to our attention that students were unable to log on to the HR on-line training system. After contacting HR, new instructions were given to students who have been undertaking this process. Due to the complexity of the application process, compliance has been slow and difficult. It is requested that the Law School be allowed to present the same information contained in the HR training themselves to law students in a group training and have an extension of time until November 26, 2014		David Herring, Dean
2014-03	UNM Law School Student Bar Association	Recommendation 3 - Hyatt Regency Tamaya Resort and Spa Reimbursement	Internal controls should be strengthened to ensure reimbursements are not received for charges that have already been paid by other funding sources.	The Law School will ban outside bank accounts as detailed in Recommendation #1. The Law School Accounting will monitor reimbursement requests to ensure that reimbursements are not received for charges already paid by other funding sources.	8/31/2014	The Law School developed internal controls to prevent the use of external bank accounts, which decreases the risk of improper reimbursements. In addition, the Law School accounting office, along with student services communicate on every reimbursement request that is made by the students to ensure they are aware of how each item was paid (personal funds or UNM P-Card).		David Herring, Dean
2014-03	UNM Law School Student Bar Association	Recommendation 4 - Internal Investigation of SBA Off-Campus Bank Account	In the event that improper activity is suspected within a department and/or organization, Law School management should immediately contact Internal Audit.	Law School management will be trained on proper reporting procedures.	12/31/2014	The Assistant Dean for Student Services will work with the Law School's Human Resources Director to accomplish this training of senior administrative staff and associate deans. The law school requests an extension of time to complete this goal by December 5, 2014.		David Herring, Dean
2014-03	UNM Law School Student Bar Association	Recommendation 6 - Apparel Inventory	Apparel inventory should be adequately safeguarded to prevent theft and/or misappropriation. Internal controls should be developed by the SBA officers to track and monitor apparel inventory.	The Assistant Dean for Student Services will meet with SBA officers to develop and implement internal controls to track and monitor apparel and merchandise inventory.	8/31/2014	On October 8, 2014, the Assistant Dean for Student Services met with the entire SBA board to train all officers on proper inventory and merchandise control.		David Herring, Dean

Internal Audit Director's Status Report
Audit and Compliance Committee Meeting
October 16, 2014

ACTION ITEMS

External Financial Audit for Fiscal Year (FY) Ended 2014. KPMG and Moss Adams will present the external financial audit report in Executive Session for a recommendation to the full Board of Regents. Liz Metzger, Interim University Controller, will also be present to answer any questions.

INFORMATION ITEMS

Audit Plan Status. The proposed Fiscal Year 2015 (FY15) audit plan consisted of 8 audits carried over from FY14. The project status and hours report for the plan is at Tab #12d. The status of the proposed plan as of October 1, 2014 is:

Completed	9
Report Writing	1
Fieldwork	1
Assigned/Planning	3
Subtotal	**14**
Unassigned/Delayed	8
Total	**22**

Complaints. Since July 1, 2014, the department received 35 reports of misconduct or fraud; as of October 7, 2014, the department has 58 open complaints.

Carry Forward from FY10	1
Carry Forward from FY12	1
Carry Forward from FY13	11
Carry Forward from FY14	25
Complaints Opened in FY15	35
Total Complaints	**73**
Complaints Closed in FY15	15
Total Open Complaints	**58**

Following is the status of open complaints:

Referred to	Total
Referred to Branch Liaison	3
Referred to HSC Compliance	14
Referred to HSC Privacy Office	4
Referred to HSC Clinical Affairs	1
Referred to HSC Information Security	1
Referred to Human Resources	2
Referred to Internal Audit	22
Referred to IT Security	4
Referred to Office of Equal Opportunity	2
Referred to Provost	6
Referred to Purchasing	1
Referred to Safety and Risk Services	4
Referred to UNMH Compliance & Audit	2
Referred to UNMH Human Resources	5
Total	**71**

Note: An open complaint may be referred to multiple areas.
Thus, the number of referrals will be greater than the number of complaints.

Complaints Trend Data for FY2011 to FY2015

Attached to this report at Tab 11 are two graphs that show complaint trend analysis by organization and by issue types. From FY 2011 through FY 2015 (October 7, 2014), the department received 35 complaints with 48 different issues. Of the 48 issues, about 15 issues are personnel (Human Resources) related, approximately 6 are policy related, 1 is OEO related, approximately 11 are time sheet, fraud and theft related, and 9 are healthcare related issues.

Hotline and Compliance Case Management

Internal Audit and HSC Compliance are in the process of contracting with a new compliance hotline and case management vendor. The new compliance hotline and case management system is competitively priced and offers greater functionality for case management and reporting. The reporting from our current hotline software is very basic and cannot be customized to produce management reports and statistical analysis. IA staff spends an excessive amount of time manually manipulating data downloaded from the hotline website to produce management and Audit Committee reporting.

Department Financial Report. At Tab 11 is the Internal Audit Department's budget status report for your review. The FY15 adjusted budget is $838,596 of which $802,250 is funded from the general pooled allocation and $36,346 is from the departmental reserve. As of September 30, 2014, the department's actual expenditures are $199,212.42 and encumbrances are $553,569.29.

Internal Audit Department
Location of Complaints by Organization - FY2011 through FY2015 (October 7, 2014)
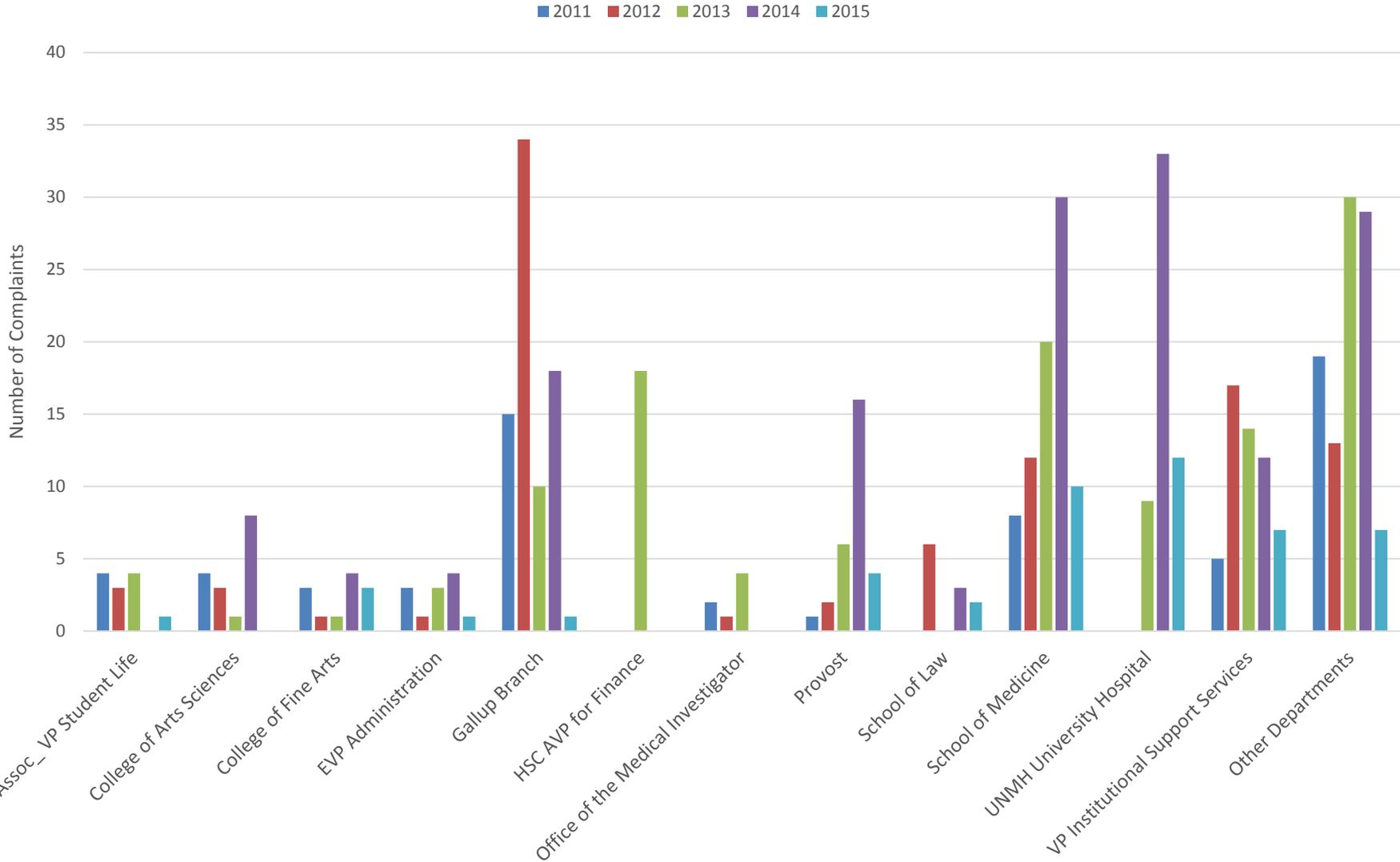
*Note: Other Departments - Departments with few complaints were categorized under Other Departments. Other Departments include but are not limited to:
College of Education, Controller's Office, College of Nursing, College of Pharmacy, and Information Technologies

Internal Audit Department
Complaints by Type - FY2011 through FY2015 (October 7, 2014)

■ 2011 ■ 2012 ■ 2013 ■ 2014 ■ 2015
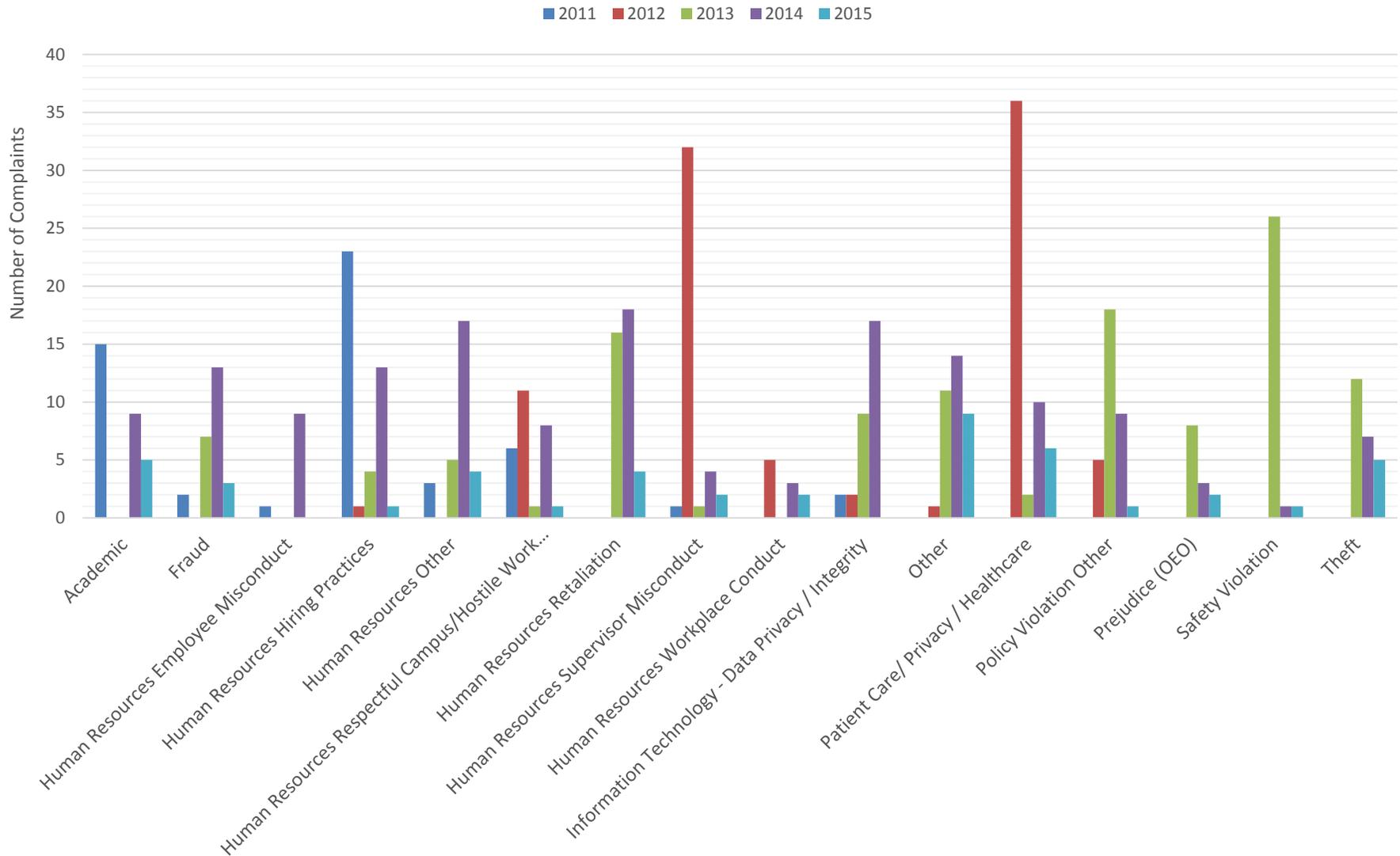
*Note: Other - complaint types with few complaints were catagorized under Other. Other includes internal controls, student enrollment and other complaints that fall outside the types listed above.

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Account Description	Budget (FYTD) Adopted	Budget (FYTD) Adjustments	Budget (FYTD) Accumulated	Actuals Current Month	Actuals Pct	Actuals Fiscal YTD	Actuals Pct	Encumbrances	Balance Available	Balance Pct
Revenue										
1640 - Allocations Pooled Allocatio!	$776,691.00	$.00	$776,691.00	$.00	.00%	$776,691.00	100.00%	$.00	$.00	.00%
1660 - Allocations Other Gen	$.00	$25,559.00	$25,559.00	$.00	.00%	$25,559.00	100.00%	$.00	$.00	.00%
1900 - Reserves	$.00	$.00	$.00	$.00	.00%	$36,346.35	.00%	$.00	($36,346.35)	.00%
1901 - Budgeted Use of Reserves	$61,905.00	($25,559.00)	$36,346.00	$.00	.00%	$.00	.00%	$.00	$36,346.00	100.00%
*TOTAL Revenue										
	$838,596.00	**$.00**	**$838,596.00**	**$.00**	**.00%**	**$838,596.35**	**100.00%**	**$.00**	**($.35)**	**.00%**
Expense										
2020 - Administrative Professional !	$697,764.00	$.00	$697,764.00	$58,146.89	8.33%	$170,179.81	24.39%	$523,322.01	$4,262.18	.61%
2060 - Support Staff Salary Detail !	$38,932.00	$.00	$38,932.00	$2,994.77	7.69%	$8,849.55	22.73%	$30,247.28	($164.83)	(.42%)
20J0 - Student Salaries Gen	$20,000.00	$.00	$20,000.00	$1,748.40	8.74%	$5,880.00	29.40%	$.00	$14,120.00	70.60%
20P0 - Temporary Salary Gen	$15,000.00	$.00	$15,000.00	$.00	.00%	$.00	.00%	$.00	$15,000.00	100.00%
3100 - Office Supplies General	$3,000.00	$.00	$3,000.00	$.00	.00%	$253.88	8.46%	$.00	$2,746.12	91.54%
3110 - Books Periodicals Gen	$400.00	$.00	$400.00	$.00	.00%	$56.00	14.00%	$.00	$344.00	86.00%
3140 - Computer Software Gen	$500.00	$.00	$500.00	$.00	.00%	$.00	.00%	$.00	$500.00	100.00%
3150 - Computer Supplies <$5,001	$300.00	$.00	$300.00	$.00	.00%	$.00	.00%	$.00	$300.00	100.00%
31A0 - Business Food - Local	$1,100.00	$.00	$1,100.00	$.00	.00%	$255.75	23.25%	$.00	$844.25	76.75%
31C0 - Dues Memberships Gen	$3,500.00	$.00	$3,500.00	$.00	.00%	$1,070.00	30.57%	$.00	$2,430.00	69.43%
31J0 - Parking Permits Gen	$600.00	$.00	$600.00	($400.00)	(66.67%)	$400.00	66.67%	$.00	$200.00	33.33%
31K0 - Postage Gen	$50.00	$.00	$50.00	$.00	.00%	$1.86	3.72%	$.00	$48.14	96.28%
3800 - In State Travel Gen	$1,000.00	$.00	$1,000.00	$.00	.00%	$.00	.00%	$.00	$1,000.00	100.00%
3805 - Instate Travel-Per Diem Sta!	$200.00	$.00	$200.00	$.00	.00%	$.00	.00%	$.00	$200.00	100.00%
3820 - Out Of State Travel Gen	$5,000.00	$.00	$5,000.00	$1,682.73	33.65%	$1,972.93	39.46%	$.00	$3,027.07	60.54%
3825 - Out State Travel-Per Diem !	$.00	$.00	$.00	$274.50	.00%	$274.50	.00%	$.00	($274.50)	.00%
3830 - Out State Trvl-Per Diem No!	$.00	$.00	$.00	$158.60	.00%	$158.60	.00%	$.00	($158.60)	.00%
39Z1 - Travel Non UNM Emp-Non !	$.00	$.00	$.00	$.00	.00%	$.00	.00%	$.00	$.00	.00%
6000 - Telecom Charges Gen	$4,000.00	$.00	$4,000.00	$292.50	7.31%	$877.50	21.94%	$.00	$3,122.50	78.06%
6020 - Long Distance Gen	$100.00	$.00	$100.00	$3.30	3.30%	$5.61	5.61%	$.00	$94.39	94.39%
6060 - Voice Mail Box Gen	$600.00	$.00	$600.00	$50.00	8.33%	$150.00	25.00%	$.00	$450.00	75.00%
6300 - Alarm System Gen	$150.00	$.00	$150.00	$11.25	7.50%	$33.75	22.50%	$.00	$116.25	77.50%
6315 - Electronic Databases	$.00	$.00	$.00	$194.34	.00%	$388.68	.00%	$.00	($388.68)	.00%
6340 - Auditing Services Gen	$.00	$.00	$.00	$.00	.00%	$.00	.00%	$.00	$.00	.00%
63A0 - Conference Fees Gen	$6,000.00	$.00	$6,000.00	$1,100.00	18.33%	$2,495.00	41.58%	$.00	$3,505.00	58.42%
63A2 - Seminars/Training Fees	$3,000.00	$.00	$3,000.00	$25.00	.83%	$650.00	21.67%	$.00	$2,350.00	78.33%

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Account Description	Budget (FYTD) Adopted	Budget (FYTD) Adjustments	Budget (FYTD) Accumulated	Actuals Current Month	Actuals Pct	Actuals Fiscal YTD	Actuals Pct	Encumbrances	Balance Available	Balance Pct
63C0 - Copying Gen	$50.00	$.00	$50.00	$.00	.00%	$.00	.00%	$.00	$50.00	100.00%
63V1 - Consultant Fees & Svcs-Fo!	$250.00	$.00	$250.00	$.00	.00%	$.00	.00%	$.00	$250.00	100.00%
69Z0 - Other Professional Services!	$7,000.00	$.00	$7,000.00	$932.92	13.33%	$2,798.76	39.98%	$.00	$4,201.24	60.02%
70E0 - Computer Hardware Mainte!	$3,200.00	$.00	$3,200.00	$.00	.00%	$.00	.00%	$.00	$3,200.00	100.00%
70E1 - Computer Software Mainten!	$17,300.00	$.00	$17,300.00	$.00	.00%	$.00	.00%	$.00	$17,300.00	100.00%
70F0 - Equipment Rent Expense G!	$5,000.00	$.00	$5,000.00	$495.00	9.90%	$1,337.98	26.76%	$.00	$3,662.02	73.24%
80E0 - Contingency Budget Gen	$.00	$.00	$.00	$.00	.00%	$.00	.00%	$.00	$.00	.00%
80K0 - Banner Tax	$600.00	$.00	$600.00	$48.20	8.03%	$131.81	21.97%	$.00	$468.19	78.03%
80K2 - Foundation Surcharge	$4,000.00	$.00	$4,000.00	$338.55	8.46%	$990.45	24.76%	$.00	$3,009.55	75.24%

*TOTAL Expense

	Budget (FYTD) Adopted	Budget (FYTD) Adjustments	Budget (FYTD) Accumulated	Actuals Current Month	Actuals Pct	Actuals Fiscal YTD	Actuals Pct	Encumbrances	Balance Available	Balance Pct
	$838,596.00	**$.00**	**$838,596.00**	**$68,096.95**	**8.12%**	**$199,212.42**	**23.76%**	**$553,569.29**	**$85,814.29**	**10.23%**
Total Revenue:	$838,596.00	$.00	$838,596.00	$.00	.00%	$838,596.35	100.00%	$.00	($.35)	.00%
Total Expense:	$838,596.00	$.00	$838,596.00	$68,096.95	8.12%	$199,212.42	23.76%	$553,569.29	$85,814.29	10.23%
Net:	$.00	$.00	$.00	($68,096.95)	.00%	$639,383.93	.00%	($553,569.29)	$85,814.64	.00%

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Groupings:

Warning: These reports will show fiscal year activity. For inception to date activity for Grants please use the FRRGLDS - Grant Ledger Detail Summary report.

EXECUTIVE SESSION